

**ARKANSAS BUDGET SYSTEM  
AGENCY PROGRAM COMMENTARY  
2001 - 2003**

The Board of Optometry is making a request to continue the appropriations to allow the services of investigator. This is a continuation of the same level from the last fiscal year.

In addition, the Board is requesting appropriations to allow the services of an attorney in addition to the attorney general's office.

Also during this biennium the Board is requesting the change of the secretary-treasurer position to executive director, to allow the Board to keep the services of the current secretary as he leaves the appointed position.

<b>AGENCY</b>  Board of Optometry	<b>DIRECTOR</b>  Howard Flippin	<b>AGENCY PROGRAM COMMENTARY BR21</b>	<b>PAGE</b>  <b>271</b>
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ARKANSAS STATE BOARD OF OPTOMETRY  
 SUMMARY OF AUDIT FINDINGS AND RECOMMENDATIONS  
 FOR THE YEAR ENDED JUNE 30, 1998

Assets					Liabilities			Total Equity	
Cash and Investments	Fixed	Other	Total	Current	Long-Term	Total			
\$ 115,141	\$ 8,488	\$ 1,875	\$ 125,504	\$ 1,965	\$ 0	\$ 1,965	\$ 123,539		

  

Revenues					Expenditures					Other Sources (Uses)
Intergovernmental	Federal	Licenses and Fees	Other	Total	Salaries and Matching	Grants and Aid	Capital	Other Operating	Total	
\$ 0	\$ 0	\$ 82,289	\$ 7,436	\$ 89,725	\$ 20,688	\$ 0	\$ 2,531	\$ 18,137	\$ 41,356	\$ 0

  

Findings	Recommendations
None.	None.

Audited by Division of Legislative Audit  
 SA0828098

ARKANSAS BUDGET SYSTEM  
EMPLOYMENT SUMMARY  
AS REQUIRED BY ACT 358 OF 1993

AGENCY TITLE

Board of Optometry

	MALE	FEMALE	TOTAL	PERCENTAGE OF TOTAL
WHITE EMPLOYEES	<u>1</u>	<u>1</u>	<u>2</u>	<u>100%</u>
BLACK EMPLOYEES	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
EMPLOYEES OF OTHER RACIAL MINORITIES	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>
TOTAL EMPLOYED AS OF				
<i>Aug. 21, 2000</i> DATE			<u>0</u>	<u>0</u>
			TOTAL MINORITIES	
			<u>2</u>	<u>100%</u>
			TOTAL EMPLOYEES	

*Howard F. Flippin, O.D.*  
AGENCY DIRECTOR

**CASH FUND BALANCE DESCRIPTION  
AS OF JUNE 30, 2000**

**AGENCY: Board of Optometry**

**AGENCY #: 280**

ACCOUNT INFORMATION				STATUTORY/OTHER RESTRICTIONS ON USE:
FUND ACCT.	BALANCE	TYPE	LOCATION	
320	\$66,086.31 \$131,086.61 \$5,071.52	Checking Savings Money Market	First Security Bank Searcy, AR AR Money Management Trust First Security Bank Searcy, AR	A.C.A. 17-90-303 Collection of fees for license renewal
				<b>STATUTORY PROVISIONS FOR FEES, FINES, PENALTIES:</b> A.C.A. 17-90-204 Gives power to levy civil penalties A.C.A. 17-90-203 Gives power to collect license fees
				<b>REVENUE RECEIPTS CYCLE:</b> License renewal from December to February each year
				<b>FUND BALANCE UTILIZATION:</b> Funds are collected in December and January, each year. The agency budgets carefully for the full twelve months.
ACCOUNT INFORMATION				STATUTORY/OTHER RESTRICTIONS ON USE:
FUND ACCT.	BALANCE	TYPE	LOCATION	
				<b>STATUTORY PROVISIONS FOR FEES, FINES, PENALTIES:</b>
				<b>REVENUE RECEIPTS CYCLE:</b>
				<b>FUND BALANCE UTILIZATION:</b>

**STATE AGENCY PUBLICATIONS**

**2001-2003 Biennium**

**Act 1276 of 1999**

**AGENCY:** Board of Optometry

**AGENCY #** 280

NAME OF PUBLICATION	STATUTORY AUTHORIZATION ACT # OR A.C.A.	REQUIRED FOR GOVERNOR AND/OR GENERAL ASSEMBLY ONLY	NUMBER OF COPIES PUBLISHED & DISTRIBUTED	REASON(S) FOR CONTINUED PUBLICATION AND DISTRIBUTION
Not applicable				
				275

**ARKANSAS BUDGET SYSTEM  
ANALYSIS OF BUDGET REQUEST  
2001 - 2003**

The Arkansas State Board of Optometry was created by Act 94 of 1941 to regulate the optometric industry in the State. The Board is a cash agency funded from examination fees and renewal fees charged pursuant to subchapter 3 of Arkansas Code Annotated §17-90. Act 176 of 1997 amended A.C.A. §17-90-401 giving the Board authority to promulgate rules and regulations governing the prescribing of controlled substances by Optometrists.

The FY01 budgeted amount of \$58,576 consists of Regular Salaries and Personal Services Matching for two positions (Bookkeeper and Secretary/Treasurer), Operating Expenses, Professional Fees & Services and Data Processing. Base Level is \$59,290 for FY02 and \$60,036 for FY03 and includes a 2.6% payplan increase.

The Board is requesting reclassification of the Secretary/Treasurer position to Executive Director due to an increase in duties associated with the monitoring and compliance of the amended Optometry Law. The request is for \$19,805 in FY02 and \$20,320 in FY03 bringing the annual salary level to \$30,000 in FY02 with a 2.6% payplan increase in FY03.

The Board is also requesting an additional \$20,000 in each year of the biennium for Professional Fees & Services bringing the appropriated level to \$27,012 each year of the biennium. This increase would allow the Board to contract with an attorney who is familiar with the rules, regulations and laws governing medical health boards. The Office of the Attorney General has approved the Board of Optometry's request for permission to retain private legal counsel in light of the legislative authority granted the Board in the last legislative session.

The Executive Recommendation provides for:

**Regular Salaries** - Base Level including a payplan increase of 2.6% each year over the FY01 salary levels.

**Professional Fees & Services** – Agency Request

AGENCY	APPROPRIATION	CASH FUND	ANALYSIS OF BUDGET REQUEST	PAGE
Name: Arkansas State Board of Optometry	Name: Cash Operations	Name: Optometry Board - Cash	BR20	276
Code: 280	Code: A27	Code: 320		

ARKANSAS BUDGET SYSTEM

CHARACTER TITLE	-----EXPENDITURES-----			-----01-02 FISCAL YEAR-----			-----02-03 FISCAL YEAR-----			-----R E C O M M E N D A T I O N S-----			
	99-00	00-01	00-01	CHANGE		TOTAL	CHANGE		TOTAL	EXECUTIVE		LEGISLATIVE	
	ACTUAL	BUDGETED	AUTHORIZED APPRD	BASE	LEVEL	REQUEST	BASE	LEVEL	REQUEST	01-02	02-03	01-02	02-03
REGULAR SALARIES	23,196	23,784	21,496	24,402	19,805	44,207	25,036	20,320	45,356	24,402	25,036		
NUMBER OF POSITIONS	2	2	2	2	0	2	2	0	2	2	2		
PERSONAL SERV MATCHING	6,232	4,226	10,262	4,322	3,507	7,829	4,434	3,599	8,033	4,322	4,434		
OPERATING EXPENSES	19,010	23,154	23,154	23,154	0	23,154	23,154	0	23,154	23,154	23,154		
PROF FEES & SERVICES	5,585	7,012	7,012	7,012	20,000	27,012	7,012	20,000	27,012	27,012	27,012		
DATA PROCESSING	0	400	400	400	0	400	400	0	400	400	400		
<b>TOTAL</b>	<b>54,023</b>	<b>58,576</b>	<b>62,324</b>	<b>59,290</b>	<b>43,312</b>	<b>102,602</b>	<b>60,036</b>	<b>43,919</b>	<b>103,955</b>	<b>79,290</b>	<b>80,036</b>		
<b>PROPOSED FUNDING SOURCES</b>			*****										
FUND BALANCES	146,558	203,371	*****	229,795		229,795	212,193		212,193	229,795	235,505		
GENERAL REVENUES			*****										
SPECIAL REVENUES			*****										
FEDERAL FUNDS			*****										
STATE CENTRAL SERVICES FUND			*****										
NON-REVENUE RECEIPTS			*****										
CASH FUNDS	110,836	85,000	*****	41,688	43,312	85,000	41,081	43,919	85,000	85,000	85,000		
OTHER			*****										
<b>TOTAL FUNDING</b>	<b>257,394</b>	<b>288,371</b>	<b>*****</b>	<b>271,483</b>	<b>43,312</b>	<b>314,795</b>	<b>253,274</b>	<b>43,919</b>	<b>297,193</b>	<b>314,795</b>	<b>320,505</b>		
EXCESS APPRO/ (FUNDING)	( 203,371)	( 229,795)	*****	( 212,193)		( 212,193)	( 193,238)		( 193,238)	( 235,505)	( 240,469)		
<b>TOTAL</b>	<b>54,023</b>	<b>58,576</b>	<b>*****</b>	<b>59,290</b>	<b>43,312</b>	<b>102,602</b>	<b>60,036</b>	<b>43,919</b>	<b>103,955</b>	<b>79,290</b>	<b>80,036</b>		

DEPT 008 BOARDS AND COMMISSIONS - MEDICALLY RELATED  
 AGY 280 STATE BOARD OF OPTOMETRY  
 APPRO A27 CASH OPERATIONS

APPROPRIATION SUMMARY

BR 215

FUND 320 OPTOMETRY BOARD CASH(280)

PROGRAM/SERVICE INFORMATION LIST

RANK BY APPROPRIATION

01	02	03	04	05	06	07	08	09	10	11	12	13	14	15	16	17	18	19
RANK	PROGRAM DESCRIPTION	FUND	ACCOUNTING INFORMATION	D E S	EXPENDITURES		2001 - 03 BIENNIUM REQUESTS						R E C O M M E N D A T I O N S					
					ACTUAL	BUDGETED	FY 2001 - 02		FY 2002 - 03				EXECUTIVE		LEGISLATIVE			
					99-00	00-01	REQUEST	REQUEST	REQUEST	REQUEST	REQUEST	REQUEST	2001-02	2002-03	2001-02	2002-03		
000		320	280 A27	B	54,023 2	58,576 2	59,290 2		60,036 2				59,290 2	60,036 2				
001		320	280 A27 100 STATE BOARD OF OPTOMETRY	C01			20,000 0		20,000 0				20,000	20,000				
<p>The additional appropriation requested for Professional Fees &amp; Services will allow the Board to contract with an attorney who is familiar with the rules, regulations and laws governing medical health boards.</p>																		
001		320	280 A27 100 STATE BOARD OF OPTOMETRY	C10			23,312 0		23,919 0									
<p>The Board is requesting to reclassify the Secretary/Treasurer position to Executive Director due to an increase in duties associates with the monitoring and compliance of the amended Optometry Law. This will allow the Board to keep the experience of the current Secretary/Treasurer as he leaves the appointed position on the Board.</p>																		

DEPT 008 BOARDS AND COMMISSIONS - MEDICALLY RELATED  
 AGY 280 STATE BOARD OF OPTOMETRY  
 APPRO A27 CASH OPERATIONS  
 FUND 320 OPTOMETRY BOARD CASH(280)

RANK BY APPROPRIATION  
 BR 264