

AR SCIENCE AND TECHNOLOGY AUTHORITY

Enabling Laws

Act 216 of 2012
A.C.A. 15-3-101 - A.C.A. 15-3-405

History and Organization

The Arkansas Science and Technology Authority (the Authority) was created by Act 859 of 1983: "There is hereby established for the State of Arkansas the Arkansas Science and Technology Authority, hereinafter referred to as "The Authority," which shall have the powers, functions and duties, as herein provided, to be the instrumentality of this State to exert leadership in and give directions to a broad spectrum of programs and services designed to gain for this State and its people the benefits and opportunities to be realized through advanced science and technology."

The Authority's vision, mission, goals and core values are derived from its strategic planning process and are established by the Authority's Board in the "Board of Director's Operating Guide," dated November 20, 2009.

Vision: We envision an Arkansas prepared to compete and prosper in the global economy.

Mission: To advance the talent and innovation necessary for Arkansas to prosper.

The Authority's goals are to: (1) Ensure the availability of the next generation of Arkansans with science, engineering and mathematics skills necessary for a competitive 21st century workforce, (2) Maximize the production of scientific and engineering talent and research innovations as building blocks for the 21st century economy, (3) Invest in research innovations that build knowledge-based industries for Arkansas's 21st century economy, (4) Strengthen Arkansas companies' capacity to innovate, create wealth, expand knowledge-based jobs and compete globally, and (5) Extend science and technology expertise to take advantage of emerging opportunities in partnership with other programs, services and organizations.

Core Values: (1) We will be accountable to our stakeholders, (2) We will be honest and ethical, (3) We will value and promote our products and services, (4) We will be creative and objective as we improve our organization and ourselves, (5) We will treat all with respect and dignity and (6) We will value diversity among ourselves and our customers.

The Arkansas Science and Technology Authority is comprised of a Board of Directors and staff. The 14-member Board is appointed by the Governor to staggered four year terms. The Authority has a nineteen person staff, one private grant funded position and eleven federally-funded positions.

The Board makes all decisions concerning the allocation of monies to projects funded under the Authority's programs, except the Technology Transfer Assistance Grants Program where the Board approves funding to the program and the Director approves the individual project funding. Recommendations are made to the Board by three standing committees comprised exclusively of members of the Board. The committees deal respectively with sponsored projects, research and commercialization, and manufacturing extension. The Board's Executive Committee deals with proposals with deadlines and actions required between regularly scheduled meetings. The standing committees are further supported by three advisory committees.

Staff activities are designed to support the goals of the Authority's Board of Directors.

The Assistant Director of Finance, assisted by the Finance Program Coordinator and the Research Program Coordinator is responsible for managing programs involving Seed Capital Investments, Technology Development, Technology Transfer Assistance Grants, Basic Research Grants, Applied Research Grants, Research Matching Grants, Research Infrastructure Grants, Business Incubator Technology Certifications, and Centers for Applied Technology. These activities are overseen by the Board's Research and Commercialization Committee. The Assistant Director and Program Managers also provide technical assistance to (1) Arkansas Manufacturing Solutions, a state-federal partnership under the federal Manufacturing Extension Partnership, (2) and the Research and Development Tax Credit activities under the Consolidated Incentive Act.

The Assistant Director of Research, assisted by the Information Technology Manager, the Database Analyst, and the Public Information Coordinator, is responsible for the Authority's initiative to become paperless, provide electronic applications for all programs, automate agency workflows, maintain the Authority's database, and prepare analytical reports. The Assistant Director of Research is also responsible for projects with deadlines, supports the Assistant Director of Finance with research-oriented programs, and assists in overseeing the NSF EPSCoR grant. These activities are overseen by the Board's Executive Committee.

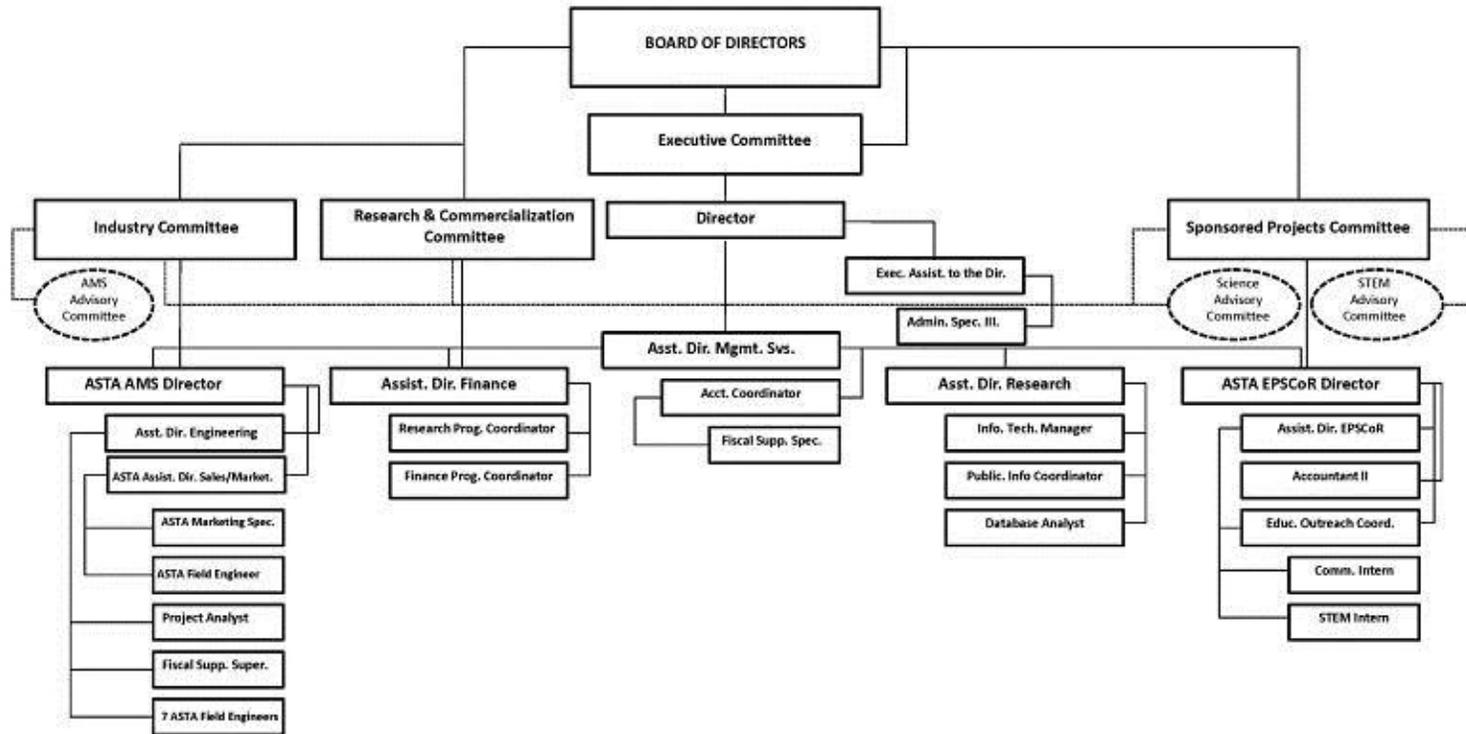
The ASTA EPSCoR Director, assisted by the ASTA Assistant Director EPSCoR, is responsible for managing the Authority's ASSET Initiative and STEM (Science, Technology, Engineering, and Mathematics) projects. The ASSET Initiative is a project jointly funded by a grant from the National Science Foundation's EPSCoR Program and by state matching support. The EPSCoR Director, assisted by the EPSCoR Assistant Director, manages the grant and additional STEM (Science, Technology, Engineering, and Mathematics) outreach grants. These activities are overseen by the Board's Sponsored Projects Committee.

Arkansas Manufacturing Solutions, a cooperative agreement between the National Institute of Standards and Technology and the Authority, is headed by the ASTA Director of Manufacturing, whose position is administratively at UALR, but housed at the Authority. The Assistant Director of Manufacturing is responsible for three federally funded positions housed at the Authority: the Science & Technology Assistant Director of Engineering, Research Project Analyst, and Fiscal Support Supervisor. They are responsible for the management and administration of the Cooperative Agreement. Seven Project Managers, who are supervised by the Assistant Director for Engineering, and are located at universities and non-profits throughout the state, are responsible for the delivery of services. The activities are overseen by the Board's Industry Committee.

The Assistant Director of Management Services is responsible for the day-to-day operations of the Authority and is assisted by the Accounting Coordinator and the Fiscal Support Specialist. The Accounting Coordinator is responsible for the fiscal activities of the Authority and is assisted by the Fiscal Support Specialist. The Fiscal Support Specialist is responsible for the procurement and asset management activities of the Authority. Management Services are overseen by the Board's Executive Committee.

The Executive Director is the chief executive officer and has overall responsibility for the Authority's programs and staff activities. The Executive Director is assisted by the Executive Assistant to the Director, who provides administrative support to the agency's Board of Directors and is responsible for the personnel activities of the agency. The Administrative Specialist III assists the Executive Assistant and is responsible for administrative support for the Authority's programs and cooperative agreements.

The Executive Director is selected by the Board and serves at the pleasure of the Governor.



Agency Commentary

Vision: We envision an Arkansas prepared to compete and prosper in the global economy.

Mission: To advance the talent and innovation necessary for Arkansas to prosper.

The Authority's goals are to: (1) Ensure the availability of the next generation of Arkansans with science, engineering and mathematics skills necessary for a competitive 21st century workforce, (2) Maximize the production of scientific and engineering talent and research innovations as building blocks for the 21st century economy, (3) Invest in research innovations that build knowledge-based industries for Arkansas's 21st century economy, (4) Strengthen Arkansas companies' capacity to innovate, create wealth, expand knowledge-based jobs and compete globally, and (5) Extend science and technology expertise to take advantage of emerging opportunities in partnership with other programs, services and organizations.

Core Values: (1) We will be accountable to our stakeholders, (2) We will be honest and ethical, (3) We will value and promote our products and services, (4) We will be creative and objective as we improve our organization and ourselves, (5) We will treat all with respect and dignity, and (6) We will value diversity among ourselves and our customers.

The Arkansas Science & Technology Authority's (Authority) program commentary is derived from the Authority's vision, mission, goals and core values that were created in its strategic planning process and are established by the Authority's Board in the "Board of Directors Operating Guide," dated November 20, 2009.

GOAL 1: ENSURE THE AVAILABILITY OF THE NEXT GENERATION OF ARKANSANS WITH SCIENCE, ENGINEERING AND MATHEMATICS SKILLS NECESSARY FOR A COMPETITIVE 21ST CENTURY WORKFORCE.

The Authority, with grant support from the Winthrop Rockefeller Foundation, will empower teachers to generate enthusiasm in STEM classrooms around the state using projects, such as robotics competition and summer academies, that interest and engage students in the STEM curriculum. These efforts result not only in increased student competence in STEM skill sets but also produce increases in STEM career choices for these students as they advance.

GOAL 2: MAXIMIZE THE PRODUCTION OF SCIENTIFIC AND ENGINEERING TALENT AND RESEARCH INNOVATIONS AS BUILDING BLOCKS FOR THE 21ST CENTURY ECONOMY.

The Authority continues to manage the cooperative agreement with the National Science Foundation for the EPSCoR program. The cooperative agreement has established the Arkansas ASSET Initiative (**A**dvancing and **S**upporting **S**cience, **E**ngineering and **T**echnology). This project is designed to strengthen developing research areas in Arkansas with economic development potential. The initiative is a multi-institutional, interdisciplinary, state-wide program. Integral components of the five-year research project are entrepreneurial training, support for commercialization of new technologies, and an educational outreach program that targets the STEM pipeline needed to support the advanced technologies workforce.

The ASSET Initiative project covers more than one of the Authority's five goals. The match for the project, for budget purposes, is included in goal 3.

GOAL 3: INVEST IN RESEARCH INNOVATIONS THAT BUILD KNOWLEDGE-BASED INDUSTRIES FOR ARKANSAS'S 21ST CENTURY ECONOMY.

The Authority's research and commercialization programs address this goal by supporting strategic state investments in university research activities, development of new products, and the commercialization of new technology by entrepreneurial firms.

Technology Transfer Assistance Grants (TTAG) support enterprises participating in the federal Small Business Innovation Research Program; the Technology Development Program supports enterprises developing new technologies; and the Seed Capital Investment Program provides start-up working capital.

The Authority Board of Directors requests \$156,975 per year from General Revenue to support TTAG and Technology Development Programs, \$292,653 to support research and an additional \$800,000 to support research under the third year of a five-year, \$20 million National Science Foundation research grant. The request asks that the \$1,249,628 be included in one line labeled Research and Commercialization. This request will allow the agency to respond more effectively to the research and commercialization needs of its customers.

The Board also requests \$292,653 per year from General Revenue for Seed Capital Investments.

The Board requests authority to spend up to \$1.9 million per year from the Seed Capital Investment Fund (a cash fund).

GOAL 4: STRENGTHEN ARKANSAS COMPANIES' CAPACITY TO INNOVATE, CREATE WEALTH, EXPAND KNOWLEDGE-BASED JOBS AND COMPETE GLOBALLY.

The Authority continues its state-federal technology partnership with the National Institute of Standards and Technology (NIST). The partnership allows the Authority, through the function of its Arkansas Manufacturing Solutions (AMS), to continue to meet the expressed needs of Arkansas manufacturers and provide them with the tools to become world-class competitors. AMS continues to provide technology support to the state's manufacturers through TTAG grants. The Arkansas Innovation Marketplace (AIM), provided by AMS, is a web-based marketplace that globally connects Arkansas innovators with potential buyers, investors, distributors and manufacturers seeking breakthrough technological advancements. Arkansas AIM is part of the national USA Innovation Marketplace Initiative and the Planet Eureka International Innovation Network.

The Authority Board of Directors requests \$257,182 per year from General Revenue as state match for AMS.

GOAL 5: EXTEND SCIENCE AND TECHNOLOGY EXPERTISE TO TAKE ADVANTAGE OF EMERGING OPPORTUNITIES IN PARTNERSHIP WITH OTHER PROGRAMS, SERVICES AND ORGANIZATIONS.

The Authority continues to collaborate on economic development projects with AEDC and ADFA. The agencies, through shared processes, manage projects involving the Venture Capital Investment Trust, Equity Investment Tax Credit, and Research and Development Tax Credits. The Authority further extends its expertise by membership on at least 13 boards and commissions.

Audit Findings

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF :
ARKANSAS SCIENCE AND TECHNOLOGY AUTHORITY
FOR THE YEAR ENDED JUNE 30, 2011

Findings	Recommendations
None	None

State Contracts Over \$25,000 Awarded To Minority Owned Businesses Fiscal Year 2012

None

Employment Summary

	Male	Female	Total	%
White Employees	7	6	13	72 %
Black Employees	2	1	3	17 %
Other Racial Minorities	0	2	2	11 %
Total Minorities			5	28 %
Total Employees			18	100 %

Publications

A.C.A. 25-1-204

Name	Statutory Authorization	Required for		# of Copies	Reason(s) for Continued Publication and Distribution
		Governor	General Assembly		
Annual Report	A.C.A. §15-3-123	Y	Y	4	Detail operations and transactions conducted in previous fiscal year. Posted on website; printed by request only.
ARMF Biennial Report	A.C.A. §15-3-206	Y	Y	1	Report investments from the Arkansas Research Matching Fund. Posted on website; printed by request only.

Department Appropriation Summary

Historical Data

Agency Request and Executive Recommendation

Appropriation	2011-2012		2012-2013		2012-2013		2013-2014					2014-2015						
	Actual	Pos	Budget	Pos	Authorized	Pos	Base Level	Pos	Agency	Pos	Executive	Pos	Base Level	Pos	Agency	Pos	Executive	Pos
1ND AR Manufacturing Extention Network-St	257,182	0	257,182	0	257,182	0	257,182	0	257,182	0	257,182	0	257,182	0	257,182	0	257,182	0
34G Seed Capital Investment-Cash in Treasury	0	0	1,900,000	0	1,900,000	0	1,900,000	0	1,900,000	0	1,900,000	0	1,900,000	0	1,900,000	0	1,900,000	0
35K AR EPSCoR-Federal	4,070,487	3	11,466,686	3	23,079,238	5	11,467,524	3	11,467,524	3	11,467,124	3	11,468,257	3	11,468,257	3	11,467,857	3
678 Science & Tech-St Operations	1,817,775	13	1,794,687	13	1,909,241	13	1,804,947	13	1,884,947	13	1,884,947	13	1,805,068	13	1,885,068	13	1,885,068	13
84W New AMS - Cash in Treasury	611,020	3	1,174,395	3	1,240,365	3	1,175,207	3	1,240,365	4	1,240,365	4	1,175,207	3	1,240,365	4	1,240,365	4
85E Energy Efficiency-Cash in Treasury	59,078	0	185,000	0	0	0	0	0	150,000	0	150,000	0	0	0	150,000	0	150,000	0
919 AR Manufacturing Extension Network-Fed	885,907	0	941,110	0	941,110	0	941,110	0	941,110	9	941,110	9	941,110	0	941,110	9	941,110	9
99Q AR Acceleration Fund	0	0	0	0	61,000,000	0	0	0	18,700,000	0	18,700,000	0	0	0	18,700,000	0	18,700,000	0
NOT REQUESTED FOR THE BIENNIUM																		
1FA Rockefeller-IMSST-Cash in Treasury	258,422	0	0	0	51,563	0	0	0	0	0	0	0	0	0	0	0	0	0
38X St Industrial Assessment-Federal	0	0	0	0	25,000	0	0	0	0	0	0	0	0	0	0	0	0	0
83R ARRA AIEC	85,341	0	0	0	7,909,401	0	0	0	0	0	0	0	0	0	0	0	0	0
84V Health Information Technology State	164,544	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
84X Health Information Technology Federal	1,094,456	9	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
86B Collaborative Plan46	90,113	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total	9,394,325	28	17,719,060	19	98,313,100	21	17,545,970	19	36,541,128	29	36,540,728	29	17,546,824	19	36,541,982	29	36,541,582	29

Funding Sources		%		%		%		%		%		%		%		%		%	
Fund Balance	4000005	1,660,279	14.1	2,351,451	12.8			622,955	3.4	622,955	1.7	622,955	1.7	387,748	2.2	322,590	0.9	322,590	0.9
General Revenue	4000010	2,074,957	17.7	2,034,558	11.1			2,062,129	11.4	2,142,129	5.8	2,142,129	5.8	2,062,250	11.4	2,142,250	5.8	2,142,250	5.8
Federal Revenue	4000020	4,956,394	42.2	12,407,796	67.6			12,408,634	68.6	12,408,634	33.7	12,408,634	33.7	12,409,367	68.9	12,409,367	33.8	12,409,367	33.8
Special Revenue	4000030	692,979	5.9	0	0.0			0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Cash Fund	4000045	509,912	4.3	1,530,899	8.3			2,990,000	16.5	2,990,000	8.1	2,990,000	8.1	3,160,000	17.5	3,160,000	8.6	3,160,000	8.6
Merit Adjustment Fund	4000055	0	0.0	17,311	0.1			0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Federal Funds-ARRA	4000244	1,179,797	10.0	0	0.0			0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Interest	4000300	1,508	0.0	0	0.0			0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Inter-agency Fund Transfer	4000316	1,240,785	10.6	0	0.0			0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Other	4000370	0	0.0	0	0.0			0	0.0	18,700,000	50.7	18,700,000	50.7	0	0.0	18,700,000	50.9	18,700,000	50.9
Transfers (to) / from Agencies	4000693	(570,835)	(4.9)	0	0.0			0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0
Total Funds		11,745,776	100.0	18,342,015	100.0			18,083,718	100.0	36,863,718	100.0	36,863,718	100.0	18,019,365	100.0	36,734,207	100.0	36,734,207	100.0
Excess Appropriation/(Funding)		(2,351,451)		(622,955)				(537,748)		(322,590)		(322,990)		(472,541)		(192,225)		(192,625)	
Grand Total		9,394,325		17,719,060				17,545,970		36,541,128		36,540,728		17,546,824		36,541,982		36,541,582	

Variance in Fund Balance due to appropriations 1FA, Rockefeller, 84V Office of Health Information Technology and 86B Collaborative Planning.

Agency Position Usage Report

FY2010 - 2011						FY2011 - 2012						FY2012 - 2013					
Authorized in Act	Budgeted			Unbudgeted	% of Authorized Unused	Authorized in Act	Budgeted			Unbudgeted	% of Authorized Unused	Authorized in Act	Budgeted			Unbudgeted	% of Authorized Unused
	Filled	Unfilled	Total	Total			Filled	Unfilled	Total	Total			Filled	Unfilled	Total	Total	
17	17	0	17	0	0.00 %	32	24	6	30	2	25.00 %	21	18	1	19	2	14.29 %

Analysis of Budget Request

Appropriation: 1ND - AR Manufacturing Extension Network-St

Funding Sources: HUA - Miscellaneous Agencies Fund

The Arkansas Science and Technology Authority Technology and Manufacturing Extension Program plans strategic state investments in, evaluates proposals and applications for, and supports Manufacturing Extension, Technology Transfer, and Applied Research. The Technology and Manufacturing Program is funded by General Revenue.

The agency requests Base Level of \$257,182 for each year of the 2013-2015 Biennium.

The Executive Recommendation provides for the Agency Request.

Appropriation Summary

Appropriation: 1ND - AR Manufacturing Extention Network-St

Funding Sources: HUA - Miscellaneous Agencies Fund

Historical Data

Agency Request and Executive Recommendation

Commitment Item	2011-2012	2012-2013	2012-2013	2013-2014			2014-2015		
	Actual	Budget	Authorized	Base Level	Agency	Executive	Base Level	Agency	Executive
AR Manufacturing Ext Network 5900046	257,182	257,182	257,182	257,182	257,182	257,182	257,182	257,182	257,182
Total	257,182	257,182	257,182	257,182	257,182	257,182	257,182	257,182	257,182
Funding Sources									
General Revenue 4000010	257,182	257,182		257,182	257,182	257,182	257,182	257,182	257,182
Total Funding	257,182	257,182		257,182	257,182	257,182	257,182	257,182	257,182
Excess Appropriation/(Funding)	0	0		0	0	0	0	0	0
Grand Total	257,182	257,182		257,182	257,182	257,182	257,182	257,182	257,182

Analysis of Budget Request

Appropriation: 34G - Seed Capital Investment-Cash in Treasury

Funding Sources: NST - Cash in Treasury

This program is used for investments in technology-based businesses in accordance with Arkansas Code, §15-3-101 through §15-3-123. Funds are loaned to businesses, with a maximum amount of \$500,000 for any one project. As businesses repay the loans, the Arkansas Science & Technology Authority (ASTA) deposits the repayments into the fund.

ASTA requests continuation of the Base Level appropriation of \$1,900,000 each year of the 2013-2015 Biennium for the Seed Capital Investment Program.

The Executive Recommendation provides for the Agency Request. Expenditure of appropriation is contingent upon available funding.

Appropriation Summary

Appropriation: 34G - Seed Capital Investment-Cash in Treasury

Funding Sources: NST - Cash in Treasury

Historical Data

Agency Request and Executive Recommendation

Commitment Item		Historical Data			2013-2014			2014-2015		
		2011-2012 Actual	2012-2013 Budget	2012-2013 Authorized	Base Level	Agency	Executive	Base Level	Agency	Executive
Investments	5120013	0	1,900,000	1,900,000	1,900,000	1,900,000	1,900,000	1,900,000	1,900,000	1,900,000
Total		0	1,900,000	1,900,000	1,900,000	1,900,000	1,900,000	1,900,000	1,900,000	1,900,000
Funding Sources										
Fund Balance	4000005	1,088,017	1,121,570		2,469	2,469	2,469	2,469	2,469	2,469
Cash Fund	4000045	33,553	780,899		1,900,000	1,900,000	1,900,000	1,900,000	1,900,000	1,900,000
Total Funding		1,121,570	1,902,469		1,902,469	1,902,469	1,902,469	1,902,469	1,902,469	1,902,469
Excess Appropriation/(Funding)		(1,121,570)	(2,469)		(2,469)	(2,469)	(2,469)	(2,469)	(2,469)	(2,469)
Grand Total		0	1,900,000		1,900,000	1,900,000	1,900,000	1,900,000	1,900,000	1,900,000

Analysis of Budget Request

Appropriation: 35K - AR EPSCoR-Federal

Funding Sources: FST - ASTA Federal Programs

The Experimental Program to Stimulate Competitive Research (EPSCoR), funded by the National Science Foundation is a statewide multi-university collaborative research initiative addressing two research areas: 1) plant-based bioproduction and 2) wireless nanosensors. Plant-based bioproduction is a project which targets biochemical pathways in plants - harnessing and enhancing the production power in plants. Wireless nanosensors is a project which links the potential commercial applications of inexpensive nanosensors with wireless data collection and analysis.

Base Level Regular Salaries and Personal Services Matching includes Career Service payments for eligible employees. Personal Services Matching also includes a \$20 increase in the monthly contribution for State employee's health insurance for a total State match per budgeted employee of \$410 per month. Base Level salaries and matching do not include appropriation for a Cost of Living Adjustment or Merit Pay increases.

The Authority requests Base Level appropriation for the 2013-2015 Biennium.

The Executive Recommendation provides for the Agency Request.

Appropriation Summary

Appropriation: 35K - AR EPSCoR-Federal

Funding Sources: FST - ASTA Federal Programs

Historical Data

Agency Request and Executive Recommendation

Commitment Item		Historical Data			2013-2014			2014-2015		
		2011-2012 Actual	2012-2013 Budget	2012-2013 Authorized	Base Level	Agency	Executive	Base Level	Agency	Executive
Regular Salaries	5010000	208,719	197,718	1,083,593	197,718	197,718	197,718	198,318	198,318	198,318
#Positions		3	3	5	3	3	3	3	3	3
Personal Services Matching	5010003	58,710	57,320	261,266	58,158	58,158	58,158	58,291	58,291	58,291
Operating Expenses	5020002	93,531	497,664	875,583	497,664	497,664	497,664	497,664	497,664	497,664
Conference & Travel Expenses	5050009	42,589	81,000	138,333	81,000	81,000	81,000	81,000	81,000	81,000
Professional Fees	5060010	118,963	224,400	599,667	224,400	224,400	224,000	224,400	224,400	224,000
Data Processing	5090012	0	0	0	0	0	0	0	0	0
Grants and Aid	5100004	3,547,975	10,408,584	20,120,796	10,408,584	10,408,584	10,408,584	10,408,584	10,408,584	10,408,584
Capital Outlay	5120011	0	0	0	0	0	0	0	0	0
Total		4,070,487	11,466,686	23,079,238	11,467,524	11,467,524	11,467,124	11,468,257	11,468,257	11,467,857
Funding Sources										
Federal Revenue	4000020	4,070,487	11,466,686		11,467,524	11,467,524	11,467,524	11,468,257	11,468,257	11,468,257
Total Funding		4,070,487	11,466,686		11,467,524	11,467,524	11,467,524	11,468,257	11,468,257	11,468,257
Excess Appropriation/(Funding)		0	0		0	0	(400)	0	0	(400)
Grand Total		4,070,487	11,466,686		11,467,524	11,467,524	11,467,124	11,468,257	11,468,257	11,467,857

Analysis of Budget Request

Appropriation: 678 - Science & Tech-St Operations

Funding Sources: HUA - Miscellaneous Agencies Fund

The Arkansas Science and Technology Authority was created to develop and promote Arkansas' technological resources and to encourage the use of advanced technology in the State's business and agricultural communities. The Authority offers a variety of programs emphasizing three areas: project financing, company financing, and technology extension/development. This appropriation is funded by General Revenue.

Base Level Regular Salaries and Personal Services Matching includes Career Service payments for eligible employees. Personal Services Matching also includes a \$20 increase in the monthly contribution for State employee's health insurance for a total State match per budgeted employee of \$410 per month. Base Level salaries and matching do not include appropriation for a Cost of Living Adjustment or Merit Pay increases. The Base Level salary of the unclassified position reflects the FY13 line item maximum.

The Agency requests a Change Level increase of \$80,000 each year of the biennium.

- \$10,771 for Extra Help and Personal Services Matching to restore appropriation to the authorized FY13 level;
- \$58,217 for Operating Expenses include \$41,947 for software maintenance, data processing supplies, software licenses, and tablets, these items are found in the agency's state IT plan under Hardware and Software, pages 21 and 22; miscellaneous technical and other expenses and services, \$16,270 for postage, telecommunications, network services, travel related expenses, membership dues, office supplies and food service and trash pickup;
- \$11,012 for Conference & Travel for attendance at the Southern Technology Council meeting in conjunction with the Southern Growth Policies and the State Science & Technology Initiative to remain engaged in regional and national science and technology discussions. These meetings provide opportunities to learn, network, and to share best practices, as well as teach effective stewardship of state-funded, technology-based economic development strategies.

The Executive Recommendation provides for the Agency Request and additional General Revenue funding of \$80,000.

Appropriation Summary

Appropriation: 678 - Science & Tech-St Operations

Funding Sources: HUA - Miscellaneous Agencies Fund

Historical Data

Agency Request and Executive Recommendation

Commitment Item		Historical Data			Agency Request and Executive Recommendation			Agency Request and Executive Recommendation		
		2011-2012 Actual	2012-2013 Budget	2012-2013 Authorized	2013-2014			2014-2015		
					Base Level	Agency	Executive	Base Level	Agency	Executive
Regular Salaries	5010000	655,238	652,506	681,796	658,038	658,038	658,038	658,138	658,138	658,138
#Positions		13	13	13	13	13	13	13	13	13
Extra Help	5010001	1,421	2,000	12,000	2,000	12,000	12,000	2,000	12,000	12,000
#Extra Help		1	2	2	2	2	2	2	2	2
Personal Services Matching	5010003	199,702	203,826	207,269	208,554	209,325	209,325	208,575	209,346	209,346
Operating Expenses	5020002	197,347	160,486	221,295	160,486	218,703	218,703	160,486	218,703	218,703
Conference & Travel Expenses	5050009	15,229	16,788	27,800	16,788	27,800	27,800	16,788	27,800	27,800
Professional Fees	5060010	6,557	16,800	16,800	16,800	16,800	16,800	16,800	16,800	16,800
Data Processing	5090012	0	0	0	0	0	0	0	0	0
Grants and Aid	5100004	292,653	292,653	292,653	292,653	292,653	292,653	292,653	292,653	292,653
Capital Outlay	5120011	0	0	0	0	0	0	0	0	0
Technology Development	5900046	156,975	156,975	156,975	156,975	156,975	156,975	156,975	156,975	156,975
Seed Capital Investments	5900047	292,653	292,653	292,653	292,653	292,653	292,653	292,653	292,653	292,653
Total		1,817,775	1,794,687	1,909,241	1,804,947	1,884,947	1,884,947	1,805,068	1,885,068	1,885,068
Funding Sources										
General Revenue	4000010	1,817,775	1,777,376		1,804,947	1,884,947	1,884,947	1,805,068	1,885,068	1,885,068
Merit Adjustment Fund	4000055	0	17,311		0	0	0	0	0	0
Total Funding		1,817,775	1,794,687		1,804,947	1,884,947	1,884,947	1,805,068	1,885,068	1,885,068
Excess Appropriation/(Funding)		0	0		0	0	0	0	0	0
Grand Total		1,817,775	1,794,687		1,804,947	1,884,947	1,884,947	1,805,068	1,885,068	1,885,068

Change Level by Appropriation

Appropriation: 678 - Science & Tech-St Operations
Funding Sources: HUA - Miscellaneous Agencies Fund

Agency Request

Change Level		2013-2014	Pos	Cumulative	% of BL	2014-2015	Pos	Cumulative	% of BL
BL	Base Level	1,804,947	13	1,804,947	100.0	1,805,068	13	1,805,068	100.0
C01	Existing Program	69,500	0	1,874,447	103.9	69,500	0	1,874,568	103.9
C08	Technology	10,500	0	1,884,947	104.4	10,500	0	1,885,068	104.4

Executive Recommendation

Change Level		2013-2014	Pos	Cumulative	% of BL	2014-2015	Pos	Cumulative	% of BL
BL	Base Level	1,804,947	13	1,804,947	100.0	1,805,068	13	1,805,068	100.0
C01	Existing Program	69,500	0	1,874,447	103.9	69,500	0	1,874,568	103.9
C08	Technology	10,500	0	1,884,947	104.4	10,500	0	1,885,068	104.4

Justification

C01	The agency requests a change level of \$69,500 each year of the biennium, for Extra Help and Personal Services Matching of \$10,771, an increase in Operating Expenses of \$47,717, and an increase in Conference & Travel of \$11,012. The increase request for the Extra Help and Operating Expenses are to restore the appropriation to the authorized FY13 level. The Conference and Travel increase is requested for meeting attendance of the Southern Technology Council in conjunction with the Southern Growth Policies and the State Science & Technology Initiative, are critical for Arkansas to remain engaged in regional and national science and technology discussions. The ever-changing technology landscape demands staff apprised of the state of innovation, research, and commercialization efforts. These meetings provide valuable learning, networking, and opportunities to share best practices, as well as teach effective stewardship of state-funded, technology-based economic development strategies.
C08	The agency requests a change level of \$10,500 for software maintenance, data processing supplies, software licenses, and tablets. The increase is due to the increase in staff by one-third (1/3), new computer systems have been introduced or replaced existing ones. This includes SharePoint (hosted by DIS) and the new agency website, hosted by INA. The agency has participated in the current trend to provide tablets to its board members to increase their participation in review of board documents and reduce costs related to producing and distributing paper copies of board packets. These items can be found in the agency's state IT plan under Hardware, page 21, and Software, page 22.

**CARRY FORWARD OF ANY REMAINING FUND BALANCES
FROM FISCAL YEAR 2012 TO FISCAL YEAR 2013**

Agency: Science and Technology Authority

Program: Science & Tech-St Operations

Act #: 1064 Section(s) #: 3 & 13

Estimated Carry Forward Amount \$ 0.00 Funding Source: Miscellaneous Agencies

Accounting Information:

Business Area: 0327 Funds Center: 678 Fund: HUA Functional Area: COMM

Current law requires a written statement be submitted to the DFA Office of Budget stating the reason(s) to carry forward funding for a program or a specific line item within a program remaining on June 30th of a fiscal year.

Justification for carry forward of fund balance:

No carry forward, all funds will be spent.

Actual Funding Carry Forward Amount \$ 0.00

Current status of carry forward funding:

All funding was spent in FY12.

Dr. John W. Ahlen
President

08-23-2012
Date

Analysis of Budget Request

Appropriation: 84W - New AMS - Cash in Treasury

Funding Sources: NST - Cash in Treasury

The Arkansas Science and Technology Authority's Arkansas Manufacturing Extension Network program provides a statewide industry driven Manufacturing Extension Network for the delivery of technical and management assistance. This appropriation was established in 2012 by separating the client (cash)funded portion from the federal funded portion of the cooperative agreement.

Funding is provided by client (cash) service agreements and training class revenue.

Base Level Regular Salaries and Personal Services Matching includes Carer Service payments for eligible employees. Personal Services Matching also includes a \$20 increase in the monthly contribution for State employee's health insurance for a total State match per budgeted employee of \$410 per month. Base Level salaries and matching do not include appropriation for a Cost of Living Adjustment or Merit Pay increases.

The Agency requests a Change Level of \$65,158 each year of the biennium as follows:

- \$101,361 in Regular Salaries and Personal Services Matching for a new AMS Director Grade N906 position, to be transferred from the University of Arkansas at Little Rock (UALR). This position is requested to reduce the disparity of personnel policies including leave (annual and sick), time keeping, raises/merit bonuses, and travel reimbursement;
- \$117,286 in Operating Expenses and \$21,000 in Conference & Travel Expenses is requested for the continued operation of the program at the current level with the new position. These increases are partially offset by a decrease in the Grants & Aid and Field Services line items;
- \$22,781 reduction in Grants & Aid to offset the increase in Conference & Travel and Operating Expenses;
- \$151,708 reduction in the Field Services line item to offset the increase in Operating Expenses and Conference & Travel.

The Executive Recommendation provides for the Agency Request. Expenditure of appropriation is contingent upon available funding.

Appropriation Summary

Appropriation: 84W - New AMS - Cash in Treasury

Funding Sources: NST - Cash in Treasury

Historical Data

Agency Request and Executive Recommendation

Commitment Item		Historical Data			2013-2014			2014-2015		
		2011-2012 Actual	2012-2013 Budget	2012-2013 Authorized	Base Level	Agency	Executive	Base Level	Agency	Executive
Regular Salaries	5010000	160,961	152,414	154,772	152,414	231,496	231,496	152,414	231,496	231,496
#Positions		3	3	3	3	4	4	3	4	4
Personal Services Matching	5010003	48,584	47,404	47,125	48,216	70,495	70,495	48,216	70,495	70,495
Operating Expenses	5020002	98,815	94,457	99,900	94,457	211,743	211,743	94,457	211,743	211,743
Conference & Travel Expenses	5050009	22,885	31,860	31,860	31,860	52,860	52,860	31,860	52,860	52,860
Professional Fees	5060010	6,650	75,000	75,000	75,000	75,000	75,000	75,000	75,000	75,000
Data Processing	5090012	0	0	0	0	0	0	0	0	0
Grants and Aid	5100004	182,818	381,552	440,000	381,552	358,771	358,771	381,552	358,771	358,771
Capital Outlay	5120011	0	0	0	0	0	0	0	0	0
Field Services	5900046	90,307	391,708	391,708	391,708	240,000	240,000	391,708	240,000	240,000
Total		611,020	1,174,395	1,240,365	1,175,207	1,240,365	1,240,365	1,175,207	1,240,365	1,240,365

Funding Sources										
Fund Balance	4000005	0	1,011,286		436,891	436,891	436,891	201,684	136,526	136,526
Cash Fund	4000045	381,521	600,000		940,000	940,000	940,000	1,110,000	1,110,000	1,110,000
Inter-agency Fund Transfer	4000316	1,240,785	0		0	0	0	0	0	0
Total Funding		1,622,306	1,611,286		1,376,891	1,376,891	1,376,891	1,311,684	1,246,526	1,246,526
Excess Appropriation/(Funding)		(1,011,286)	(436,891)		(201,684)	(136,526)	(136,526)	(136,477)	(6,161)	(6,161)
Grand Total		611,020	1,174,395		1,175,207	1,240,365	1,240,365	1,175,207	1,240,365	1,240,365

The FY13 Budget amount in Personal Services Matching exceeds the authorized amount due to matching rate adjustments during the 2011-2013 Biennium.

Change Level by Appropriation

Appropriation: 84W - New AMS - Cash in Treasury
Funding Sources: NST - Cash in Treasury

Agency Request

Change Level		2013-2014	Pos	Cumulative	% of BL	2014-2015	Pos	Cumulative	% of BL
BL	Base Level	1,175,207	3	1,175,207	100.0	1,175,207	3	1,175,207	100.0
C01	Existing Program	239,647	1	1,414,854	120.4	239,647	1	1,414,854	120.4
C03	Discontinue Program	(174,489)	0	1,240,365	105.5	(174,489)	0	1,240,365	105.5

Executive Recommendation

Change Level		2013-2014	Pos	Cumulative	% of BL	2014-2015	Pos	Cumulative	% of BL
BL	Base Level	1,175,207	3	1,175,207	100.0	1,175,207	3	1,175,207	100.0
C01	Existing Program	239,647	1	1,414,854	120.4	239,647	1	1,414,854	120.4
C03	Discontinue Program	(174,489)	0	1,240,365	105.5	(174,489)	0	1,240,365	105.5

Justification

C01	Arkansas Manufacturing Solutions (AMS) has awarded grants to Arkansas State University, Winrock International, and University of Arkansas at Little Rock, SAU Tech, and the University of Arkansas at Fayetteville. These grants supported project managers' salaries, fringe benefits, operating expenses, and travel. The agency requests a change level of \$239,647 for a new AMS Director N906 position, which includes \$101,361 in Regular Salaries and Personal Services Matching. The agency also requests an increase in Operating Expenses of \$117,286, and \$21,000 for Conference and Travel. The position is requested to reduce the disparate personnel policies including annual leave, sick leave, time keeping, raises/merit bonuses, and travel reimbursement. This reallocation will be partially offset by decreases in Grants and Aid and Field Services line items.
C03	Arkansas Manufacturing Solutions (AMS) requests a reduction of \$174,489 which includes Grants and Aid of \$22,781 and Field Services line item of \$151,708. These reductions will be offset by the requested change level.

Analysis of Budget Request

Appropriation: 85E - Energy Efficiency-Cash in Treasury

Funding Sources: NST - Cash in Treasury

This appropriation was established during the 2011-2013 Biennium by a transfer from the Cash Fund Holding Account. The Agency requests continuation of this program to provide workshops and/or conferences Arkansas manufacturers. The Agency is the contractor to develop, plan, and execute the logistical details for the Energy Efficiency program workshops and/or conferences. Funding is provided by a grant from the Arkansas Economic Development Commission.

The Agency requests an increase of \$150,000 for both years of the biennium, which includes \$60,000 in Operating Expenses and \$90,000 in Grants & Aid to continue to provide energy efficiency workshops or classes.

The Executive Recommendation provides for the Agency Request. Expenditure of appropriation is contingent upon available funding.

Appropriation Summary

Appropriation: 85E - Energy Efficiency-Cash in Treasury

Funding Sources: NST - Cash in Treasury

Historical Data

Agency Request and Executive Recommendation

Commitment Item		Historical Data			Agency Request and Executive Recommendation			Agency Request and Executive Recommendation		
		2011-2012 Actual	2012-2013 Budget	2012-2013 Authorized	2013-2014			2014-2015		
					Base Level	Agency	Executive	Base Level	Agency	Executive
Operating Expenses	5020002	24,598	70,000	0	0	60,000	60,000	0	60,000	60,000
Conference & Travel Expenses	5050009	0	0	0	0	0	0	0	0	0
Professional Fees	5060010	0	0	0	0	0	0	0	0	0
Data Processing	5090012	0	0	0	0	0	0	0	0	0
Grants and Aid	5100004	34,480	115,000	0	0	90,000	90,000	0	90,000	90,000
Capital Outlay	5120011	0	0	0	0	0	0	0	0	0
Total		59,078	185,000	0	0	150,000	150,000	0	150,000	150,000
Funding Sources										
Fund Balance	4000005	96,266	38,267		3,267	3,267	3,267	3,267	3,267	3,267
Cash Fund	4000045	1,079	150,000		150,000	150,000	150,000	150,000	150,000	150,000
Total Funding		97,345	188,267		153,267	153,267	153,267	153,267	153,267	153,267
Excess Appropriation/(Funding)		(38,267)	(3,267)		(153,267)	(3,267)	(3,267)	(153,267)	(3,267)	(3,267)
Grand Total		59,078	185,000		0	150,000	150,000	0	150,000	150,000

Budget exceeds Authorized Appropriation in Operating Expenses and Grants & Aid due to a transfer from the Cash Fund Holding Account.

Change Level by Appropriation

Appropriation: 85E - Energy Efficiency-Cash in Treasury
Funding Sources: NST - Cash in Treasury

Agency Request

Change Level		2013-2014	Pos	Cumulative	% of BL	2014-2015	Pos	Cumulative	% of BL
BL	Base Level	0	0	0	0.0	0	0	0	0.0
C01	Existing Program	150,000	0	150,000	100.0	150,000	0	150,000	100.0

Executive Recommendation

Change Level		2013-2014	Pos	Cumulative	% of BL	2014-2015	Pos	Cumulative	% of BL
BL	Base Level	0	0	0	0.0	0	0	0	0.0
C01	Existing Program	150,000	0	150,000	100.0	150,000	0	150,000	100.0

Justification

C01	The agency requests a change level of \$150,000 for the Energy Efficiency Arkansas grant from the Arkansas Economic Development Commission (AEDC) to continue to provide energy efficiency program workshops to manufacturers. This includes an increase of \$60,000 in Operating Expenses and \$90,000 in Grants and Aid. The agency will be the workshop coordination contractor to develop, plan, and execute logistical details for these workshops.
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Analysis of Budget Request

Appropriation: 919 - AR Manufacturing Extension Network-Fed

Funding Sources: FST - ASTA Federal Programs

The Arkansas Science and Technology Authority's Arkansas Manufacturing Extension Network program provides a statewide industry driven Manufacturing Extension Network for the delivery of technical and management assistance. The program is funded by the U.S. Department of Commerce's National Institute of Standards and Technology (NIST) and third party reimbursements for field services and technical support from manufacturers supported under this grant.

Base Level Regular Salaries and Personal Services Matching includes Career Service payments for eligible employees. Personal Services Matching also includes a \$20 increase in the monthly contribution for State employee's health insurance for a total State match per budgeted employee of \$410 per month. Base Level salaries and matching do not include appropriation for a Cost of Living Adjustment or Merit Pay increases.

The Agency requests a Change Level reallocation of \$841,110, from the Field Services line item for each year of the biennium to the following:

The Agency, through Arkansas Manufacturing Solutions (AMS), awards grants to Arkansas State University, University of Arkansas at Little Rock, SAU-Tech, and the University of Arkansas at Fayetteville. These grants supported salaries, fringe benefits, operating expenses, and travel.

- The Agency requests nine (9) new positions, 7 which are to be transferred from these schools and 2 new positions to remain at their current program level. The positions include one Assistant Director Sales & Marketing, 1 Sales & Marketing Specialist Grade N901, and 7 Field Engineers Grade N902 for \$786,352 in Regular Salaries and Personal Services Matching. These positions are requested to eliminate the disparity in personnel policies regarding annual and sick leave, time keeping, raises/merit bonuses, and travel reimbursement;
- Also requested is an increase in Operating Expenses of \$24,758 and;
- An increase in Conference & Travel of \$30,000.

The Executive Recommendation provides for the Agency Request.

Appropriation Summary

Appropriation: 919 - AR Manufacturing Extension Network-Fed

Funding Sources: FST - ASTA Federal Programs

Historical Data

Agency Request and Executive Recommendation

Commitment Item	Historical Data			2013-2014			2014-2015		
	2011-2012 Actual	2012-2013 Budget	2012-2013 Authorized	Base Level	Agency	Executive	Base Level	Agency	Executive
Regular Salaries 5010000	0	0	0	0	608,504	608,504	0	608,504	608,504
#Positions	0	0	0	0	9	9	0	9	9
Personal Services Matching 5010003	0	0	0	0	177,848	177,848	0	177,848	177,848
Operating Expenses 5020002	44,796	100,000	100,000	100,000	124,758	124,758	100,000	124,758	124,758
Conference & Travel Expenses 5050009	0	0	0	0	30,000	30,000	0	30,000	30,000
Professional Fees 5060010	0	0	0	0	0	0	0	0	0
Data Processing 5090012	0	0	0	0	0	0	0	0	0
Capital Outlay 5120011	0	0	0	0	0	0	0	0	0
Field Services 5900046	841,111	841,110	841,110	841,110	0	0	841,110	0	0
Total	885,907	941,110	941,110	941,110	941,110	941,110	941,110	941,110	941,110
Funding Sources									
Federal Revenue 4000020	885,907	941,110		941,110	941,110	941,110	941,110	941,110	941,110
Total Funding	885,907	941,110		941,110	941,110	941,110	941,110	941,110	941,110
Excess Appropriation/(Funding)	0	0		0	0	0	0	0	0
Grand Total	885,907	941,110		941,110	941,110	941,110	941,110	941,110	941,110

Change Level by Appropriation

Appropriation: 919 - AR Manufacturing Extension Network-Fed
Funding Sources: FST - ASTA Federal Programs

Agency Request

Change Level		2013-2014	Pos	Cumulative	% of BL	2014-2015	Pos	Cumulative	% of BL
BL	Base Level	941,110	0	941,110	100.0	941,110	0	941,110	100.0
C01	Existing Program	841,110	9	1,782,220	189.4	841,110	9	1,782,220	189.4
C03	Discontinue Program	(841,110)	0	941,110	100.0	(841,110)	0	941,110	100.0

Executive Recommendation

Change Level		2013-2014	Pos	Cumulative	% of BL	2014-2015	Pos	Cumulative	% of BL
BL	Base Level	941,110	0	941,110	100.0	941,110	0	941,110	100.0
C01	Existing Program	841,110	9	1,782,220	189.4	841,110	9	1,782,220	189.4
C03	Discontinue Program	(841,110)	0	941,110	100.0	(841,110)	0	941,110	100.0

Justification

C01	Arkansas Manufacturing Solutions (AMS) has awarded grants to Arkansas State University, Winrock International, and University of Arkansas at Little Rock, SAU Tech, and the University of Arkansas at Fayetteville. These grants supported project managers' salaries, fringe benefits, operating expenses, and travel. The agency requests a change level of \$841,110. This includes nine (9) new positions which includes one (1) Assistant Director Sales and Marketing Grade N903, one (1) Sales and Marketing Specialist Grade N901, and seven (7) Field Engineers Grade N902 for \$786,352 in Regular Salaries and Personal Services Matching. The agency also requests an increase in Operating Expenses of \$24,758, and \$30,000 for Conference and Travel. These positions are requested to reduce the disparate personnel policies including annual leave, sick leave, time keeping, raises/merit bonuses, and travel reimbursement. This reallocation will be offset by a decrease in the Filed Services line item.
C03	Arkansas Manufacturing Solutions (AMS) requests a reduction of \$841,110 in the Field Services line item. This reduction will be offset by the requested change level.

Analysis of Budget Request

Appropriation: 99Q - AR Acceleration Fund

Funding Sources: MST - Arkansas Acceleration Fund

Act 706 of 2011 created the Arkansas Acceleration Fund. The Arkansas Science & Technology Authority shall use this fund for support and assistance for the accelerated growth of knowledge-based and high-technology jobs in the state through funding of the state's initiatives and programs defined under the Act. Among those included, are initiatives and programs authorized by the Arkansas Research Alliance, Innovate Arkansas, and Arkansas Risk Capital Matching.

The fund shall consist of funds provided by law and grants made by any person or federal government agency.

The Agency requests a Change Level of \$18,700,000 for each year of the biennium.

The Executive Recommendation provides for the Agency Request.

Appropriation Summary

Appropriation: 99Q - AR Acceleration Fund

Funding Sources: MST - Arkansas Acceleration Fund

Historical Data

Agency Request and Executive Recommendation

Commitment Item	Historical Data			2013-2014			2014-2015		
	2011-2012 Actual	2012-2013 Budget	2012-2013 Authorized	Base Level	Agency	Executive	Base Level	Agency	Executive
Grants and Aid 5100004	0	0	61,000,000	0	18,700,000	18,700,000	0	18,700,000	18,700,000
Total	0	0	61,000,000	0	18,700,000	18,700,000	0	18,700,000	18,700,000
Funding Sources									
Other 4000370	0	0		0	18,700,000	18,700,000	0	18,700,000	18,700,000
Total Funding	0	0		0	18,700,000	18,700,000	0	18,700,000	18,700,000
Excess Appropriation/(Funding)	0	0		0	0	0	0	0	0
Grand Total	0	0		0	18,700,000	18,700,000	0	18,700,000	18,700,000

Change Level by Appropriation

Appropriation: 99Q - AR Acceleration Fund
Funding Sources: MST - Arkansas Acceleration Fund

Agency Request

Change Level		2013-2014	Pos	Cumulative	% of BL	2014-2015	Pos	Cumulative	% of BL
C01	Existing Program	18,700,000	0	18,700,000	100.0	18,700,000	0	18,700,000	100.0

Executive Recommendation

Change Level		2013-2014	Pos	Cumulative	% of BL	2014-2015	Pos	Cumulative	% of BL
C01	Existing Program	18,700,000	0	18,700,000	100.0	18,700,000	0	18,700,000	100.0

Justification

C01	The agency requests a Change Level of \$18.7 million for each year of the biennium for support and assistance for accelerated growth of knowledge-based and high-technology jobs through funding of the state's initiatives and programs under the Acceleration Fund Act.								
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Appropriation Summary

Appropriation: 1FA - Rockefeller-IMSST-Cash in Treasury

Funding Sources: NST - Cash in Treasury

Historical Data

Agency Request and Executive Recommendation

Commitment Item		Historical Data			2013-2014			2014-2015		
		2011-2012 Actual	2012-2013 Budget	2012-2013 Authorized	Base Level	Agency	Executive	Base Level	Agency	Executive
Operating Expenses	5020002	3,389	0	0	0	0	0	0	0	0
Conference & Travel Expenses	5050009	0	0	0	0	0	0	0	0	0
Professional Fees	5060010	10,834	0	0	0	0	0	0	0	0
Data Processing	5090012	0	0	0	0	0	0	0	0	0
Grants and Aid	5100004	244,199	0	51,563	0	0	0	0	0	0
Capital Outlay	5120011	0	0	0	0	0	0	0	0	0
Total		258,422	0	51,563	0	0	0	0	0	0
Funding Sources										
Fund Balance	4000005	344,991	180,328		180,328	180,328	180,328	180,328	180,328	180,328
Cash Fund	4000045	93,759	0		0	0	0	0	0	0
Total Funding		438,750	180,328		180,328	180,328	180,328	180,328	180,328	180,328
Excess Appropriation/(Funding)		(180,328)	(180,328)		(180,328)	(180,328)	(180,328)	(180,328)	(180,328)	(180,328)
Grand Total		258,422	0		0	0	0	0	0	0

APPROPRIATION NOT REQUESTED FOR THE 2013-2015 BIENNIUM.

Appropriation Summary

Appropriation: 38X - St Industrial Assessment-Federal

Funding Sources: FST - ASTA Federal Programs

Historical Data

Agency Request and Executive Recommendation

Commitment Item	2011-2012	2012-2013	2012-2013	2013-2014			2014-2015		
	Actual	Budget	Authorized	Base Level	Agency	Executive	Base Level	Agency	Executive
Grants and Aid 5100004	0	0	25,000	0	0	0	0	0	0
Total	0	0	25,000	0	0	0	0	0	0

APPROPRIATION NOT REQUESTED FOR THE 2013-2015 BIENNIUM.

Appropriation Summary

Appropriation: 83R - ARRA AIEC

Funding Sources: FST - Federal Funds-ARRA

Historical Data

Agency Request and Executive Recommendation

Commitment Item	2011-2012 Actual	2012-2013 Budget	2012-2013 Authorized	2013-2014			2014-2015		
				Base Level	Agency	Executive	Base Level	Agency	Executive
ARRA of 2009 5900052	85,341	0	7,909,401	0	0	0	0	0	0
Total	85,341	0	7,909,401	0	0	0	0	0	0
Funding Sources									
Federal Funds-ARRA 4000244	85,341	0		0	0	0	0	0	0
Total Funding	85,341	0		0	0	0	0	0	0
Excess Appropriation/(Funding)	0	0		0	0	0	0	0	0
Grand Total	85,341	0		0	0	0	0	0	0

ARRA of 2009 appropriation and/or positions have been removed from Base Level and are not requested for the 2013 - 2015 Biennium.

Appropriation Summary

Appropriation: 84V - Health Information Technology State

Funding Sources: MHI - Health Information Technology Fund

Historical Data

Agency Request and Executive Recommendation

Commitment Item		Historical Data			2013-2014			2014-2015		
		2011-2012 Actual	2012-2013 Budget	2012-2013 Authorized	Base Level	Agency	Executive	Base Level	Agency	Executive
Regular Salaries	5010000	67,886	0	0	0	0	0	0	0	0
#Positions		0	0	0	0	0	0	0	0	0
Personal Services Matching	5010003	21,054	0	0	0	0	0	0	0	0
Operating Expenses	5020002	42,249	0	0	0	0	0	0	0	0
Conference & Travel Expenses	5050009	613	0	0	0	0	0	0	0	0
Professional Fees	5060010	32,742	0	0	0	0	0	0	0	0
Total		164,544	0	0	0	0	0	0	0	0
Funding Sources										
Special Revenue	4000030	692,979	0		0	0	0	0	0	0
Transfers (to) / from Agencies	4000693	(528,435)	0		0	0	0	0	0	0
Total Funding		164,544	0		0	0	0	0	0	0
Excess Appropriation/(Funding)		0	0		0	0	0	0	0	0
Grand Total		164,544	0		0	0	0	0	0	0

APPROPRIATION NOT REQUESTED FOR THE 2013-2015 BIENNIUM.

The excess funding was transferred Health Information Technology Fund to the Office of Health Information Technology at the beginning of FY13.

Appropriation Summary

Appropriation: 84X - Health Information Technology Federal

Funding Sources: FST - Federal Funds-ARRA

Historical Data

Agency Request and Executive Recommendation

Commitment Item		Historical Data			2013-2014			2014-2015		
		2011-2012 Actual	2012-2013 Budget	2012-2013 Authorized	Base Level	Agency	Executive	Base Level	Agency	Executive
Regular Salaries	5010000	456,000	0	0	0	0	0	0	0	0
#Positions		9	0	0	0	0	0	0	0	0
Personal Services Matching	5010003	140,677	0	0	0	0	0	0	0	0
Operating Expenses	5020002	263,648	0	0	0	0	0	0	0	0
Conference & Travel Expenses	5050009	4,908	0	0	0	0	0	0	0	0
Professional Fees	5060010	93,383	0	0	0	0	0	0	0	0
Data Processing	5090012	0	0	0	0	0	0	0	0	0
Capital Outlay	5120011	0	0	0	0	0	0	0	0	0
HIT Expenses & Grants	5900046	135,840	0	0	0	0	0	0	0	0
Total		1,094,456	0	0	0	0	0	0	0	0
Funding Sources										
Federal Funds-ARRA	4000244	1,094,456	0		0	0	0	0	0	0
Total Funding		1,094,456	0		0	0	0	0	0	0
Excess Appropriation/(Funding)		0	0		0	0	0	0	0	0
Grand Total		1,094,456	0		0	0	0	0	0	0

ARRA of 2009 appropriation and/or positions have been removed from Base Level and are not requested for the 2013 - 2015 Biennium.

Appropriation Summary

Appropriation: 86B - Collaborative Plan46

Funding Sources: NST - Cash in Treasury

Historical Data

Agency Request and Executive Recommendation

Commitment Item		Historical Data			2013-2014			2014-2015		
		2011-2012 Actual	2012-2013 Budget	2012-2013 Authorized	Base Level	Agency	Executive	Base Level	Agency	Executive
Operating Expenses	5020002	34,004	0	0	0	0	0	0	0	0
Professional Fees	5060010	56,109	0	0	0	0	0	0	0	0
Total		90,113	0	0	0	0	0	0	0	0
Funding Sources										
Fund Balance	4000005	131,005	0		0	0	0	0	0	0
Interest	4000300	1,508	0		0	0	0	0	0	0
Transfers (to) / from Agencies	4000693	(42,400)	0		0	0	0	0	0	0
Total Funding		90,113	0		0	0	0	0	0	0
Excess Appropriation/(Funding)		0	0		0	0	0	0	0	0
Grand Total		90,113	0		0	0	0	0	0	0

APPROPRIATION NOT REQUESTED FOR THE 2013-2015 BIENNIUM.

Excess funding transferred to the Office of Health Information Technology in FY13.