

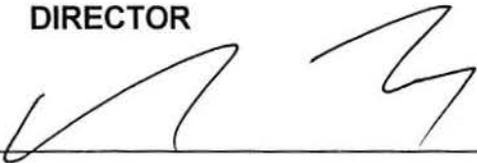
**ARKANSAS BUDGET SYSTEM  
AGENCY PROGRAM COMMENTARY  
2001 - 2003**

In addition to enforcement, the Alcoholic Beverage Control Enforcement Division of the Department of Finance and Administration conducts background investigations of those persons, businesses and organizations who apply for licenses under the Alcoholic Beverage Control Act. The Alcoholic Beverage Control Agents conduct inspections and report on permit applications in addition to the investigations of violations and apprehensions of violators. The agents also investigate and inspect the damaged shipments of any controlled beverages which have been involved in a fire, wreck or other casualty to certify the extent and the amount of damage for the purpose of returning any taxes which have been paid to the State. The agents also answer complaints and perform other special assignments.

The Alcoholic Beverage Control Enforcement Division is funded from the State General Services (HUA) fund. The Division is in need of additional appropriation for the 2001-2003 biennium. Increases in Maintenance and Operation in the amount of \$25,300 for each fiscal year is needed. The agents have increased their travel, which results in increased fuel, vehicle maintenance and credit card purchases. Capital Outlay in the amount of \$17,000 for FY02 and \$13,000 for FY03 would pay for Sales and Use Tax on the replacement of six (6) new vehicles, purchase of five (5) new computers and software upgrade the first year and replacement of three (3) computers the second year. Restoration of one currently authorized Accounting Technician, Grade 12, is also requested along with a Career Ladder Incentive and upgrade request for the Enforcement Agents.

The Division also requests to establish appropriation in the amount of \$457,084 for the existing miscellaneous federal grant program to combat underage drinking.

In summary, the agency is requesting an overall change level increase in State General Services funding in the amount of \$78,183 for FY02 and \$75,036 in FY03 and \$457,084 each year in federal funds.

<b>AGENCY</b> 612 – DFA – Alcoholic Beverage Control Enforcement Division	<b>DIRECTOR</b> 	<b>AGENCY PROGRAM COMMENTARY BR21</b>	<b>PAGE</b>  <b>13</b>
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DEPARTMENT OF FINANCE AND ADMINISTRATION  
ALCOHOLIC BEVERAGE CONTROL - ENFORCEMENT DIVISION  
SUMMARY OF AUDIT FINDINGS AND RECOMMENDATIONS  
FOR THE YEAR ENDED JUNE 30, 1998

Assets				Liabilities			Total Equity
Cash and Investments	Fixed	Other	Total	Current	Long-Term	Total	Total Equity
\$ 0	\$ 405,296	\$ 53,840	\$ 459,136	\$ 1,109	\$ 51,223	\$ 52,332	\$ 406,804

Revenues					Expenditures					Other Sources (Uses)
Intergovernmental	Federal	Licenses and Fees	Other	Total	Salaries and Matching	Grants and Aid	Capital	Other Operating	Total	Other Sources (Uses)
\$ 773,172	\$ 0	\$ 0	\$ 118	\$ 773,290	\$ 595,228	\$ 0	\$ 74,614	\$ 96,686	\$ 766,528	\$ 5,986

Findings

None

Recommendations

None

ARKANSAS BUDGET SYSTEM  
 EMPLOYMENT SUMMARY  
 AS REQUIRED BY ACT 358 OF 1993  
 (A.C.A 19-4-307)

AGENCY TITLE      612 - DFA-ABC ENFORCEMENT

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	MALE	FEMALE	TOTAL	PERCENTAGE OF TOTAL
WHITE EMPLOYEES	<u>14</u>	<u>3</u>	<u>17</u>	<u>89%</u>
BLACK EMPLOYEES	<u>2</u>	<u>0</u>	<u>2</u>	<u>11%</u>
EMPLOYEES OF OTHER RACIAL MINORITIES	<u>0</u>	<u>0</u>	<u>0</u>	<u>0%</u>
TOTAL EMPLOYED AS OF      08/05/00			2	11%
DATE			<u>TOTAL MINORITIES</u>	<u>11%</u>
			19	100%
			<u>TOTAL EMPLOYEES</u>	<u>100%</u>



Dick Barclay  
 AGENCY DIRECTOR

# STATE AGENCY PUBLICATIONS

2001-2003 Biennium

Act 1276 of 1999

AGENCY: DFA - Alcoholic Beverage Control Enforcement Division AGENCY #: 612

NAME OF PUBLICATION	STATUTORY AUTHORIZATION	PUBLICATION REQUIRED FOR GOVERNOR AND/OR GENERAL ASSEMBLY ONLY	NUMBER OF COPIES PUBLISHED	REASON(S) FOR CONTINUED PUBLICATION AND DISTRIBUTION
NONE				
				16

**ARKANSAS BUDGET SYSTEM  
DEPARTMENT APPROPRIATION SUMMARY**

AGENCY TITLE		1999-01				2001-03				2001-03			
DFA - Alcoholic Beverage Control Enforcement Div.		Expenditures				Biennium Request				Executive Recommendation			
Appropriations		Actual	No. of	Budgeted	No. of	Year 1	No. of	Year 2	No. of	Year 1	No. of	Year 2	No. of
Code	Name	1999-00	Pos.	2000-01	Pos.	2001-02	Pos.	2002-03	Pos.	2001-02	Pos.	2002-03	Pos.
217	State Operations	\$783,241	19	\$834,255	19	\$929,092	20	\$943,490	20	\$929,092	20	\$943,490	20
1SQ	Combating Underage Drinking (federal)	212,463		321,904		459,738		459,738		459,738		459,738	
<b>TOTALS</b>		<b>\$995,704</b>	<b>19</b>	<b>\$1,156,159</b>	<b>19</b>	<b>\$1,388,830</b>	<b>20</b>	<b>\$1,403,228</b>	<b>20</b>	<b>\$1,388,830</b>	<b>20</b>	<b>\$1,403,228</b>	<b>20</b>
<b>Funding Sources</b>			<b>% of Total</b>		<b>% of Total</b>		<b>% of Total</b>		<b>% of Total</b>		<b>% of Total</b>		<b>% of Total</b>
<b>Fund Balances</b>													
General Revenues		783,241	78.7%	834,255	72.2%	910,108	66.4%	924,017	66.8%	846,641	64.8%	864,076	65.3%
Special Revenues													
Federal Funds		212,463	21.3%	321,904	27.8%	459,738	33.6%	459,738	33.2%	459,738	35.2%	459,738	34.7%
Constitutional Officers Fund													
State Central Services Fund													
Non-Revenue Receipts													
Cash Funds													
Other													
<b>Total Funding</b>		<b>995,704</b>	<b>100.0%</b>	<b>1,156,159</b>	<b>100.0%</b>	<b>1,369,846</b>	<b>100.0%</b>	<b>1,383,755</b>	<b>100.0%</b>	<b>1,306,379</b>	<b>100.0%</b>	<b>1,323,814</b>	<b>100.0%</b>
Excess Appro./ (Funding)						18,984		19,473		82,451		79,414	
<b>TOTAL</b>		<b>\$995,704</b>		<b>\$1,156,159</b>		<b>\$1,388,830</b>		<b>\$1,403,228</b>		<b>\$1,388,830</b>		<b>\$1,403,228</b>	
<b>DEPARTMENT</b>				<b>DIRECTOR</b>					<b>DEPARTMENT APPROPRIATION SUMMARY</b>				
DFA - Alcoholic Beverage Control Enforcement Division				Dick Barclay					BR 40				

**ARKANSAS BUDGET SYSTEM  
ANALYSIS OF BUDGET REQUEST  
2001 – 2003**

This Federal funded appropriation will provide operations for the Department of Finance and Administration - Alcoholic Beverage Control (ABC) Enforcement Division, Combating Underage Drinking Program. The Program helps to reduce the availability of alcoholic beverages to minors and the consumption of alcoholic beverages by minors. Activities of the program include targeting establishments suspected of a pattern of violations of State laws governing the sale and consumption of alcohol by minors, public advertising programs to educate establishments about prohibitions and sanctions, and innovative programs to prevent and combat underage drinking.

The Base Level for this appropriation is \$2,654 each year of the biennium. The Agency is requesting change levels in the amount of \$457,084 for each year of the biennium. The request is to establish additional appropriation for an existing Miscellaneous Federal Grant. Operating Expenses are in relation to increasing law enforcement activity with regard to the sale of alcohol to minors; Overtime and Personal Service Matching due to after hours and weekend compliance checks; Conference Fees and Travel for National Association of Alcohol Enforcement Officers meetings; Professional Fees for contract with Arkansas Drug Free Youth; and Capital Outlay for surveillance and computer equipment to assist enforcement officers.

The Executive Recommendation provides for the Agency Request.

AGENCY	APPROPRIATION	TREASURY FUND	ANALYSIS OF BUDGET REQUEST	PAGE
Name: DFA - Alcoholic Beverage Control Enforcement Division  Code: 612	Name: Combating Underage Drinking - Federal  Code: 1SQ	Name: ABC Enforcement Federal  Code: FFC	BR20	18

ARKANSAS BUDGET SYSTEM

CHARACTER TITLE	-----EXPENDITURES-----			-----01-02 FISCAL YEAR-----			-----02-03 FISCAL YEAR-----			-----R E C O M M E N D A T I O N S-----			
	99-00	00-01	00-01	BASE	CHANGE	TOTAL	BASE	CHANGE	TOTAL	EXECUTIVE		LEGISLATIVE	
	ACTUAL	BUDGETED	AUTHORIZED APPRO							01-02	02-03	01-02	02-03
PERSONAL SERV MATCHING	0	0	0	0	7,084	7,084	0	7,084	7,084	7,084			
OVERTIME	0	0	0	0	40,000	40,000	0	40,000	40,000	40,000			
OPERATING EXPENSES	9,229	20,654	2,654	2,654	50,000	52,654	2,654	50,000	52,654	52,654			
CONF FEES & TRAVEL	1,970	5,000	0	0	10,000	10,000	0	10,000	10,000	10,000			
PROF FEES & SERVICES	152,050	185,000	0	0	300,000	300,000	0	300,000	300,000	300,000			
CAPITAL OUTLAY	49,214	111,250	38,250	0	50,000	50,000	0	50,000	50,000	50,000			
TOTAL	212,463	321,904	40,904	2,654	457,084	459,738	2,654	457,084	459,738	459,738			
PROPOSED FUNDING SOURCES			*****										
END BALANCES			*****										
GENERAL REVENUES			*****										
SPECIAL REVENUES			*****										
FEDERAL FUNDS	212,463	321,904	*****	2,654	457,084	459,738	2,654	457,084	459,738	459,738			
STATE CENTRAL SERVICES FUND			*****										
NON-REVENUE RECEIPTS			*****										
TRUST FUNDS			*****										
OTHER			*****										
TOTAL FUNDING	212,463	321,904	*****	2,654	457,084	459,738	2,654	457,084	459,738	459,738			
LESS APPRO/ (FUNDING)			*****										
TOTAL	212,463	321,904	*****	2,654	457,084	459,738	2,654	457,084	459,738	459,738			

\*T 016 DEPARTMENT OF FINANCE AND ADMINISTRATION  
 / 612 DFA-ALCOHOLIC BEVERAGE ENFORCEMENT DIVISION  
 \*RO 1SQ COMBATING UNDERAGE DRINKING - FEDERAL  
 ID FFC ABC ENFORCEMENT FEDERAL-(612)

This appropriation was established through the authority of the MFG Holding Account. Continuation is authorized pursuant to the provisions of Arkansas Code § 19-7-504 and the Legislative Recommendations of the Arkansas Legislative Council.

APPROPRIATION SUMMARY

BR 215

ARKANSAS BUDGET SYSTEM

PROGRAM/SERVICE INFORMATION LIST

RANK BY APPROPRIATION

02 03 04 05 06 07 08 09 10 11 12 13 14 15 16 17 18 19

K	PROGRAM DESCRIPTION	FUND	ACCOUNTING INFORMATION	D E S	2001 - 03 BIENNIIUM REQUESTS				R E C O M M E N D A T I O N S					
					EXPENDITURES		FY 2001 - 02		FY 2002 - 03		EXECUTIVE		LEGISLATIVE	
					ACTUAL 99-00	BUDGETED 00-01	REQUEST	REQUEST	2001-02	2002-03	2001-02	2002-03		
		FFC	612 1S0	B	212,463	321,904	2,654 0	2,654 0		2,654	2,654			
1		FFC	612 1S0 060 ABC ENFORCEMENT OF LIQUOR LAWS	C01			427,084 0	427,084 0		427,084	427,084			
	The Division currently receives federal funds for a program to Combat Underage Drinking. This request is to establish appropriation for the existing federal grant.													
101		FFC	612 1S0 060 ABC ENFORCEMENT OF LIQUOR LAWS	C08			30,000 0	30,000 0		30,000	30,000			
	This request would provide appropriation for the existing federal grant for upgrading computers and software for fifteen (15) computers for the Enforcement Agents in the field.													

DEPT 016 DEPARTMENT OF FINANCE AND ADMINISTRATION  
 AGY 612 DFA-ALCOHOLIC BEVERAGE ENFORCEMENT DIVISION  
 APPROD 1S0 COMBATING UNDERAGE DRINKING - FEDERAL  
 FUND FFC ABC ENFORCEMENT FEDERAL-(612)

RANK BY APPROPRIATION

BR 264

**ARKANSAS BUDGET SYSTEM  
ANALYSIS OF BUDGET REQUEST  
2001 – 2003**

This General Revenue funded appropriation provides for operations of the Department of Finance and Administration - Alcoholic Beverage Control (ABC) Enforcement Division. This division is responsible for enforcement of alcoholic beverage control laws and regulations within the state. ABC Enforcement agents are certified law enforcement officers.

Base Level includes a payplan increase of 2.6% each year over the 2001 fiscal year salary levels for all incumbents plus appropriate Personal Services Matching costs. Restoration of one position, Accounting Technician I, Grade 12, is requested due to increasing compliance checks and the implementation of a new accounting system. The Career Ladder Incentive Program (CLIP) requests, reflected on 15 ABC Enforcement Officer positions, provide appropriate job classifications, that may be utilized to promote classified employees.

Operating Expenses, in the amount of \$25,300 each year of the biennium over Base Level, are requested due to an increase in travel, fuel, vehicle maintenance, and credit card purchases in direct relation with increased compliance checks. The Capital Outlay request, \$17,000 for FY02 and \$13,000 for FY03, is needed for sales and use tax on replacement vehicles each year, to purchase (5) five new computers and software upgrades the first year and to replace (3) three computers the second year.

The Executive Recommendation provides for the Agency Request in appropriation only with no additional General Revenue Funding.

AGENCY	APPROPRIATION	TREASURY FUND	ANALYSIS OF BUDGET REQUEST	PAGE
Name: DFA - Alcoholic Beverage Control Enforcement Division  Code: 612	Name: Alcoholic Beverage Control - Enforcement – State Operations  Code: 217	Name: State General Services  Code: HUA	BR20	<b>21</b>

ARKANSAS BUDGET SYSTEM

CHARACTER TITLE	-----EXPENDITURES-----			-----01-02 FISCAL YEAR-----			-----02-03 FISCAL YEAR-----			-----R E C O M M E N D A T I O N S-----			
	99-00	00-01	00-01	BASE	CHANGE	TOTAL	BASE	CHANGE	TOTAL	EXECUTIVE		LEGISLATIVE	
	ACTUAL	BUDGETED	AUTHORIZED APPRO							01-02	02-03	01-02	02-03
ULAR SALARIES	525,632	554,790	558,213	569,745	31,586	601,331	584,558	32,405	616,963	601,331	616,963		
MBER OF POSITIONS	19	19	20	19	1	20	19	1	20	20	20		
SONAL SERV MATCHING	147,829	154,791	163,418	157,222	8,565	165,787	159,844	8,709	168,553	165,787	168,553		
RATING EXPENSES	105,581	117,674	117,674	117,674	25,300	142,974	117,674	25,300	142,974	142,974	142,974		
IF FEES & TRAVEL	110	2,000	2,000	2,000	0	2,000	2,000	0	2,000	2,000	2,000		
PITAL OUTLAY	4,089	5,000	5,000	0	17,000	17,000	0	13,000	13,000	17,000	13,000		
<b>TOTAL</b>	<b>783,241</b>	<b>834,255</b>	<b>846,305</b>	<b>846,641</b>	<b>82,451</b>	<b>929,092</b>	<b>864,076</b>	<b>79,414</b>	<b>943,490</b>	<b>929,092</b>	<b>943,490</b>		
PROPOSED FUNDING SOURCES			*****										
UND BALANCES			*****										
ENERAL REVENUES	783,241	834,255	*****	846,641	63,467	910,108	864,076	59,941	924,017	846,641	864,076		
SPECIAL REVENUES			*****										
FEDERAL FUNDS			*****										
STATE CENTRAL SERVICES FUND			*****										
NON-REVENUE RECEIPTS			*****										
CASH FUNDS			*****										
OTHER			*****										
<b>TOTAL FUNDING</b>	<b>783,241</b>	<b>834,255</b>	<b>*****</b>	<b>846,641</b>	<b>63,467</b>	<b>910,108</b>	<b>864,076</b>	<b>59,941</b>	<b>924,017</b>	<b>846,641</b>	<b>864,076</b>		
EXCESS APPRO/ (FUNDING)			*****		18,984	18,984		19,473	19,473	82,451	79,414		
<b>TOTAL</b>	<b>783,241</b>	<b>834,255</b>	<b>*****</b>	<b>846,641</b>	<b>82,451</b>	<b>929,092</b>	<b>864,076</b>	<b>79,414</b>	<b>943,490</b>	<b>929,092</b>	<b>943,490</b>		

APPROPRIATION SUMMARY

BR 215

DEPT 016 DEPARTMENT OF FINANCE AND ADMINISTRATION  
 AGY 612 DFA-ALCOHOLIC BEVERAGE ENFORCEMENT DIVISION  
 APPRO 217 ENFORCEMENT -- STATE OPERATIONS

FUND HUA STATE GENERAL SERVICES(000)

ARKANSAS BUDGET SYSTEM

PROGRAM/SERVICE INFORMATION LIST

RANK BY APPROPRIATION

01	02	03	04	05	06	07	08	09	10	11	12	13	14	15	16	17	18	19
RANK	PROGRAM DESCRIPTION	FUND	ACCOUNTING INFORMATION	D E S	-----2001 - 03 BIENNIIUM REQUESTS-----				-----R E C O M M E N D A T I O N S-----									
					-----EXPENDITURES-----		-----FY 2001 - 02-----		-----FY 2002 - 03-----		-----EXECUTIVE-----		-----LEGISLATIVE-----					
					99-00	00-01	REQUEST	REQUEST	2001-02	2002-03	2001-02	2002-03						
100		HUA	612 217	B	783,241 19	834,255 19	846,641 19	864,076 19							865,625 19	883,549 19		
01		HUA	612 217 060 ABC ENFORCEMENT OF LIQUOR LAWS	C09			18,984 0	19,473 0										
<p>Reclassification of positions requested for the Career Ladder Incentive Program.</p>																		
2		HUA	612 217 060 ABC ENFORCEMENT OF LIQUOR LAWS	C02			7,000 0	7,000 0							7,000	7,000		
<p>Capital Outlay in the amount of \$7,000 each year is needed to pay Sales &amp; Use Tax on the replacement of six (6) new vehicles.</p>																		

'T 016 DEPARTMENT OF FINANCE AND ADMINISTRATION  
 ' 612 DFA-ALCOHOLIC BEVERAGE ENFORCEMENT DIVISION  
 'RO 217 ENFORCEMENT -- STATE OPERATIONS  
 ID HUA STATE GENERAL SERVICES(000)

RANK BY APPROPRIATION  
 BR 264

ARKANSAS BUDGET SYSTEM

PROGRAM/SERVICE INFORMATION LIST

RANK BY APPROPRIATION

02 03 04 05 06 07 08 09 10 11 12 13 14 15 16 17 18 19

IK	PROGRAM DESCRIPTION	FUND	ACCOUNTING INFORMATION	D E S	-----EXPENDITURES-----		-----2001 - 03 BIENNIIUM REQUESTS-----				-----RECOMMENDATIONS-----			
					---ACTUAL--- --BUDGETED---		-----FY 2001 - 02-----		-----FY 2002 - 03-----		-----EXECUTIVE-----		-----LEGISLATIVE-----	
					99-00	00-01	REQUEST	REQUEST	2001-02	2002-03	2001-02	2002-03		
	HUA	612 217 060	ABC ENFORCEMENT OF LIQUOR LAWS	C08			10,000	0	6,000	0	10,000	6,000		
<p>Capital Outlay in the amount of \$10,000 for FY 02 and \$6,000 for FY 03 is needed to purchase five (5) new computers and software upgrade the first year and to replace three (3) computers the second year.</p>														
13	HUA	612 217 060	ABC ENFORCEMENT OF LIQUOR LAWS	C01			25,300	0	25,300	0	25,300	25,300		
<p>Increases in Maintenance &amp; Operation in the amount of \$25,300 for each fiscal year is needed. The agents have increased their travel, which results in increased fuel, vehicle maintenance and credit card purchases.</p>														
104	HUA	612 217 060	ABC ENFORCEMENT OF LIQUOR LAWS	C05			21,167	1	21,641	1	21,167	21,641		
<p>Restoration of one (1) currently authorized Accounting Technician, Grade 12, is requested. Increased compliance checks and a new bookkeeping system necessitates the filling of this position.</p>														

DEPT 016 DEPARTMENT OF FINANCE AND ADMINISTRATION  
 AGY 612 DFA-ALCOHOLIC BEVERAGE ENFORCEMENT DIVISION  
 APPRO 217 ENFORCEMENT -- STATE OPERATIONS  
 FUND HUA STATE GENERAL SERVICES(000)

RANK BY APPROPRIATION  
 BR 264