

Proposed changes to ASRC horse racing rules for 2014 Season:

Claiming:

Background: During the 2013 season, we had an owner that had one horse. He ran it and the horse was claimed. He wanted to claim a horse running in a race two races later on the same day. He could not because he had no longer foal papers on file in the racing office.

Current Language:

2426. In claiming races on the flat, any horse is subject to claim by (i) any owner in good standing or (ii) by a person who has been issued a "claiming authorization" as provided below in Rule 2426 (a "Claiming Authorization"). However, no owner (who has not otherwise been issued a Claiming Authorization) may claim a horse at Oaklawn unless that owner (either individually or through co-ownership, partnership, limited liability company, corporation or other entity in which the owner owns an equity interest); (1) has foal papers on file in the Oaklawn racing office with respect to a horse eligible to race at Oaklawn, and (2) either (i) has had an "official start" in a prior race at Oaklawn during the current race meet or (ii) was an "official starter" in the race in which the claim is submitted. For Example, if an owner (who has not previously had an "official start" in a prior race at Oaklawn during the current race meet) owns in whole or in part Horse A entered in an Oaklawn race, the owner may, if otherwise in compliance with applicable rules, submit a claim for another horse in the race, but that claim shall be void if Horse A fails to become an "official starter" in the race.

Proposed New Language:

2426. In claiming races on the flat, any horse is subject to claim by (i) any owner in good standing or (ii) by a person who has been issued a "claiming authorization" as provided below in Rule 2426 (a "Claiming Authorization"). However, no owner (who has not otherwise been issued a Claiming Authorization) may claim a horse at Oaklawn unless that owner (either individually or through co-ownership, partnership, limited liability company, corporation or other entity in which the owner owns an equity interest); (1) has foal papers on file in the Oaklawn racing office with respect to a horse eligible to race at Oaklawn, and (2) either (i) has had an "official start" in a prior race at Oaklawn during the current race meet or (ii) was an "official starter" in the race in which the claim is submitted; **provided, in the event an owner (either individually or through co-ownership, partnership, limited liability company, corporation or other entity in which the owner owns an equity interest) has started a horse at**

the Oaklawn meeting and does not currently have foal papers on file in the racing office with respect to a horse eligible to race at Oaklawn because his last horse(s) was claimed, that owner is not required to obtain a "Claiming Authorization" and is immediately eligible to claim a horse at Oaklawn, provided such claim is in compliance with all other applicable claiming rules. As an example, if an owner (who has not previously had an "official start" in a prior race at Oaklawn during the current race meet) owns in whole or in part Horse A entered in an Oaklawn race, the owner may, if otherwise in compliance with applicable rules, submit a claim for another horse in the race, but that claim shall be void if Horse A fails to become an "official starter" in the race.

Voiding a Claim:

Current Language:

2434 (i): In the event a claimed horse suffers a fatality during the running of the race or is otherwise removed from the race track in the horse ambulance, the claimant shall have one (1) hour after the race is declared official to void the claim by written notice delivered to the Racing Secretary or the Board of Stewards before the expiration of such one (1) hour period.

Proposed New Language:

2434 (i) In the event a claimed horse suffers a fatality during the running of the race or is otherwise removed from the race track in the horse ambulance, **the claim shall be declared void by the Board of Stewards unless the claimant notifies the Board of Stewards within fifteen (15) minutes after the race is declared official that the claimant desires for the claim to remain in effect. Any such notice must be provided within said fifteen (15) minute period by the claimant-owner or the claimant-owner's trainer, or by a representative thereof designated in writing for this purpose by the claimant-owner or claimant-owner's trainer to the Board of Stewards prior to the running of the race with respect to which the claim was submitted.**

2434 (j) **In the event a claimed horse suffers an injury during the running of the race, but is not removed from the race track in the horse ambulance (i) pursuant to instructions from the Association Veterinarian, (ii) contrary to instructions from the Association Veterinarian, (iii) because of unavailability of a horse ambulance, or (iv) because of other extenuating circumstances (e.g., horse is injured in the race, loses jockey, and runs loose off the track), the Board of Stewards may, after consultation with the Association Veterinarian, declare the claim void unless the claimant notifies the Board of Stewards within fifteen (15) minutes after the race is declared official that the claimant desires for the claim to remain in effect. Any such notice must be provided within said fifteen (15) minute period by the claimant-owner or the claimant-owner's trainer, or by a representative thereof designated in writing for this purpose by the claimant-owner or claimant-owner's trainer to the Board of Stewards prior to the running of the race with respect to which the claim was submitted.**

Claiming Deadline (reverting back to 15 minutes)

Current Language:

2433 (last line): All claims shall be deposited in the claiming box at least ten (10) minutes before the established post time of the race out of which the claim is made.

2435: (third sentence) The claiming box shall be available in the office of the Racing Secretary each racing day until ten (10) minutes before scheduled post time of each race.

Revised Language:

2433 (last line): All claims shall be deposited in the claiming box at least **fifteen (15)** minutes before the established post time of the race out of which the claim is made.

2435: (third sentence) The claiming box shall be available in the office of the Racing Secretary each racing day until **fifteen (15)** minutes before scheduled post time of each race.

Waiver Claiming:

A request was made to change the waiver claiming rule from 120 days to 90.

2426-A. At the time of entry into a claiming race, the owner (or trainer acting under authorization from the owner) may opt to declare a horse ineligible to be claimed provided: (a) the horse has not been an official starter in a race at any racetrack for a minimum of ~~120~~ **ninety (90)** days since its last race as an official starter; (b) the horse's last race as an official starter was a claiming race in which the horse was eligible to be claimed; (c) the horse is entered for a claiming price equal to or greater than the claiming price at which it last started as an official starter; (d) failure to declare the horse ineligible at the time of entry may not be remedied; and (e) ineligibility to be claimed shall apply only to the first start as an official starter following each such ~~120~~ **90**-day or longer layoff.

Workout Rule:

Current Language:

2099.1 (a) (3): A horse which has not started for a period of sixty (60) days or more prior to race day must have an official timed workout within the previous thirty (30) days prior to race day. The workout must have occurred at a pari-mutuel or recognized training facility.

(4) First time starters must have three (3) or more official workouts prior to race day.

Proposed Language:

2099.1 (a) (3): A horse which has not started for a period of sixty (60) days or more prior to race day must have at least two (2) official published timed workouts within the previous thirty (30) days prior to race day, at least one (1) of which must be at a distance of four (4) furlongs or more. The workouts must have occurred at a pari-mutuel horse racing facility or recognized horse training facility.

(4) First time starters must have three (3) or more official published timed workouts within the previous sixty (60) days prior to race day, (i) two (2) of which must be within the previous thirty (30) days prior to race day, (ii) at least one (1) of which must be at a distance of four (4) furlongs or more, and (iii) at least one (1) of which must be a workout starting out of the gate within the previous thirty (30) days prior to race day. The workouts must have occurred at a pari-mutuel horse racing facility or recognized horse training facility.

Rule 2000 (adding specific reference to other Racing Officials)

Existing Rule 2000:

2000. The racing officials of a Race Meeting shall include a Clerk of Scales, a Handicapper, a Paddock Judge, three Placing Judges, a Racing Secretary who may also be the Handicapper, a Starter, three Stewards, Commission Clocker, a Track Superintendent, a Track Veterinarian and others as determined pursuant to Rule #1202.

Proposed Revised Rule 2000:

2000. The racing officials of a Race Meeting shall include a Clerk of Scales, a Handicapper, a Paddock Judge, three Placing Judges, a Racing Secretary who may also be the Handicapper, **an Assistant Racing Secretary, a Claim Clerk, a horse Identifier**, a Starter, three Stewards, Commission Clocker, a Track Superintendent, a Track Veterinarian, **a State Veterinarian, two Outriders**, and others as determined pursuant to Rule #1202.

Pick-3 (Deleting Requirement that Races be "Consecutive")

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(c) The Pick-3 pari-mutuel Pool consists of amounts contributed for a selection for win only in each of three **(3) consecutive** races designated by the Association with prior approval of the Racing Commission. Each person purchasing a Pick-3 ticket shall designate the winning horse in each of the three **(3)** races comprising the Pick-3.