

ADMINISTRATIVE OFFICE OF THE COURTS

Enabling Laws

Act 157 of 2010

A.C.A. §16-10-101 et seq.

A.C.A. §16-10-102 et seq.

History and Organization

The Administrative Office of the Courts (AOC, formerly known as the Arkansas Judicial Department) was created by the Arkansas General Assembly, by Act 496 of 1965, and the agency came into existence on July 1, 1965. Act 760 of 1989 provided substantial modification to the organization and structure of the agency, and these two acts are now codified in A.C.A. §16-10-101 and A.C.A. §16-10-102.

The Arkansas Supreme Court has general superintending control over the administration of justice in all courts of the state, and the Chief Justice is directly responsible for the efficient operation of the judicial branch and its constituent courts and for the expeditious dispatch of litigation therein and the proper conduct of the business of the courts. The Administrative Office of the Courts was created as the agency responsible for the administration of the non-judicial business of the judicial branch. The Director of the AOC is nominated by the Chief Justice, subject to the approval of the Supreme Court and the Arkansas Judicial Council. The Director of the AOC, subject to the direction of the Supreme Court, is responsible for performing the following functions:

- Examine the administrative methods of the courts and make recommendations to the Supreme Court for their improvement;
- Examine the state of the dockets of the courts, secure information as to their needs for assistance, if any, prepare statistical data and reports of the business of the courts, and advise the Supreme Court to the end, that proper action may be taken;
- Examine the estimates of the courts for the State for appropriations and present to the Supreme Court recommendations concerning them;
- Examine the statistical systems of the courts and make recommendations to the Supreme Court for a uniform system of judicial statistics;
- Collect, analyze, and report to the Supreme Court statistical and other data concerning the business of the courts;
- With the approval of the Supreme Court and at the request of the Judicial Council, the Director shall act as Secretary of the Judicial council;
- Examine the data processing needs of the courts and make recommendations to the Supreme Court as to the purchase and use of hardware and software for computer systems, telecommunications systems, and microfilming systems, and provide education to the courts on the use of such systems so as to improve the quality and efficiency of justice in the state;

- Assist the Supreme Court in the operation of the Supreme Court Library;
- Attend to the other non-judicial business of the judicial branch under such rules and regulations as the Supreme Court may by order adopt.

One of the main functions of the Agency is the maintenance of the statewide judicial information system. The agency monitors the uniformity of judicial statistics of the courts, and collects and compiles data and management oriented statistical reports regarding the Supreme Court, the Court of Appeals, circuit, chancery, probate, juvenile, county, municipal, and city courts. The department also assists courts at all levels in the evaluation and implementation of computer systems, and the development of software programs to assist in record keeping and management systems.

Acts 464 and 477 of 1981 vested the Agency with the responsibility of providing translating/interpreting services to the State Courts. Both acts provide that the agency prescribe the qualifications and certifications of persons who may serve as qualified translators/interpreters in all courts of the state in bilingual proceedings and proceedings involving the hearing impaired. As a part of this program, the agency has on its staff a fully qualified and certified interpreter, who not only serves as the supervisor for the program, but furnishes interpreting services for the deaf and hearing impaired in all levels of the courts.

Act 897 of 1995 provides that the agency shall provide and pay the cost of reasonable accommodations for hearing and visually impaired persons so they can serve as venire persons or jurors.

Act 334 of 1985 provides that "the State's responsibility for training and providing additional judicial education to circuit and chancery judges, municipal judges, city judges, circuit and chancery clerks, municipal clerks, case coordinators, court reporters, and all other personnel directly associated with the state courts, shall be administered by the Administrative Office of the courts."

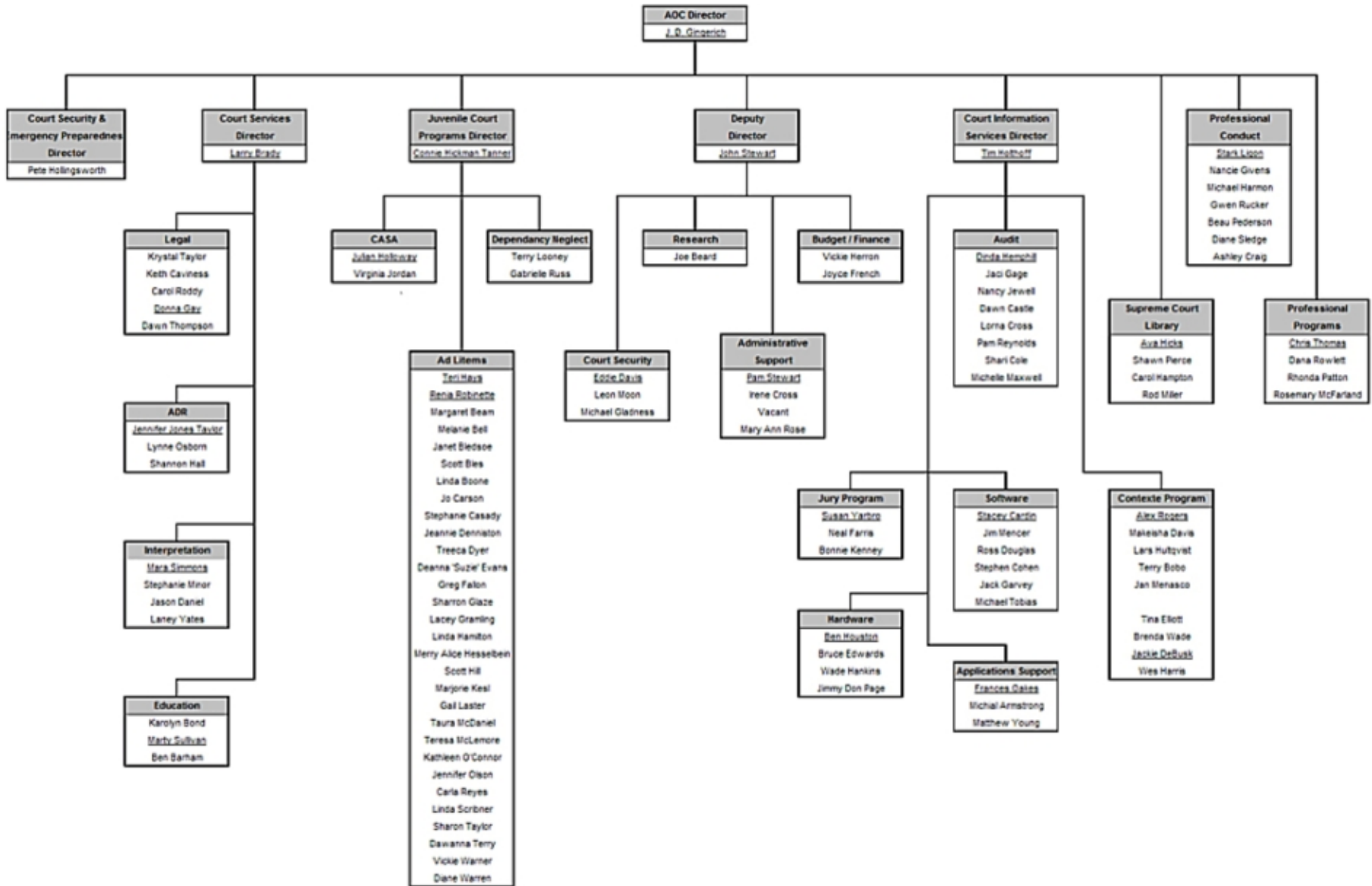
Act 1355 of 1997 created a division of the Dependency/Neglect representation within the agency staffed by a Court Appointed Special Advocate (CASA) coordinator and an attorney coordinator. The agency is authorized to provide funding for representation of children and/or parents in dependency/neglect proceedings.

Act 674 of 1995 provides that the AOC will house and staff the Arkansas Alternative Dispute Resolution Commission (ADR). The Commission is responsible for the implementation of ADR programs, education, and the certification of ADR professionals.

Act 1438 of 1999 established the Division of Dependency Neglect Representation for the AOC. The Division is responsible for the representation of children in dependency-neglect, custody, and guardianship cases.

In addition to the legislation mentioned above, the following acts have been added to or amended the functions and responsibilities of the agency: Act 599 of 1971; Act 237 of 1973; Act 82 of 1979; Act 817 of 1979; Act 1 of 1980; Act 489 of 1981; Act 294 of 1989; Act 418 of

1989; Act 855 of 1995; Act 1262 of 1995; Act 1072 of 1997; Act 1171 of 1997; Act 1532 of 2001; Act 1507 of 2001, Act 1590 of 2001 and Act 1643 of 2001.



Agency Commentary

Continuing Education District Court Judges (Fund Center 158)

This appropriation funds education for District Court Judges in DWI and alcohol related issues. The Highway Safety section of the Arkansas State Police reimburses the AOC with federal highway safety dollars. No increase is being requested above past Authorized levels.

Court Appointed Special Advocates (Fund Center 1DA)

Regular Salaries (501000):

No salary change but change in classification of salary position from C118 to C120 to reflect job description and duties for State CASA Assistant Director.

Other Line Items: No increase is being requested above past Authorized levels.

District Judges and Clerks Education Fund (Fund Center 1EF)

No increase is being requested above past Authorized levels.

Access and Visitation - Federal (Fund Center 1JS)

No increase is being requested above past Authorized levels.

Division of Dependency-Neglect Representation (Fund Center 330)

The AOC pays for Attorneys Ad Litem (AALs) who represent childrens' best interest in all dependency-neglect hearings. The AAL program currently has 76 attorneys providing representation, with 33 full-time attorneys and 43 part-time attorney contractors. In 2009, AALs represented 10,595 kids in 6,031 cases.

Regular Salaries (5010000):

The AOC is requesting two new full-time attorney positions and a data analyst to assist with the D-Net Project to be used by attorney ad litem.

Personal Services Matching (5010003):

We are requesting an increase in the amount in proportion to the increase in salaries.

Operating Expenses (5020002):

AOC requests an operating expense increase in the amount of \$150,000 to be transferred from Professional Services to operating for reimbursable expenses for full-time AALS and for reimbursable expenses for full-time and part-time attorneys for continuing legal education required by Supreme Court Administrative Court Order Number 15 for all full-time and part-time attorneys.

Professional Fees (5060010):

The AOC requests that funds in the amount of \$150,000 be re-appropriated to Operating expenses.

Grants and Aid (5100004):

The AOC also administers the State CASA program and provides local grants to help local programs recruit, train, and supervise volunteers to advocate for children in abuse and neglect cases. The funding formula is performance based and is determined by the actual numbers of children served and the program's average number of active volunteers during the last calendar year. Currently there are 25 local programs serving 27 judicial districts. In 2009, local programs reported that 959 CASA volunteers advocated for 3,484 children in 1,827 cases. AOC requests an increase of \$14,300 for each year, which restores local CASA grants to FY 2009 -2010 funding levels.

Refunds and Reimbursements (5110014):

The AOC contracts with attorneys to provide representation for indigent parents and guardians from whom custody was removed in all dependency-neglect proceedings and for all parents and putative parents at termination of parental rights proceedings. There are currently 58 part-time attorneys on contract who represent indigent parents across the state, one program coordinator, and three attorneys who are on contract for conflict representation. In 2009, parent counsel reported representing parents, guardians and putative parents in an average of 2,539 cases. AOC requests an increase of \$26,260 for each year to restore indigent parent counsel in dependency-neglect proceeding to FY 2009 -2010 funding levels.

Attorney Ad Litem Fees/Reimb (5900040):

AOC requests an increase of \$3,250 for each year, which restores AAL funding to FY 2009-2010 funding levels.

Admin Office of the Courts (AOC) - Operations (Fund Center 343)

No increase is being requested above past Authorized levels.

Foreign Language Interpreter Program (Fund Center 343F)

No increase is being requested above past Authorized levels.

Court Security Grants (Fund Center 36G)

No increase is being requested above past Authorized levels.

Alternative Dispute Resolution (Fund Center 474)

Grants and Aid (5100004):

We are requesting a decrease of \$26,300 to fund the increase in Professional Services.

Professional Services (5060010):

We are requesting an increase of \$26,300 for each year of the biennium in professional services due to the increased request for mediation services for indigent citizens.

Judicial Fine Collections (Fund Center 816)

This fund center is receiving additional revenue through the court technology fee collected as a result of Act 328 of 2009, which should generate sufficient funds for the following:

Regular Salaries (5010000):

Due to increased staff, we are requesting an Administrative Assistant (grade C117) as well as three Project Managers (grade C126) to support the roll out of case management and electronic filing to the rest of the state in both district and circuit courts. We are also requesting upgrades to existing positions as follows:

Regional Support Manager - change title to Case Management Business Manager. No grade or salary change requested.

Training Project Manager - change grade from C120 to C124. No title or salary change requested.

Help Desk Specialist - change title to Functional Specialist and grade from C115 to C122. No salary change requested.

Planning Specialist (2) - change title to Project Analyst and change grade from C115 to C124. Change salary to entry level for grade C124.

Personal Services Matching (5010003):

We are requesting an increase in the amount in proportion to the increase in salaries for FY12 and FY13.

Operating Expenses (5020002):

We are requesting an increase of \$967,500 for each year of the biennium to support licensing of software and other expenses in the implementation of the e-filing project.

Conference and Travel Fees (5050009):

We are requesting an increase of \$1,000 for each year of the biennium to allow out-of-state educational opportunities to create improved skill sets in database administration and other skills.

Professional Fees (5060010):

We are requesting an increase of \$100,000 for each year of the biennium to contract with the vendors for development efforts that the present staff is unable to undertake and to allow for modifications to software as needed.

Capital Outlay (5120011):

We are requesting an increase of \$400,000 for each year of the biennium in order to develop and maintain a hot site for disaster recovery (both for ACAP and the appellate courts). Database software and hardware purchases will be made over the two years. This technique was used in the current biennium to allow the upgrade of aging hardware from the initial pilot project purchase in order to move to a sustainable and expandable architecture for post-pilot project courts.

Hearing and Visual Impairment (Fund Center 817)

No increase is being requested above past authorized levels.

Training and Education of Court Personnel (Fund Center 832)

No increase is being requested above past authorized levels.

Court Management Program (Fund Center 85M)

No increase is being requested above past authorized levels.

Training and Representation - Federal (Fund Center 966)

The AOC has received increased federal grant funding for three CIP Grants, including CIP Basic, CIP Training and CIP technology

Professional Fees (5060010):

We are requesting an increase of \$50,000 each year of the biennium for the D-Net Project due to notification of an increase in federal funding.

Court Technology Grant (5100004):

We are requesting an increase of \$50,000 each year of the biennium for the D-Net Project due to notification of an increase in federal funding.

Capital Outlay (5120011):

We are requesting that \$80,000 for each year of the biennium for the D-Net Project due to notification of an increase in federal funding.

Juror Reimbursement (Fund Center 35N)

The agency is asking that a fund be created on the books of the Treasurer of State, Auditor of State, and Chief Fiscal Officer of the State, a miscellaneous revenue fund to be known as the Juror Reimbursement Fund. This fund shall consist of those monies transferred from the State Administration of Justice Fund and other monies as authorized by law. The fund shall be used for reimbursement of counties for jury expenses.

Audit Findings

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF :
ADMINISTRATIVE OFFICE OF THE COURTS
FOR THE YEAR ENDED JUNE 30, 2009

Findings

Recommendations

None

None

Employment Summary

	Male	Female	Total	%
White Employees	34	60	94	88 %
Black Employees	2	5	7	7 %
Other Racial Minorities	2	4	6	5 %
	Total Minorities		13	12 %
	Total Employees		107	100 %

Publications

A.C.A. 25-1-204

Name	Statutory Authorization	Required for		# of Copies	Reason(s) for Continued Publication and Distribution
		Governor	General Assembly		
Annual Report of the Judiciary	Act 1497 of 2003	N	N	1,250	Demand for Court Statistics, Judges, clerks, legislators, Governor's Office, and Federal Agencies
Friends of the Court	Act 1497 of 2003	N	N	1,250	Demand for Court Statistics, Judges, clerks, legislators, Governor's Office, and Federal Agencies

Department Appropriation Summary

Historical Data

Agency Request and Executive Recommendation

Appropriation	2009-2010		2010-2011		2010-2011		2011-2012					2012-2013						
	Actual	Pos	Budget	Pos	Authorized	Pos	Base Level	Pos	Agency	Pos	Executive	Pos	Base Level	Pos	Agency	Pos	Executive	Pos
158 District Judges Continuing Education	70,299	0	80,000	0	80,000	0	80,000	0	80,000	0	0	0	80,000	0	80,000	0	0	0
1DA Special Advocate	51,685	1	66,210	1	66,208	1	66,221	1	66,221	1	0	0	66,221	1	66,221	1	0	0
1EF Distr Crt Judges/Clerks Continuing Educ	86,917	0	100,000	0	100,000	0	100,000	0	100,000	0	0	0	100,000	0	100,000	0	0	0
1JS AOC-Access/Visitation Mediation	91,030	1	139,826	1	142,892	1	139,835	1	139,835	1	0	0	139,835	1	139,835	1	0	0
330 Dependency Neglect Representation	7,589,142	35	7,959,749	35	8,079,426	35	7,988,598	35	8,222,174	38	0	0	7,988,598	35	8,222,174	38	0	0
343 Admin Office of the Courts	3,402,586	37	3,673,927	37	3,760,108	37	3,529,785	37	3,694,285	37	0	0	3,529,785	37	3,694,285	37	0	0
35N County Juror Reimbursement	837,242	0	850,000	0	900,000	0	850,000	0	850,000	0	0	0	850,000	0	850,000	0	0	0
36G Court Security Grants	345,898	1	352,005	1	362,791	1	352,022	1	352,022	1	0	0	352,022	1	352,022	1	0	0
474 Dispute Resolution Commission	239,142	3	281,501	3	287,996	3	279,378	3	279,378	3	0	0	279,378	3	279,378	3	0	0
816 Judicial Fine	3,404,862	31	4,310,102	36	4,339,778	36	3,706,442	36	6,012,794	40	0	0	3,706,442	36	6,012,794	40	0	0
817 Hearing & Visual Impairments	8,259	0	10,000	0	10,000	0	10,000	0	10,000	0	0	0	10,000	0	10,000	0	0	0
832 Alternative Dispute Resolution Commission	114,820	0	250,000	0	250,000	0	250,000	0	250,000	0	0	0	250,000	0	250,000	0	0	0
85M Court Management Program	0	0	0	0	0	0	0	0	15,000	0	0	0	0	0	15,000	0	0	0
966 Court Improvement Program	370,315	2	956,994	2	954,338	2	887,031	2	1,137,031	2	0	0	887,031	2	1,137,031	2	0	0
Total	16,612,197	111	19,030,314	116	19,333,537	116	18,239,312	116	21,208,740	123	0	0	18,239,312	116	21,208,740	123	0	0

Funding Sources		%		%		%		%		%		%		%		%		%	
Fund Balance	4000005	2,113,313	10.7	3,042,408	13.4			3,617,306	15.7	3,617,306	15.3	0	0.0	4,830,061	19.9	2,523,709	11.2	0	0.0
Federal Revenue	4000020	513,030	2.6	1,163,030	5.1			1,093,087	4.7	1,343,087	5.7	0	0.0	1,093,087	4.5	1,343,087	5.9	0	0.0
Special Revenue	4000030	4,290,073	21.8	5,000,000	22.1			5,000,000	21.7	5,000,000	21.1	0	0.0	5,000,000	20.6	5,000,000	22.1	0	0.0
State Central Services	4000035	7,024,590	35.7	7,720,339	34.1			7,602,923	33.0	8,000,999	33.8	0	0.0	7,602,923	31.4	8,000,999	35.4	0	0.0
Cash Fund	4000045	145,258	0.7	135,000	0.6			135,000	0.6	150,000	0.6	0	0.0	135,000	0.6	150,000	0.7	0	0.0
State Administration of Justice	4000470	5,583,486	28.4	5,597,629	24.7			5,586,860	24.3	5,586,860	23.6	0	0.0	5,586,860	23.0	5,586,860	24.7	0	0.0
Total Funds		19,669,750	100.0	22,658,406	100.0			23,035,176	100.0	23,698,252	100.0	0	0.0	24,247,931	100.0	22,604,655	100.0	0	0.0
Excess Appropriation/(Funding)		(3,057,553)		(3,628,092)				(4,795,864)		(2,489,512)		0		(6,008,619)		(1,395,915)		0	
Grand Total		16,612,197		19,030,314				18,239,312		21,208,740		0		18,239,312		21,208,740		0	

Variations in fund balances due to excess State Administration of Justice funding for Court Security Grants (36G) and unfunded appropriation for Alternative Dispute Resolution Commission (832).

The FY11 Budget amounts in Special Advocate (1DA) and Court Improvement Program (966) exceed the authorized amounts due to salary and matching rate adjustments during the 2009-2011 biennium.

No Executive Recommendation made on these appropriations.

Agency Position Usage Report

FY2008 - 2009						FY2009 - 2010						FY2010 - 2011					
Authorized in Act	Budgeted			Unbudgeted	% of Authorized Unused	Authorized in Act	Budgeted			Unbudgeted	% of Authorized Unused	Authorized in Act	Budgeted			Unbudgeted	% of Authorized Unused
	Filled	Unfilled	Total	Total			Filled	Unfilled	Total	Total			Filled	Unfilled	Total	Total	
92	90	2	92	0	2.17 %	116	106	10	116	0	8.62 %	116	107	9	116	0	7.76 %

Appropriation Summary

Appropriation: 158 - District Judges Continuing Education

Funding Sources: HSC - State Central Services

Historical Data

Agency Request and Executive Recommendation

Commitment Item		Historical Data			2011-2012			2012-2013		
		2009-2010 Actual	2010-2011 Budget	2010-2011 Authorized	Base Level	Agency	Executive	Base Level	Agency	Executive
Operating Expenses	5020002	60,299	60,000	60,000	60,000	60,000	0	60,000	60,000	0
Conference & Travel Expenses	5050009	10,000	10,000	10,000	10,000	10,000	0	10,000	10,000	0
Professional Fees	5060010	0	10,000	10,000	10,000	10,000	0	10,000	10,000	0
Data Processing	5090012	0	0	0	0	0	0	0	0	0
Capital Outlay	5120011	0	0	0	0	0	0	0	0	0
Total		70,299	80,000	80,000	80,000	80,000	0	80,000	80,000	0
Funding Sources										
State Central Services	4000035	70,299	80,000		80,000	80,000	0	80,000	80,000	0
Total Funding		70,299	80,000		80,000	80,000	0	80,000	80,000	0
Excess Appropriation/(Funding)		0	0		0	0	0	0	0	0
Grand Total		70,299	80,000		80,000	80,000	0	80,000	80,000	0

No Executive Recommendation made on this appropriation.

Appropriation Summary

Appropriation: 1DA - Special Advocate

Funding Sources: FJD - Judicial Department Federal

Historical Data

Agency Request and Executive Recommendation

Commitment Item	2009-2010 Actual	2010-2011 Budget	2010-2011 Authorized	2011-2012			2012-2013		
				Base Level	Agency	Executive	Base Level	Agency	Executive
Regular Salaries 5010000	37,245	37,612	37,630	37,612	37,612	0	37,612	37,612	0
#Positions	1	1	1	1	1	0	1	1	0
Personal Services Matching 5010003	11,877	12,258	12,238	12,269	12,269	0	12,269	12,269	0
Operating Expenses 5020002	697	13,867	13,867	13,867	13,867	0	13,867	13,867	0
Conference & Travel Expenses 5050009	1,866	2,473	2,473	2,473	2,473	0	2,473	2,473	0
Professional Fees 5060010	0	0	0	0	0	0	0	0	0
Data Processing 5090012	0	0	0	0	0	0	0	0	0
Capital Outlay 5120011	0	0	0	0	0	0	0	0	0
Total	51,685	66,210	66,208	66,221	66,221	0	66,221	66,221	0
Funding Sources									
Federal Revenue 4000020	51,685	66,210		66,221	66,221	0	66,221	66,221	0
Total Funding	51,685	66,210		66,221	66,221	0	66,221	66,221	0
Excess Appropriation/(Funding)	0	0		0	0	0	0	0	0
Grand Total	51,685	66,210		66,221	66,221	0	66,221	66,221	0

No Executive Recommendation made on this appropriation.

The FY11 Budget amount in Personal Services Matching exceeds the authorized amount due to matching rate adjustments during the 2009-2011 biennium.

Appropriation Summary

Appropriation: 1EF - Distr Crt Judges/Clerks Continuing Educ
Funding Sources: MJC - Municipal Court Judge and Municipal Court Education Fund

Historical Data

Agency Request and Executive Recommendation

Commitment Item		Historical Data			2011-2012			2012-2013		
		2009-2010 Actual	2010-2011 Budget	2010-2011 Authorized	Base Level	Agency	Executive	Base Level	Agency	Executive
Operating Expenses	5020002	72,567	80,000	80,000	80,000	80,000	0	80,000	80,000	0
Conference & Travel Expenses	5050009	10,500	10,000	10,000	10,000	10,000	0	10,000	10,000	0
Professional Fees	5060010	3,850	10,000	10,000	10,000	10,000	0	10,000	10,000	0
Data Processing	5090012	0	0	0	0	0	0	0	0	0
Capital Outlay	5120011	0	0	0	0	0	0	0	0	0
Total		86,917	100,000	100,000	100,000	100,000	0	100,000	100,000	0
Funding Sources										
Fund Balance	4000005	128,780	142,226		142,226	142,226	0	142,226	142,226	0
State Administration of Justice	4000470	100,363	100,000		100,000	100,000	0	100,000	100,000	0
Total Funding		229,143	242,226		242,226	242,226	0	242,226	242,226	0
Excess Appropriation/(Funding)		(142,226)	(142,226)		(142,226)	(142,226)	0	(142,226)	(142,226)	0
Grand Total		86,917	100,000		100,000	100,000	0	100,000	100,000	0

No Executive Recommendation made on this appropriation.

Change Level by Appropriation

Appropriation: 1EF - Distr Crt Judges/Clerks Continuing Educ

Funding Sources: MJC - Municipal Court Judge and Municipal Court Education Fund

Agency Request

Change Level		2011-2012	Pos	Cumulative	% of BL	2012-2013	Pos	Cumulative	% of BL
BL	Base Level	100,000	0	100,000	100.0	100,000	0	100,000	100.0
C04	Reallocation	0	0	100,000	100.0	0	0	100,000	100.0

Appropriation Summary

Appropriation: 1JS - AOC-Access/Visitation Mediation

Funding Sources: FJD - Judicial Department Federal

Historical Data

Agency Request and Executive Recommendation

Commitment Item	2009-2010 Actual	2010-2011 Budget	2010-2011 Authorized	2011-2012			2012-2013		
				Base Level	Agency	Executive	Base Level	Agency	Executive
Regular Salaries 5010000	7,736	29,251	31,766	29,251	29,251	0	29,251	29,251	0
#Positions	1	1	1	1	1	0	1	1	0
Personal Services Matching 5010003	6,207	10,575	11,126	10,584	10,584	0	10,584	10,584	0
Operating Expenses 5020002	4,829	10,000	10,000	10,000	10,000	0	10,000	10,000	0
Conference & Travel Expenses 5050009	0	0	0	0	0	0	0	0	0
Professional Fees 5060010	72,258	90,000	90,000	90,000	90,000	0	90,000	90,000	0
Data Processing 5090012	0	0	0	0	0	0	0	0	0
Capital Outlay 5120011	0	0	0	0	0	0	0	0	0
Total	91,030	139,826	142,892	139,835	139,835	0	139,835	139,835	0
Funding Sources									
Federal Revenue 4000020	91,030	139,826		139,835	139,835	0	139,835	139,835	0
Total Funding	91,030	139,826		139,835	139,835	0	139,835	139,835	0
Excess Appropriation/(Funding)	0	0		0	0	0	0	0	0
Grand Total	91,030	139,826		139,835	139,835	0	139,835	139,835	0

No Executive Recommendation made on this appropriation.

Appropriation Summary

Appropriation: 330 - Dependency Neglect Representation

Funding Sources: HSC - State Central Services

Historical Data

Agency Request and Executive Recommendation

Commitment Item		Historical Data			Agency Request and Executive Recommendation			Agency Request and Executive Recommendation		
		2009-2010 Actual	2010-2011 Budget	2010-2011 Authorized	2011-2012			2012-2013		
					Base Level	Agency	Executive	Base Level	Agency	Executive
Regular Salaries	5010000	1,970,399	2,021,717	2,130,007	2,045,217	2,191,436	0	2,045,217	2,191,436	0
#Positions		35	35	35	35	38	0	35	38	0
Personal Services Matching	5010003	538,050	571,176	582,563	576,525	620,072	0	576,525	620,072	0
Operating Expenses	5020002	461,794	322,514	322,514	322,514	472,514	0	322,514	472,514	0
Conference & Travel Expenses	5050009	1,496	4,000	4,000	4,000	4,000	0	4,000	4,000	0
Professional Fees	5060010	1,095,838	1,555,816	1,555,816	1,555,816	1,405,816	0	1,555,816	1,405,816	0
Data Processing	5090012	0	0	0	0	0	0	0	0	0
Grants and Aid	5100004	1,151,700	1,137,400	1,137,400	1,137,400	1,151,700	0	1,137,400	1,151,700	0
Refunds/Reimbursements	5110014	2,108,115	2,088,626	2,088,626	2,088,626	2,114,886	0	2,088,626	2,114,886	0
Capital Outlay	5120011	0	0	0	0	0	0	0	0	0
Attorney AD Litem Fees/Reimb	5900040	261,750	258,500	258,500	258,500	261,750	0	258,500	261,750	0
Total		7,589,142	7,959,749	8,079,426	7,988,598	8,222,174	0	7,988,598	8,222,174	0

Funding Sources										
State Central Services	4000035	3,304,304	3,674,911		3,703,760	3,937,336	0	3,703,760	3,937,336	0
State Administration of Justice	4000470	4,284,838	4,284,838		4,284,838	4,284,838	0	4,284,838	4,284,838	0
Total Funding		7,589,142	7,959,749		7,988,598	8,222,174	0	7,988,598	8,222,174	0
Excess Appropriation/(Funding)		0	0		0	0	0	0	0	0
Grand Total		7,589,142	7,959,749		7,988,598	8,222,174	0	7,988,598	8,222,174	0

No Executive Recommendation made on this appropriation.

Change Level by Appropriation

Appropriation: 330 - Dependency Neglect Representation
Funding Sources: HSC - State Central Services

Agency Request

Change Level		2011-2012	Pos	Cumulative	% of BL	2012-2013	Pos	Cumulative	% of BL
BL	Base Level	7,988,598	35	7,988,598	100.0	7,988,598	35	7,988,598	100.0
C01	Existing Program	233,576	3	8,222,174	102.9	233,576	3	8,222,174	102.9
C04	Reallocation	0	0	8,222,174	102.9	0	0	8,222,174	102.9

**CARRY FORWARD OF ANY REMAINING FUND BALANCES
FROM FISCAL YEAR 2010 TO FISCAL YEAR 2011**

Agency: Administrative Office of the Courts

Program: Dependency Neglect Representation

Act #: 157 Section(s) #: 10 & 29

Estimated Carry Forward Amount \$ 0.00 Funding Source: State Central Services

Accounting Information:

Business Area: 0023 Funds Center: 330 Fund: HSC Functional Area: CNST

Current law requires a written statement be submitted to the DFA Office of Budget stating the reason(s) to carry forward funding for a program or a specific line item within a program remaining on June 30th of a fiscal year.

Justification for carry forward of fund balance:

Agency will not be able to expense carry forward funding due to lack of appropriation.

Actual Funding Carry Forward Amount \$ 0.00

Current status of carry forward funding:

Funds remaining on June 30, 2010 were returned to State Central Services.

Note from DFA – Office of Budget: Funds remaining at the end of any fiscal year from this fund must, by law, remain in the fund regardless of the future status of any project, contract or purpose. The Special Language that requires this report is no longer necessary.

James D. Gingerich

Director

08-26-2010

Date

Appropriation Summary

Appropriation: 343 - Admin Office of the Courts

Funding Sources: HSC - State Central Services

Historical Data

Agency Request and Executive Recommendation

Commitment Item	Historical Data			Agency Request and Executive Recommendation			Agency Request and Executive Recommendation		
	2009-2010 Actual	2010-2011 Budget	2010-2011 Authorized	2011-2012			2012-2013		
				Base Level	Agency	Executive	Base Level	Agency	Executive
Regular Salaries 5010000	1,967,933	2,031,691	2,081,277	2,018,491	2,018,491	0	2,018,491	2,018,491	0
#Positions	37	37	37	37	37	0	37	37	0
Extra Help 5010001	563	5,000	5,000	5,000	5,000	0	5,000	5,000	0
#Extra Help	1	2	2	2	2	0	2	2	0
Personal Services Matching 5010003	519,997	547,321	583,916	580,879	580,879	0	580,879	580,879	0
Operating Expenses 5020002	257,289	334,265	334,265	334,265	334,265	0	334,265	334,265	0
Conference & Travel Expenses 5050009	30,000	30,000	30,000	30,000	30,000	0	30,000	30,000	0
Professional Fees 5060010	1,500	45,000	45,000	45,000	45,000	0	45,000	45,000	0
Data Processing 5090012	0	0	0	0	0	0	0	0	0
Grants and Aid 5100004	3,781	25,000	25,000	25,000	25,000	0	25,000	25,000	0
Capital Outlay 5120011	153,009	164,500	164,500	0	164,500	0	0	164,500	0
Judicial Education 5900046	209,398	206,800	206,800	206,800	206,800	0	206,800	206,800	0
Court Interpreter Fees 5900047	259,116	284,350	284,350	284,350	284,350	0	284,350	284,350	0
Total	3,402,586	3,673,927	3,760,108	3,529,785	3,694,285	0	3,529,785	3,694,285	0
Funding Sources									
State Central Services 4000035	3,402,586	3,673,927		3,529,785	3,694,285	0	3,529,785	3,694,285	0
Total Funding	3,402,586	3,673,927		3,529,785	3,694,285	0	3,529,785	3,694,285	0
Excess Appropriation/(Funding)	0	0		0	0	0	0	0	0
Grand Total	3,402,586	3,673,927		3,529,785	3,694,285	0	3,529,785	3,694,285	0

No Executive Recommendation made on this appropriation.

Authorized Appropriation in FY10 for Judicial Education was greater than FY11 in the Agency's appropriation acts..

Change Level by Appropriation

Appropriation: 343 - Admin Office of the Courts
Funding Sources: HSC - State Central Services

Agency Request

Change Level		2011-2012	Pos	Cumulative	% of BL	2012-2013	Pos	Cumulative	% of BL
BL	Base Level	3,529,785	37	3,529,785	100.0	3,529,785	37	3,529,785	100.0
C01	Existing Program	164,500	0	3,694,285	104.7	164,500	0	3,694,285	104.7
C04	Reallocation	0	0	3,694,285	104.7	0	0	3,694,285	104.7

Appropriation Summary

Appropriation: 35N - County Juror Reimbursement

Funding Sources: MJU - County Juror Reimbursement Fund*

Historical Data

Agency Request and Executive Recommendation

Commitment Item	2009-2010 Actual	2010-2011 Budget	2010-2011 Authorized	2011-2012			2012-2013		
				Base Level	Agency	Executive	Base Level	Agency	Executive
Refunds/Reimbursements 5110014	837,242	850,000	900,000	850,000	850,000	0	850,000	850,000	0
Total	837,242	850,000	900,000	850,000	850,000	0	850,000	850,000	0
Funding Sources									
State Administration of Justice 4000470	837,242	850,000		850,000	850,000	0	850,000	850,000	0
Total Funding	837,242	850,000		850,000	850,000	0	850,000	850,000	0
Excess Appropriation/(Funding)	0	0		0	0	0	0	0	0
Grand Total	837,242	850,000		850,000	850,000	0	850,000	850,000	0

*Agency Request provides for Special Language to establish a new fund to allow carry forward of fund balances. This appropriation is currently funded directly from the State Administration of Justice Fund.

No Executive Recommendation made on this appropriation.

Appropriation Summary

Appropriation: 36G - Court Security Grants

Funding Sources: HSC - State Central Services

Historical Data

Agency Request and Executive Recommendation

Commitment Item	2009-2010 Actual	2010-2011 Budget	2010-2011 Authorized	2011-2012			2012-2013		
				Base Level	Agency	Executive	Base Level	Agency	Executive
Regular Salaries 5010000	53,449	56,034	65,302	56,034	56,034	0	56,034	56,034	0
#Positions	1	1	1	1	1	0	1	1	0
Personal Services Matching 5010003	14,963	15,971	17,489	15,988	15,988	0	15,988	15,988	0
Operating Expenses 5020002	26,809	30,000	30,000	30,000	30,000	0	30,000	30,000	0
Conference & Travel Expenses 5050009	677	0	0	0	0	0	0	0	0
Data Processing 5090012	0	0	0	0	0	0	0	0	0
Grants and Aid 5100004	250,000	250,000	250,000	250,000	250,000	0	250,000	250,000	0
Capital Outlay 5120011	0	0	0	0	0	0	0	0	0
Total	345,898	352,005	362,791	352,022	352,022	0	352,022	352,022	0
Funding Sources									
State Administration of Justice 4000470	361,043	362,791		352,022	352,022	0	352,022	352,022	0
Total Funding	361,043	362,791		352,022	352,022	0	352,022	352,022	0
Excess Appropriation/(Funding)	(15,145)	(10,786)		0	0	0	0	0	0
Grand Total	345,898	352,005		352,022	352,022	0	352,022	352,022	0

No Executive Recommendation made on this appropriation.

Change Level by Appropriation

Appropriation: 36G - Court Security Grants
Funding Sources: HSC - State Central Services

Agency Request

Change Level		2011-2012	Pos	Cumulative	% of BL	2012-2013	Pos	Cumulative	% of BL
BL	Base Level	352,022	1	352,022	100.0	352,022	1	352,022	100.0
C04	Reallocation	0	0	352,022	100.0	0	0	352,022	100.0

Appropriation Summary

Appropriation: 474 - Dispute Resolution Commission

Funding Sources: HSC - State Central Services

Historical Data

Agency Request and Executive Recommendation

Commitment Item	2009-2010 Actual	2010-2011 Budget	2010-2011 Authorized	2011-2012			2012-2013		
				Base Level	Agency	Executive	Base Level	Agency	Executive
Regular Salaries 5010000	121,882	133,452	139,177	131,652	131,652	0	131,652	131,652	0
#Positions	3	3	3	3	3	0	3	3	0
Personal Services Matching 5010003	37,564	40,931	41,701	40,608	40,608	0	40,608	40,608	0
Operating Expenses 5020002	34,943	35,514	35,514	35,514	35,514	0	35,514	35,514	0
Conference & Travel Expenses 5050009	8,158	15,000	15,000	15,000	15,000	0	15,000	15,000	0
Professional Fees 5060010	4,795	5,304	5,304	5,304	31,604	0	5,304	31,604	0
Data Processing 5090012	0	0	0	0	0	0	0	0	0
Grants and Aid 5100004	31,800	51,300	51,300	51,300	25,000	0	51,300	25,000	0
Capital Outlay 5120011	0	0	0	0	0	0	0	0	0
Total	239,142	281,501	287,996	279,378	279,378	0	279,378	279,378	0
Funding Sources									
State Central Services 4000035	239,142	281,501		279,378	279,378	0	279,378	279,378	0
Total Funding	239,142	281,501		279,378	279,378	0	279,378	279,378	0
Excess Appropriation/(Funding)	0	0		0	0	0	0	0	0
Grand Total	239,142	281,501		279,378	279,378	0	279,378	279,378	0

No Executive Recommendation made on this appropriation.

Change Level by Appropriation

Appropriation: 474 - Dispute Resolution Commission
Funding Sources: HSC - State Central Services

Agency Request

Change Level		2011-2012	Pos	Cumulative	% of BL	2012-2013	Pos	Cumulative	% of BL
BL	Base Level	279,378	3	279,378	100.0	279,378	3	279,378	100.0
C04	Reallocation	0	0	279,378	100.0	0	0	279,378	100.0

Appropriation Summary

Appropriation: 816 - Judicial Fine

Funding Sources: MJF - Judicial Fine Collection Enhancement Fund

Historical Data

Agency Request and Executive Recommendation

Commitment Item		Historical Data			Agency Request and Executive Recommendation			Agency Request and Executive Recommendation		
		2009-2010 Actual	2010-2011 Budget	2010-2011 Authorized	2011-2012			2012-2013		
					Base Level	Agency	Executive	Base Level	Agency	Executive
Regular Salaries	5010000	1,367,101	1,818,662	1,844,748	1,815,162	1,997,498	0	1,815,162	1,997,498	0
#Positions		31	36	36	36	40	0	36	40	0
Personal Services Matching	5010003	434,527	534,940	538,530	534,780	590,296	0	534,780	590,296	0
Operating Expenses	5020002	986,467	1,032,500	1,032,500	1,032,500	2,000,000	0	1,032,500	2,000,000	0
Conference & Travel Expenses	5050009	22,661	24,000	24,000	24,000	25,000	0	24,000	25,000	0
Professional Fees	5060010	24,768	300,000	300,000	300,000	400,000	0	300,000	400,000	0
Data Processing	5090012	0	0	0	0	0	0	0	0	0
Capital Outlay	5120011	569,338	600,000	600,000	0	1,000,000	0	0	1,000,000	0
Total		3,404,862	4,310,102	4,339,778	3,706,442	6,012,794	0	3,706,442	6,012,794	0
Funding Sources										
Fund Balance	4000005	1,819,168	2,704,379		3,394,277	3,394,277	0	4,687,835	2,381,483	0
Special Revenue	4000030	4,290,073	5,000,000		5,000,000	5,000,000	0	5,000,000	5,000,000	0
Total Funding		6,109,241	7,704,379		8,394,277	8,394,277	0	9,687,835	7,381,483	0
Excess Appropriation/(Funding)		(2,704,379)	(3,394,277)		(4,687,835)	(2,381,483)	0	(5,981,393)	(1,368,689)	0
Grand Total		3,404,862	4,310,102		3,706,442	6,012,794	0	3,706,442	6,012,794	0

No Executive Recommendation made on this appropriation.

Change Level by Appropriation

Appropriation: 816 - Judicial Fine
Funding Sources: MJF - Judicial Fine Collection Enhancement Fund

Agency Request

Change Level		2011-2012	Pos	Cumulative	% of BL	2012-2013	Pos	Cumulative	% of BL
BL	Base Level	3,706,442	36	3,706,442	100.0	3,706,442	36	3,706,442	100.0
C01	Existing Program	2,306,352	4	6,012,794	162.2	2,306,352	4	6,012,794	162.2

Appropriation Summary

Appropriation: 817 - Hearing & Visual Impairments

Funding Sources: HSC - State Central Services

Historical Data

Agency Request and Executive Recommendation

Commitment Item	Historical Data			2011-2012			2012-2013		
	2009-2010 Actual	2010-2011 Budget	2010-2011 Authorized	Base Level	Agency	Executive	Base Level	Agency	Executive
Auxilliary Aids-Hearing & Visual 5900046	8,259	10,000	10,000	10,000	10,000	0	10,000	10,000	0
Total	8,259	10,000	10,000	10,000	10,000	0	10,000	10,000	0
Funding Sources									
State Central Services 4000035	8,259	10,000		10,000	10,000	0	10,000	10,000	0
Total Funding	8,259	10,000		10,000	10,000	0	10,000	10,000	0
Excess Appropriation/(Funding)	0	0		0	0	0	0	0	0
Grand Total	8,259	10,000		10,000	10,000	0	10,000	10,000	0

No Executive Recommendation made on this appropriation.

Appropriation Summary

Appropriation: 832 - Alternative Dispute Resolution Commission

Funding Sources: NAC - Cash in Treasury

Historical Data

Agency Request and Executive Recommendation

Commitment Item		Historical Data			2011-2012			2012-2013		
		2009-2010 Actual	2010-2011 Budget	2010-2011 Authorized	Base Level	Agency	Executive	Base Level	Agency	Executive
Operating Expenses	5020002	76,566	140,000	140,000	140,000	140,000	0	140,000	140,000	0
Conference & Travel Expenses	5050009	6,521	25,000	25,000	25,000	25,000	0	25,000	25,000	0
Professional Fees	5060010	31,733	85,000	85,000	85,000	85,000	0	85,000	85,000	0
Data Processing	5090012	0	0	0	0	0	0	0	0	0
Capital Outlay	5120011	0	0	0	0	0	0	0	0	0
Total		114,820	250,000	250,000	250,000	250,000	0	250,000	250,000	0
Funding Sources										
Fund Balance	4000005	165,365	195,803		80,803	80,803	0	0	0	0
Cash Fund	4000045	145,258	135,000		135,000	135,000	0	135,000	135,000	0
Total Funding		310,623	330,803		215,803	215,803	0	135,000	135,000	0
Excess Appropriation/(Funding)		(195,803)	(80,803)		34,197	34,197	0	115,000	115,000	0
Grand Total		114,820	250,000		250,000	250,000	0	250,000	250,000	0

No Executive Recommendation made on this appropriation.

Change Level by Appropriation

Appropriation: 832 - Alternative Dispute Resolution Commission
Funding Sources: NAC - Cash in Treasury

Agency Request

Change Level		2011-2012	Pos	Cumulative	% of BL	2012-2013	Pos	Cumulative	% of BL
BL	Base Level	250,000	0	250,000	100.0	250,000	0	250,000	100.0
C04	Reallocation	0	0	250,000	100.0	0	0	250,000	100.0

Appropriation Summary

Appropriation: 85M - Court Management Program

Funding Sources: NAC - Cash in Treasury

Historical Data

Agency Request and Executive Recommendation

Commitment Item	Historical Data			2011-2012			2012-2013		
	2009-2010 Actual	2010-2011 Budget	2010-2011 Authorized	Base Level	Agency	Executive	Base Level	Agency	Executive
Operating Expenses 5020002	0	0	0	0	15,000	0	0	15,000	0
Total	0	0	0	0	15,000	0	0	15,000	0
Funding Sources									
Cash Fund 4000045	0	0		0	15,000	0	0	15,000	0
Total Funding	0	0		0	15,000	0	0	15,000	0
Excess Appropriation/(Funding)	0	0		0	0	0	0	0	0
Grand Total	0	0		0	15,000	0	0	15,000	0

Appropriation established in FY11 through a transfer from the Cash Fund Holding Account.

No Executive Recommendation made on this appropriation.

Change Level by Appropriation

Appropriation: 85M - Court Management Program
Funding Sources: NAC - Cash in Treasury

Agency Request

Change Level		2011-2012	Pos	Cumulative	% of BL	2012-2013	Pos	Cumulative	% of BL
C02	New Program	15,000	0	15,000	100.0	15,000	0	15,000	100.0

Appropriation Summary

Appropriation: 966 - Court Improvement Program

Funding Sources: FJD - Judicial Department Federal

Historical Data

Agency Request and Executive Recommendation

Commitment Item		Historical Data			Agency Request and Executive Recommendation			Agency Request and Executive Recommendation		
		2009-2010 Actual	2010-2011 Budget	2010-2011 Authorized	2011-2012			2012-2013		
					Base Level	Agency	Executive	Base Level	Agency	Executive
Regular Salaries	5010000	121,512	122,875	121,156	122,875	122,875	0	122,875	122,875	0
#Positions		2	2	2	2	2	0	2	2	0
Personal Services Matching	5010003	32,861	34,119	33,182	34,156	34,156	0	34,156	34,156	0
Operating Expenses	5020002	91,497	350,000	350,000	350,000	350,000	0	350,000	350,000	0
Conference & Travel Expenses	5050009	27,721	30,000	30,000	30,000	30,000	0	30,000	30,000	0
Professional Fees	5060010	31,704	200,000	200,000	200,000	250,000	0	200,000	250,000	0
Data Processing	5090012	0	0	0	0	0	0	0	0	0
Grants and Aid	5100004	0	150,000	150,000	150,000	200,000	0	150,000	200,000	0
Capital Outlay	5120011	65,020	70,000	70,000	0	150,000	0	0	150,000	0
Total		370,315	956,994	954,338	887,031	1,137,031	0	887,031	1,137,031	0
Funding Sources										
Federal Revenue	4000020	370,315	956,994		887,031	1,137,031	0	887,031	1,137,031	0
Total Funding		370,315	956,994		887,031	1,137,031	0	887,031	1,137,031	0
Excess Appropriation/(Funding)		0	0		0	0	0	0	0	0
Grand Total		370,315	956,994		887,031	1,137,031	0	887,031	1,137,031	0

No Executive Recommendation made on this appropriation.

The FY11 Budget amount in Regular Salaries and Personal Services Matching exceeds the authorized amount due to salary and matching rate adjustments during the 2009-2011 biennium.

Change Level by Appropriation

Appropriation: 966 - Court Improvement Program
Funding Sources: FJD - Judicial Department Federal

Agency Request

Change Level		2011-2012	Pos	Cumulative	% of BL	2012-2013	Pos	Cumulative	% of BL
BL	Base Level	887,031	2	887,031	100.0	887,031	2	887,031	100.0
C01	Existing Program	250,000	0	1,137,031	128.2	250,000	0	1,137,031	128.2
C04	Reallocation	0	0	1,137,031	128.2	0	0	1,137,031	128.2