

SECRETARY OF STATE

Enabling Laws

Act 220 of 2010

Act 184 of 2010

Constitution of Arkansas, Article 6

History and Organization

The primary mission of the Office of the Secretary of State is to maintain official records of the State of Arkansas as authorized and required by State law. The Secretary of State's Office was established upon the adoption of the Constitution of the State of Arkansas September 7, 1874. The Office is a branch of the Executive Department as set forth in Article Six of the Constitution. The duties of the Secretary of State include:

- Legal custodian of the State Capitol Building, the Capitol grounds and all the furniture and fixtures therein and thereon belonging to the State (Section 22-3-202 of the Arkansas Code of 1998 annotated, Act 150 of 1917).
- Maintain a centralized filing system for secured transactions (Revised article 9 of the Uniform Commercial Code). Act 1439 of 2001 made the Secretary of State the sole filing officer. Act 942 of 2009 centralized all filings, including agricultural liens and farm related security interests (previously exempted).
- Registration of trademarks in Arkansas. (Act 81 of 1967).
- Recording of various filings of candidates in connection with primary, general and presidential elections as provided by Section 7-1-101 et seq., of the Arkansas codes of 1987 Annotated (Act 465 of 1969, as amended); certification of candidates for presidential, state and district positions, and constitutional amendments and issues to County Boards of Elections. Issuance of commissions to all elected officials.
- Distribution of individual copies of the official Acts of the General Assembly. (Act 81 of 1973)
- Collection of Corporate Franchise Tax, including the maintenance of the yearly tax reports. (Act 94 of 2003, 2nd Extraordinary Session).
- Accept annual non-profit corporation reports (Act 569 of 2007).
- Maintain registry of International Student Exchange Visitor Placement Organization which intend to place students in Arkansas Schools (Act 966 of 2009).

- Accept filing of financial disclosure statements by committees formed or individuals who work to either promote or defeat any statewide initiative, referendum or measure. (Act 261 of 1989)
- Annual registration of persons operating health spas. (Act 264 of 1989)
- Registration of athlete agents and administration of remedies for violations committed by athlete agents. (Act 544 of 1989)
- Registration of and reporting of expenditures by, lobbyists. (Act 719 of 1989)
- Filing of Federal tax liens and notices on corporations, trusts and estates. (Act 835 of 1989)
- Maintain a registry of all qualified community development corporations in the state. (Act 989 of 1993)
- Establish a signature imaging system in the Secretary of State's office. (Act 1285 of 1993)
- Administer the planning, development, implementation, training and maintenance of a computerized voter registration system. (Act 691 of 1995)

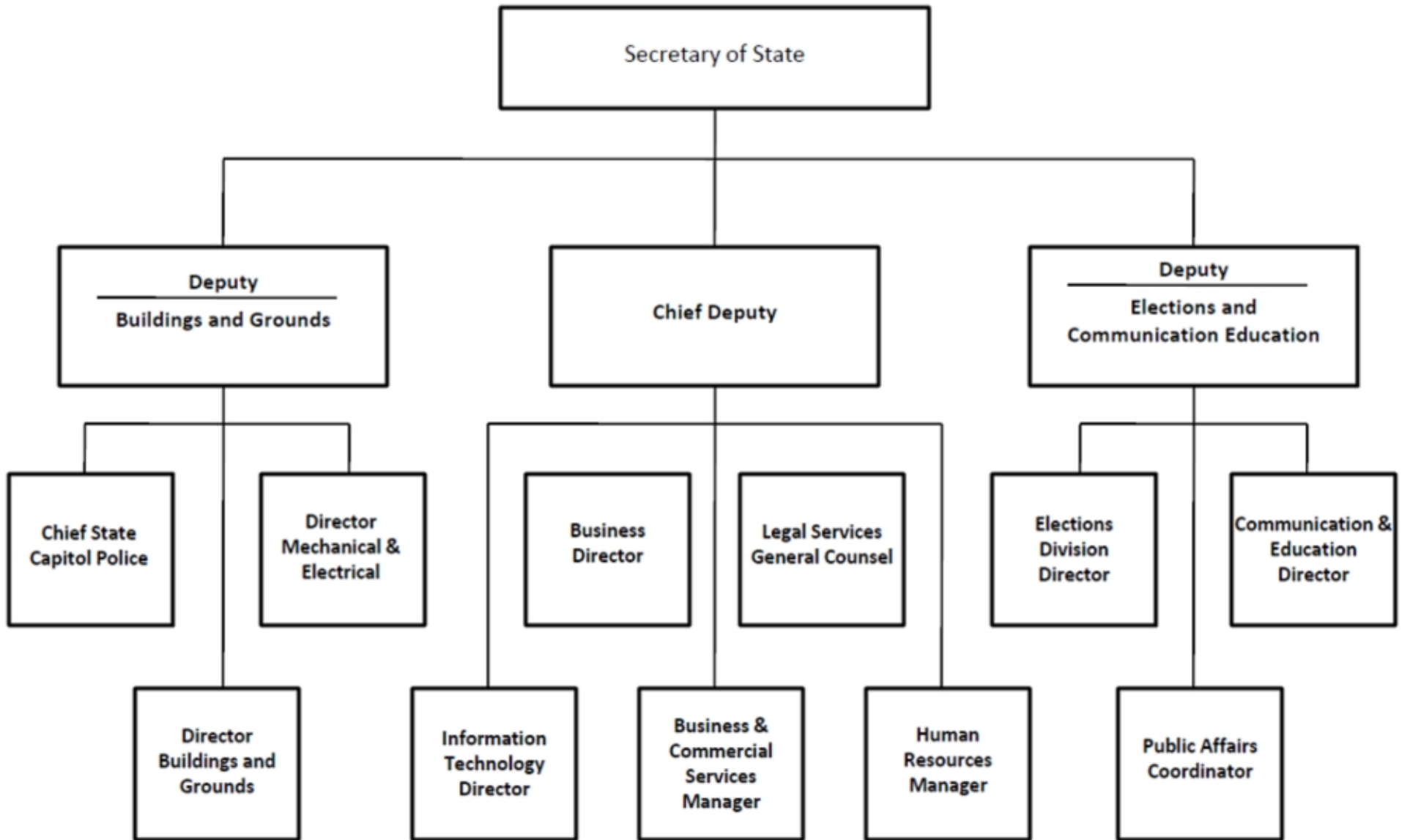
The Secretary of State is designated the Chief Election official of the State of Arkansas, responsible for the coordination of state responsibilities under the National Voter Registration Act of 1993, as required by Federal law. (Identical Acts 947 and 964 of 1995)

Implement Help America Vote Act -Act 2233 of 2005

Commission Notaries Public Act -Act 2274 of 2005 (amendment to earlier law)

Principal functions of the Office of Secretary of State are to:

- Maintain records of the official acts and proclamations of the Governor.
- Affix the Official Seal and attest commissions and other official acts made by the Governor.
- Record Acts of the General Assembly and certify copies thereof; Custodian of official records of the General Assembly, including journals, bills, resolutions and proposed Constitutional Amendments.
- Maintain initial filings, amendments, dissolution and annual reports of all domestic and foreign for-profit and non-profit corporations, limited liability companies, limited partnerships and other entities.
- Accept service of process on unregistered foreign entities and non-residents.
- Issue and record commissions of state, district and county officials, members of boards and commissions, notaries public and Justices of the Peace.
- Perform the duties of Chief Election Official as provided for by federal and state law.
- Maintain complete records of all cash receipts collected from authorized charges for fees and from the sale of legal volumes.
- Keep a complete record of all legal volumes and Acts of the Legislature disbursed by the Secretary of State, either by sales or by free issue as provided by law.
- Responsible for the maintenance and upkeep of the State Capitol Building and Grounds.



Agency Commentary

Appropriation 003 - Central Operations

Maintenance & Operations (5020002)

Agency is requesting an additional \$144,000 for the biennium. \$137,000 for the first year of the biennium and \$7,000 for the second year of the biennium.

Specific requests are shown below:

- \$50,000 first year of the biennium - Cost to license remaining SOS staff for Virtual Desktop
- \$ 7,000 each year of the biennium - Virtual Desktop Maintenance costs
- \$50,000 first year of the biennium - Upgrade current messaging system
- \$30,000 first year of the biennium - Licensing for Unified Communications Project

The Virtual Desktop project will provide future savings in hardware replacement. A desktop may be presented to most computer devices over a secure broadband connection. Equipment replacement costs would decrease. The secure connection is not blocked at most hotels or other connection areas. Software installation will take less time.

The Unified Communications Project is an upgrade to the current messaging system. Staff would be able to give out a single office number and have calls routed to their cell phones, home phones, office phones or voice mail. Live meetings could be set up using web-cams for projects similar to Web-Ex meetings.

The Agency also requests to reallocate \$108,570 each year from Data Processing to Operating Expenses due to the discontinued use of this commitment item.

Conference and Travel Expenses (5050009)

Agency is requesting a funding level equivalent to the FY2010-2011 biennium which was \$51,795 for each year of the biennium.

Professional Fees (5060010)

We are requesting an additional \$102,500 for the biennium; \$97,500 for the first year of the biennium and \$5,000 for the second year of the biennium. Specific requests are shown below:

- \$50,000 - Unified Communication Configuration and training
- \$15,000 - Wireless access setup
- \$ 5,000 - Server maintenance setup for each year of the biennium
- \$17,500 - Staff training, Virtual Desktop, Communications server
- \$10,000 - SANS Equipment setup

This request represents the training and set up fees for the above mentioned projects; the Unified Communications Project and the Virtual Desktop Project.

Ongoing professional fees cover language translation costs, quality assurance reviews, design fees for publications, construction of historical displays, special event costs, and video production projects.

Capital Outlay (5120011)

We are requesting a total of \$233,200 for the biennium; \$201,700 for the first year of the biennium, and \$31,500 for the second year of the biennium.

\$215,000 of this request will enable us to upgrade our Informational Technology Division by purchasing new software, servers, and security software packages, and replace existing equipment which has been damaged or excessively used.

\$18,200 of this request is to upgrade photography equipment which includes purchasing two MAC Pro12 processors necessary to accommodate technical upgrades in this field.

Shown below is an itemized listing of Capital Outlay needs:

- \$115,000 first year of the biennium - Equipment replacement, Expand Capitol Wireless project, Server Maintenance Project, SANS Expansion
- \$50,000 first year of the biennium - Additional Data Storage
- \$10,000 each year of the biennium - Stand alone servers
- \$15,000 each year of the biennium - Server Maintenance
- \$6,500 each year of the biennium - Mac Pro12 Processors for Photography
- \$5,200 first year of the biennium - Camera replacement

Mandatory Publications (5900034)

We are requesting a funding level equivalent to the FY2010-2011 biennium, which came to \$214,038 for each year of the biennium. Due to annual legislative sessions, The Acts of Arkansas are being printed each year.

Petition Verification (5900035)

We are requesting a funding level equivalent to the FY2010-2011 biennium, which totaled \$275,000 for each year of the biennium. However, we wish to increase the first year of the biennium by \$75,000 and decrease the second year of the biennium by \$75,000. These expenditures are seasonal in that they fluctuate during election years and costs vary with volume. They consist of hiring temporary workers to process petitions and contracting an outside CPA firm to audit the findings. These costs are also increasing. However, the level of funding at this time seems to be adequate.

Publish Legal Notices (5900036)

Agency is requesting a funding level equivalent to the FY2010-2011 biennium, which totaled \$550,000 for each year of the biennium. However, we wish to decrease the first year of the biennium by \$100,000 and increase the second year of the biennium by \$100,000. These expenditures are seasonal in that they fluctuate during election years. They consist of public announcements in the form of ads in most print media outlets statewide.

Records Management (5900037)

Agency is requesting a funding level equivalent to the FY2010-2011 biennium, which totaled \$933,977 for each year of the biennium. Funds are necessary in order to continue upgrading the UCC and Corporation areas of the Business/Commercial Services Division which will allow these Divisions to keep abreast of constant changes within the banking community regarding check imaging, lock box enhancements, and electronic file maintenance. Standard costs consist of ongoing software development and maintenance within the finance, personnel and Commercial Services Divisions, monthly banking fees associated with the lock box operation, and web fees associated with the web filing program.

Statewide Voter Registration System (5900046)

We are requesting a funding level equivalent to the FY2010-2011 biennium which was \$1,438,029 for each year of the biennium. These funds are necessary to continue the Voter Registration statewide network, and to maintain a secondary site for disaster recovery.

Flags (5900048)

Agency is requesting a funding level equivalent to the FY2010-2011 biennium which was \$181,250 for each year of the biennium. This appropriation provides United States and State of Arkansas flags to members of the General Assembly, Constitutional offices and the Governor during the biennium according to a distribution schedule authorized by ACA 1-4-104.

Appropriation 687 - Support Operations

Maintenance & Operations (5020002)

We are requesting a funding level equivalent to the FY2010-2011 biennium which was \$1,356,922 for each year of the biennium. Although utility costs for ongoing operations at the Capitol campus are expected to increase by 18% during the first year of the biennium and 13% during the second year of the biennium.

Conference and Travel Expenses (5050009)

Agency is requesting a funding level equivalent to the FY2010-2011 biennium which was \$13,856 for each year of the biennium. Although travel costs have steadily increased, we feel the current level of funding is adequate at this time.

Professional Fees (5060010)

We are requesting a funding level equivalent to the FY2010-2011 biennium which was \$138,000 for each year of the biennium. This budget line is used for continued contractual maintenance of the State Capitol building. An example of maintenance projects using Professional fees would be larger painting projects, flooring replacement or re-carpeting, and/or unexpected structural or equipment repairs.

Capitol Grounds Improvement (5090005)

Agency is requesting an increase of \$25,730 for the second year of the biennium for replacement of grounds maintenance equipment.

Capital Outlay (5120011)

Within the Capitol Building and Grounds program, we request a funding level of \$165,000 for the first year of the biennium and \$220,000 for the second year of the biennium. Specific requests include the following:

- \$165,000 for replacement of cooling towers during the first biennium
- \$220,000 for replacement of a facility freight elevator during the second year of the biennium

Special Maintenance (5120032)

Agency is requesting a funding level equivalent to the FY2010-2011 biennium which was \$488,048 for each year of the biennium. Ongoing costs consist of unexpected event repairs and maintenance, elevator and HVAC maintenance contracts, small painting projects, plumbing repairs and maintenance, electrical repair and maintenance, pest control, ground sprinkler system maintenance and vehicle fleet maintenance and upkeep.

Building Insurance (5900046)

We are requesting a funding level equivalent to the prior biennium which was \$223,278 for each year of the biennium. The purpose of this fund is to aid in the cost of insuring furniture and fixtures within the State Capitol building as well as the building itself, and other structures situated on State Capitol grounds. Premiums fluctuate with economic changes.

Other Appropriations

Appropriation 833 - Arkansas State Capitol Building & Grounds Restoration-Cash

Agency is requesting a funding level of \$25,000 equivalent to the prior biennium. The purpose of the fund is to aid in maintaining the structural integrity and beauty of the State Capitol buildings and grounds. Area 833 is dependent upon donations and, as such, the anticipated level of actual funding is unknown at this time. Expenditure appropriation is contingent upon available funding. State Treasury Money Management Program investment balances currently exist to fund this appropriation at this level.

Appropriation 2MJ - Capitol Grounds Monument and Memorial Preservation

We are requesting a funding level equivalent to the FY2010-2011 biennium of \$50,000 which was created by Act 1652 of 2001. This fund was established as a vehicle by which the office of Secretary of State can be assured of funding associated with the preservation and maintenance of memorials and monuments placed on the Capitol grounds which is funded solely by special event fees collected for use of the Capitol building and by a deposit of 10% of a new monument's total cost made at the time the new monument construction begins.

Appropriation 1NK - Help America Vote Act (HAVA) - Federal

We are requesting an appropriation at the estimated level of funding ending at FY11 of \$5,490,000. This is a continuation of HAVA Title II procurements (Act 1466 of 2003).

Appropriation 2EK - Election Assistance for the Disabled - Federal

We are requesting a continuation of this appropriation for \$135,225 each year of the biennium. This fund is in support of a Federal Grant award to the office of the Secretary of State for Election Assistance for Individuals with Disabilities (EAID). The purpose of this grant is to improve accessibility for individuals with disabilities at polling places. The purpose also includes training poll workers on how best to promote access and inform the general public about accessibility of polling places. This grant is 100% federally funded and we anticipate the fund to continue at this level.

Appropriation B97 - Parking Cash

We are requesting an appropriation at the estimated level of funding ending FY2011 of \$300,000, which reflects an increase of \$20,000 for each year of the biennium. Adequate cash and investment balances currently exist to fund this request.

Appropriation 378 - Fee and Tax Refund (Corporate Filing & Refunds)

We are requesting a continuation of this appropriation at the current base level of \$450,000 for each year of the biennium. The purpose of this appropriation is to provide a mechanism which allows the Agency to refund over-payments of various receipts processed by the Business/Commercial Services Division.

Appropriation 4JC - Fallen Firefighters Memorial Monument fund

Agency is requesting an increase of \$5,310 for each year of the biennium to reflect current fund balances. This fund was created by Act 28 of 2005 and receives funding from a one-dollar (\$1) fee collected from specialty license plates commemorating our fallen firefighters and from other means as allowed by law. Construction is expected to begin during the first year of the biennium. The fund will then be utilized to prepare the initial site for visitors to the memorial and/or for ongoing maintenance of the memorial site.

Appropriation 81W - Help America Vote Act (HAVA) Matching Funds

We are requesting a continuation of this appropriation at the current level of funding. The purpose of this appropriation is to satisfy state matching requirements of the HAVA Federal program in order to continue receiving annual allotments. Adequate balances exist to fund this appropriation.

Appropriation 85G - Reapportionment

Personnel (5010000)

Agency is requesting \$63,000 in additional funding for one (1) position in the first year of the biennium. The primary duties of this position will be to fulfill the needs of Reapportionment office responsibilities in accordance with Article 8 of the Arkansas State Constitution. By using data collected from the most recent Federal Census, this employee will work with the Governor, Attorney General and Secretary of State to revise the state's electoral districts. The process of redistricting is challenging. As such, the addition of this position is necessary to ensure the revision is portrayed fairly and accurately. The Reapportionment project is scheduled to be phased out by the end of the first year of the biennium.

We are requesting the following funding for state constitutional mandated redistricting costs:

	<u>2012</u>
Regular Salaries (00)	\$ 63,000
Extra Help (01)	\$ 7,500
Personal Services Matching (03)	\$ 18,170
Operating Expenses (02)	\$317,873
Professional Fees (10)	<u>\$177,000</u>
Biennium Total	\$583,543

Salaries consist of one position. Annual salary cost is as follows:

	<u>2012</u>
Program Coordinator	\$ 80,571

Shown below is a breakdown of Operating expenses:

	<u>2012</u>
Facility Rental -	\$ 40,073
Equipment Rental -	\$ 1,300
Supplies -	\$ 20,000
Travel	\$ 54,000
Printing -	\$152,500
Advertising -	\$ 14,000
Software Maintenance services -	<u>\$ 36,000</u>
Biennium Total	\$317,873

Professional fees cover court reporting fees and other legal costs.

Audit Findings

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF :
SECRETARY OF STATE
FOR THE YEAR ENDED JUNE 30, 2009

Findings

None

Recommendations

None

Employment Summary

	Male	Female	Total	%
White Employees	62	52	114	74 %
Black Employees	13	23	36	23 %
Other Racial Minorities	3	1	4	3 %
	Total Minorities		40	26 %
	Total Employees		154	100 %

Cash Fund Balance Description as of June 30, 2010

Fund Account	Balance	Type	Location
1350300	\$213,299	Investments TMMP	State Treasury

Statutory/Other Restrictions on use:

A.C.A. §22-3-401 et seq. authorizes the Secretary of State to collect parking fees and fines.

Statutory Provisions for Fees, Fines, Penalties:

A.C.A. §22-3-401 et seq. authorizes the Secretary of State to collect parking fees and fines.

Revenue Receipts Cycle:

Fees and fines are collected throughout the year. Interest on the balance is earned.

Fund Balance Utilization:

Funds are used to properly regulate and control the flow of traffic on the State Capitol grounds and to provide parking facilities for use by the public.

Fund Account	Balance	Type	Location
1350300	\$103,821	Checking	Regions Bank

Statutory/Other Restrictions on use:

A.C.A. §22-3-401 et seq. authorizes the Secretary of State to collect parking fees and fines.

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Fund Balance Utilization:

Funds are used to properly regulate and control the flow of traffic on the State Capitol grounds and to provide parking facilities for use by the public.

Publications

A.C.A. 25-1-204

Name	Statutory Authorization	Required for		# of Copies	Reason(s) for Continued Publication and Distribution
		Governor	General Assembly		
Acts of Arkansas	A.C.A. §25-18-206, A.C.A. §25-18-225	N	N	500	Required by law. Provides needed references for State Officials.
Arkansas Register	A.C.A. §25-15-205 (Act 1478 of 2003)	N	N	2,600	Required by law.
Election Laws of Arkansas & State Constitution	A.C.A. §25-18-225	N	N	1,400	Required by law.
Historical Report	ACA 25-18-223	N	Y	8,000	Required by law every 10 years
Voices of Arkansas	Amendment 51 Section 8	N	N	2,500	Required by law to report on the NVRA statistics.

Department Appropriation Summary

Historical Data

Agency Request and Executive Recommendation

Appropriation	2009-2010		2010-2011		2010-2011		2011-2012					2012-2013						
	Actual	Pos	Budget	Pos	Authorized	Pos	Base Level	Pos	Agency	Pos	Executive	Pos	Base Level	Pos	Agency	Pos	Executive	Pos
003 Secretary of State Operations	10,166,666	93	11,754,171	94	11,712,443	94	11,584,712	94	11,995,912	94	0	0	11,584,712	94	11,653,212	94	0	0
1NK HAVA Title 2	231,394	0	4,780,000	0	4,780,000	0	4,780,000	0	5,490,000	0	0	0	4,780,000	0	5,490,000	0	0	0
2EK Election Assist for the Disabled	63,989	0	135,225	0	135,225	0	135,225	0	135,225	0	0	0	135,225	0	135,225	0	0	0
2MJ Cap Grnds Monument Perserv	0	0	50,000	0	50,000	0	50,000	0	50,000	0	0	0	50,000	0	50,000	0	0	0
378 Corporate Filing & Refund	377,628	0	450,000	0	450,000	0	450,000	0	450,000	0	0	0	450,000	0	450,000	0	0	0
41C Firefighters' Memorial Monument	0	0	32,050	0	32,050	0	32,050	0	37,360	0	0	0	32,050	0	37,360	0	0	0
687 SOS-Support Operations	5,566,899	68	6,507,015	68	6,484,939	68	6,248,967	68	6,413,967	68	0	0	6,248,967	68	6,494,697	68	0	0
81W HAVA St Match-Cash	5,925	0	700,000	0	0	0	0	0	700,000	0	0	0	0	0	700,000	0	0	0
833 Treasury Cash	0	0	25,000	0	25,000	0	25,000	0	25,000	0	0	0	25,000	0	25,000	0	0	0
85G Reapportionment	0	0	0	0	0	0	0	0	583,543	1	0	0	0	0	0	0	0	0
B97 Parking/Cash	30,474	0	280,000	0	280,000	0	280,000	0	300,000	0	0	0	280,000	0	300,000	0	0	0
Total	16,442,975	161	24,713,461	162	23,949,657	162	23,585,954	162	26,181,007	163	0	0	23,585,954	162	25,335,494	162	0	0

Funding Sources		%		%		%		%		%		%		%		%		%
Fund Balance	4000005	360,933	2.1	1,028,842	4.1	97,570	0.4	97,570	0.4	0	0.0	17,535	0.1	12,225	0.0	0	0.0	
Federal Revenue	4000020	295,383	1.7	4,915,225	19.8	4,915,225	20.8	5,625,225	22.1	0	0.0	4,915,225	20.8	5,625,225	22.8	0	0.0	
Special Revenue	4000030	7,655	0.0	19,827	0.1	25,135	0.1	25,135	0.1	0	0.0	25,135	0.1	25,135	0.1	0	0.0	
State Central Services	4000035	15,733,565	90.1	18,261,186	73.6	17,833,679	75.6	18,993,422	74.5	0	0.0	17,833,679	75.6	18,147,909	73.7	0	0.0	
Non-Revenue Receipts	4000040	377,628	2.2	450,000	1.8	450,000	1.9	450,000	1.8	0	0.0	450,000	1.9	450,000	1.8	0	0.0	
Cash Fund	4000045	683,641	3.9	39,109	0.2	231,880	1.0	251,880	1.0	0	0.0	305,000	1.3	325,000	1.3	0	0.0	
Trust Fund	4000050	0	0.0	50,000	0.2	50,000	0.2	50,000	0.2	0	0.0	50,000	0.2	50,000	0.2	0	0.0	
Interest	4000300	13,012	0.1	46,842	0.2	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	
Total Funds		17,471,817	100.0	24,811,031	100.0	23,603,489	100.0	25,493,232	100.0	0	0.0	23,596,574	100.0	24,635,494	100.0	0	0.0	
Excess Appropriation/(Funding)		(1,028,842)		(97,570)		(17,535)		687,775		0		(10,620)		700,000		0		
Grand Total		16,442,975		24,713,461		23,585,954		26,181,007		0		23,585,954		25,335,494		0		

No Executive Recommendation made on these appropriations.

FY11 Budget exceeds the Authorized Amount in Secretary of State Operations (003) and SOS-Support Operations (687) due to matching rate adjustments during the 2009-2011 biennium.

Variance in fund balance due to unfunded appropriation.

Agency Position Usage Report

FY2008 - 2009						FY2009 - 2010						FY2010 - 2011					
Authorized in Act	Budgeted			Unbudgeted	% of Authorized Unused	Authorized in Act	Budgeted			Unbudgeted	% of Authorized Unused	Authorized in Act	Budgeted			Unbudgeted	% of Authorized Unused
	Filled	Unfilled	Total	Total			Filled	Unfilled	Total	Total			Filled	Unfilled	Total	Total	
160	155	5	160	0	3.13 %	162	156	6	162	0	3.70 %	162	154	8	162	0	4.94 %

Appropriation Summary

Appropriation: 003 - Secretary of State Operations

Funding Sources: HSC - State Central Services

Historical Data

Agency Request and Executive Recommendation

Commitment Item		Historical Data			Agency Request and Executive Recommendation			Agency Request and Executive Recommendation		
		2009-2010 Actual	2010-2011 Budget	2010-2011 Authorized	2011-2012			2012-2013		
					Base Level	Agency	Executive	Base Level	Agency	Executive
Regular Salaries	5010000	4,279,457	4,725,727	4,725,727	4,725,727	4,725,727	0	4,725,727	4,725,727	0
#Positions		93	94	94	94	94	0	94	94	0
Extra Help	5010001	72,686	87,606	87,606	87,606	87,606	0	87,606	87,606	0
#Extra Help		24	25	25	25	25	0	25	25	0
Personal Services Matching	5010003	1,213,147	1,414,411	1,372,683	1,415,860	1,415,860	0	1,415,860	1,415,860	0
Overtime	5010006	0	10,000	10,000	10,000	10,000	0	10,000	10,000	0
Operating Expenses	5020002	1,408,354	1,529,904	1,529,904	1,529,904	1,775,474	0	1,529,904	1,645,474	0
Conference & Travel Expenses	5050009	49,693	51,795	51,795	51,795	51,795	0	51,795	51,795	0
Professional Fees	5060010	57,115	62,956	62,956	62,956	160,456	0	62,956	67,956	0
Data Processing	5090012	100,414	108,570	108,570	108,570	0	0	108,570	0	0
Capital Outlay	5120011	115,557	170,908	170,908	0	201,700	0	0	31,500	0
Mandatory Publications	5900034	34,407	214,038	214,038	214,038	214,038	0	214,038	214,038	0
Petition Verification	5900035	214,749	275,000	275,000	275,000	350,000	0	275,000	200,000	0
Publish Legal Notices	5900036	316,477	550,000	550,000	550,000	450,000	0	550,000	650,000	0
Records Management	5900037	903,498	933,977	933,977	933,977	933,977	0	933,977	933,977	0
Statewide Voter Reg System	5900046	1,385,443	1,438,029	1,438,029	1,438,029	1,438,029	0	1,438,029	1,438,029	0
Flags	5900048	15,669	181,250	181,250	181,250	181,250	0	181,250	181,250	0
Total		10,166,666	11,754,171	11,712,443	11,584,712	11,995,912	0	11,584,712	11,653,212	0
Funding Sources										
State Central Services	4000035	10,166,666	11,754,171		11,584,712	11,995,912	0	11,584,712	11,653,212	0
Total Funding		10,166,666	11,754,171		11,584,712	11,995,912	0	11,584,712	11,653,212	0
Excess Appropriation/(Funding)		0	0		0	0	0	0	0	0
Grand Total		10,166,666	11,754,171		11,584,712	11,995,912	0	11,584,712	11,653,212	0

No Executive Recommendation made on this appropriation.

The FY11 Budget amount in Personal Services Matching exceeds the authorized amount due to matching rate adjustments during the 2009-2011 biennium.

Change Level by Appropriation

Appropriation: 003 - Secretary of State Operations
Funding Sources: HSC - State Central Services

Agency Request

Change Level		2011-2012	Pos	Cumulative	% of BL	2012-2013	Pos	Cumulative	% of BL
BL	Base Level	11,584,712	94	11,584,712	100.0	11,584,712	94	11,584,712	100.0
C01	Existing Program	749,177	0	12,333,889	106.5	390,988	0	11,975,700	103.4
C03	Discontinue Program	(312,977)	0	12,020,912	103.8	(347,488)	0	11,628,212	100.4
C04	Reallocation	(25,000)	0	11,995,912	103.5	25,000	0	11,653,212	100.6

Appropriation Summary

Appropriation: 1NK - HAVA Title 2

Funding Sources: FSS - HAVA Title II - Federal

Historical Data

Agency Request and Executive Recommendation

Commitment Item	2009-2010 Actual	2010-2011 Budget	2010-2011 Authorized	2011-2012			2012-2013		
				Base Level	Agency	Executive	Base Level	Agency	Executive
Grants and Aid 5100004	231,394	4,780,000	4,780,000	4,780,000	5,490,000	0	4,780,000	5,490,000	0
Total	231,394	4,780,000	4,780,000	4,780,000	5,490,000	0	4,780,000	5,490,000	0
Funding Sources									
Federal Revenue 4000020	231,394	4,780,000		4,780,000	5,490,000	0	4,780,000	5,490,000	0
Total Funding	231,394	4,780,000		4,780,000	5,490,000	0	4,780,000	5,490,000	0
Excess Appropriation/(Funding)	0	0		0	0	0	0	0	0
Grand Total	231,394	4,780,000		4,780,000	5,490,000	0	4,780,000	5,490,000	0

No Executive Recommendation made on this appropriation.

Change Level by Appropriation

Appropriation: 1NK - HAVA Title 2
Funding Sources: FSS - HAVA Title II - Federal

Agency Request

Change Level		2011-2012	Pos	Cumulative	% of BL	2012-2013	Pos	Cumulative	% of BL
BL	Base Level	4,780,000	0	4,780,000	100.0	4,780,000	0	4,780,000	100.0
C01	Existing Program	710,000	0	5,490,000	114.9	710,000	0	5,490,000	114.9

Appropriation Summary

Appropriation: 2EK - Election Assist for the Disabled

Funding Sources: FSS - Election Assistance for Disabled - Federal

Historical Data

Agency Request and Executive Recommendation

Commitment Item	2009-2010 Actual	2010-2011 Budget	2010-2011 Authorized	2011-2012			2012-2013		
				Base Level	Agency	Executive	Base Level	Agency	Executive
Grants and Aid 5100004	63,989	135,225	135,225	135,225	135,225	0	135,225	135,225	0
Total	63,989	135,225	135,225	135,225	135,225	0	135,225	135,225	0
Funding Sources									
Federal Revenue 4000020	63,989	135,225		135,225	135,225	0	135,225	135,225	0
Total Funding	63,989	135,225		135,225	135,225	0	135,225	135,225	0
Excess Appropriation/(Funding)	0	0		0	0	0	0	0	0
Grand Total	63,989	135,225		135,225	135,225	0	135,225	135,225	0

No Executive Recommendation made on this appropriation.

Appropriation Summary

Appropriation: 2MJ - Cap Grnds Monument Perserv

Funding Sources: TCG - Capitol Grounds Monument/Memorial Fund - Trust

Historical Data

Agency Request and Executive Recommendation

Commitment Item	2009-2010 Actual	2010-2011 Budget	2010-2011 Authorized	2011-2012			2012-2013		
				Base Level	Agency	Executive	Base Level	Agency	Executive
Construction 5090005	0	50,000	50,000	50,000	50,000	0	50,000	50,000	0
Total	0	50,000	50,000	50,000	50,000	0	50,000	50,000	0
Funding Sources									
Trust Fund 4000050	0	50,000		50,000	50,000	0	50,000	50,000	0
Total Funding	0	50,000		50,000	50,000	0	50,000	50,000	0
Excess Appropriation/(Funding)	0	0		0	0	0	0	0	0
Grand Total	0	50,000		50,000	50,000	0	50,000	50,000	0

No Executive Recommendation made on this appropriation.

Appropriation Summary

Appropriation: 378 - Corporate Filing & Refund

Funding Sources: MTA - Miscellaneous Revolving Fund

Historical Data

Agency Request and Executive Recommendation

Commitment Item	Historical Data			2011-2012			2012-2013		
	2009-2010 Actual	2010-2011 Budget	2010-2011 Authorized	Base Level	Agency	Executive	Base Level	Agency	Executive
Refunds/Reimbursements 5110014	377,628	450,000	450,000	450,000	450,000	0	450,000	450,000	0
Total	377,628	450,000	450,000	450,000	450,000	0	450,000	450,000	0
Funding Sources									
Non-Revenue Receipts 4000040	377,628	450,000		450,000	450,000	0	450,000	450,000	0
Total Funding	377,628	450,000		450,000	450,000	0	450,000	450,000	0
Excess Appropriation/(Funding)	0	0		0	0	0	0	0	0
Grand Total	377,628	450,000		450,000	450,000	0	450,000	450,000	0

No Executive Recommendation made on this appropriation.

Appropriation Summary

Appropriation: 4JC - Firefighters' Memorial Monument

Funding Sources: SFF- Fallen Firefighter's Fund

Historical Data

Agency Request and Executive Recommendation

Commitment Item	2009-2010 Actual	2010-2011 Budget	2010-2011 Authorized	2011-2012			2012-2013		
				Base Level	Agency	Executive	Base Level	Agency	Executive
Construction 5090005	0	32,050	32,050	32,050	37,360	0	32,050	37,360	0
Total	0	32,050	32,050	32,050	37,360	0	32,050	37,360	0
Funding Sources									
Fund Balance 4000005	29,018	36,673		24,450	24,450	0	17,535	12,225	0
Special Revenue 4000030	7,655	19,827		25,135	25,135	0	25,135	25,135	0
Total Funding	36,673	56,500		49,585	49,585	0	42,670	37,360	0
Excess Appropriation/(Funding)	(36,673)	(24,450)		(17,535)	(12,225)	0	(10,620)	0	0
Grand Total	0	32,050		32,050	37,360	0	32,050	37,360	0

No Executive Recommendation made on this appropriation.

Change Level by Appropriation

Appropriation: 4JC - Firefighters' Memorial Monument
Funding Sources: SFF- Fallen Firefighter's Fund

Agency Request

Change Level		2011-2012	Pos	Cumulative	% of BL	2012-2013	Pos	Cumulative	% of BL
BL	Base Level	32,050	0	32,050	100.0	32,050	0	32,050	100.0
C01	Existing Program	5,310	0	37,360	116.6	5,310	0	37,360	116.6

Appropriation Summary

Appropriation: 687 - SOS-Support Operations

Funding Sources: HSC - State Central Services

Historical Data

Agency Request and Executive Recommendation

Commitment Item		Historical Data			Agency Request and Executive Recommendation			Agency Request and Executive Recommendation		
		2009-2010 Actual	2010-2011 Budget	2010-2011 Authorized	2011-2012			2012-2013		
					Base Level	Agency	Executive	Base Level	Agency	Executive
Regular Salaries	5010000	2,720,368	2,936,860	2,936,860	2,936,860	2,936,860	0	2,936,860	2,936,860	0
#Positions		68	68	68	68	68	0	68	68	0
Extra Help	5010001	8,327	9,000	9,000	9,000	9,000	0	9,000	9,000	0
#Extra Help		2	5	5	5	5	0	5	5	0
Personal Services Matching	5010003	818,512	923,657	901,581	924,549	924,549	0	924,549	924,549	0
Overtime	5010006	326	23,000	23,000	23,000	23,000	0	23,000	23,000	0
Operating Expenses	5020002	1,174,845	1,356,922	1,356,922	1,356,922	1,356,922	0	1,356,922	1,356,922	0
Conference & Travel Expenses	5050009	395	13,856	13,856	13,856	13,856	0	13,856	13,856	0
Professional Fees	5060010	70,525	138,000	138,000	138,000	138,000	0	138,000	138,000	0
Capitol Grounds Improvement	5090005	88,813	135,454	135,454	135,454	135,454	0	135,454	161,184	0
Data Processing	5090012	0	0	0	0	0	0	0	0	0
Capital Outlay	5120011	210,844	258,940	258,940	0	165,000	0	0	220,000	0
Special Maintenance	5120032	376,866	488,048	488,048	488,048	488,048	0	488,048	488,048	0
Building Insurance	5900046	97,078	223,278	223,278	223,278	223,278	0	223,278	223,278	0
Total		5,566,899	6,507,015	6,484,939	6,248,967	6,413,967	0	6,248,967	6,494,697	0
Funding Sources										
State Central Services	4000035	5,566,899	6,507,015		6,248,967	6,413,967	0	6,248,967	6,494,697	0
Total Funding		5,566,899	6,507,015		6,248,967	6,413,967	0	6,248,967	6,494,697	0
Excess Appropriation/(Funding)		0	0		0	0	0	0	0	0
Grand Total		5,566,899	6,507,015		6,248,967	6,413,967	0	6,248,967	6,494,697	0

No Executive Recommendation made on this appropriation.

The FY11 Budget amount in Personal Services Matching exceeds the authorized amount due to matching rate adjustments during the 2009-2011 biennium.

Change Level by Appropriation

Appropriation: 687 - SOS-Support Operations
Funding Sources: HSC - State Central Services

Agency Request

Change Level		2011-2012	Pos	Cumulative	% of BL	2012-2013	Pos	Cumulative	% of BL
BL	Base Level	6,248,967	68	6,248,967	100.0	6,248,967	68	6,248,967	100.0
C01	Existing Program	318,578	0	6,567,545	105.1	399,308	0	6,648,275	106.4
C03	Discontinue Program	(153,578)	0	6,413,967	102.6	(153,578)	0	6,494,697	103.9

Appropriation Summary

Appropriation: 81W - HAVA St Match-Cash

Funding Sources: NSS - Cash in Treasury

Historical Data

Agency Request and Executive Recommendation

Commitment Item	2009-2010 Actual	2010-2011 Budget	2010-2011 Authorized	2011-2012			2012-2013		
				Base Level	Agency	Executive	Base Level	Agency	Executive
HAVA State Match 5900046	5,925	700,000	0	0	700,000	0	0	700,000	0
Total	5,925	700,000	0	0	700,000	0	0	700,000	0
Funding Sources									
Fund Balance 4000005	0	653,158		0	0	0	0	0	0
Cash Fund 4000045	646,071	0		0	0	0	0	0	0
Interest 4000300	13,012	46,842		0	0	0	0	0	0
Total Funding	659,083	700,000		0	0	0	0	0	0
Excess Appropriation/(Funding)	(653,158)	0		0	700,000	0	0	700,000	0
Grand Total	5,925	700,000		0	700,000	0	0	700,000	0

No Executive Recommendation made on this appropriation.

FY11 Budget exceeds Authorized Appropriation in HAVA State Match due to a transfer from the Cash Fund Holding Account.

Change Level by Appropriation

Appropriation: 81W - HAVA St Match-Cash
Funding Sources: NSS - Cash in Treasury

Agency Request

Change Level		2011-2012	Pos	Cumulative	% of BL	2012-2013	Pos	Cumulative	% of BL
BL	Base Level	0	0	0	0.0	0	0	0	0.0
C01	Existing Program	700,000	0	700,000	100.0	700,000	0	700,000	100.0

Appropriation Summary

Appropriation: 833 - Treasury Cash

Funding Sources: NSS - Cash in Treasury

Historical Data

Agency Request and Executive Recommendation

Commitment Item		Historical Data			2011-2012			2012-2013		
		2009-2010 Actual	2010-2011 Budget	2010-2011 Authorized	Base Level	Agency	Executive	Base Level	Agency	Executive
Operating Expenses	5020002	0	25,000	25,000	25,000	25,000	0	25,000	25,000	0
Conference & Travel Expenses	5050009	0	0	0	0	0	0	0	0	0
Professional Fees	5060010	0	0	0	0	0	0	0	0	0
Data Processing	5090012	0	0	0	0	0	0	0	0	0
Capital Outlay	5120011	0	0	0	0	0	0	0	0	0
Total		0	25,000	25,000	25,000	25,000	0	25,000	25,000	0
Funding Sources										
Fund Balance	4000005	21,762	21,891		0	0	0	0	0	0
Cash Fund	4000045	129	3,109		25,000	25,000	0	25,000	25,000	0
Total Funding		21,891	25,000		25,000	25,000	0	25,000	25,000	0
Excess Appropriation/(Funding)		(21,891)	0		0	0	0	0	0	0
Grand Total		0	25,000		25,000	25,000	0	25,000	25,000	0

No Executive Recommendation made on this appropriation.

Appropriation Summary

Appropriation: 85G - Reapportionment

Funding Sources: HSC - State Central Services

Historical Data

Agency Request and Executive Recommendation

Commitment Item	2009-2010 Actual	2010-2011 Budget	2010-2011 Authorized	2011-2012			2012-2013		
				Base Level	Agency	Executive	Base Level	Agency	Executive
Regular Salaries 5010000	0	0	0	0	63,000	0	0	0	0
#Positions	0	0	0	0	1	0	0	0	0
Extra Help 5010001	0	0	0	0	7,500	0	0	0	0
#Extra Help	0	0	0	0	1	0	0	0	0
Personal Services Matching 5010003	0	0	0	0	18,170	0	0	0	0
Operating Expenses 5020002	0	0	0	0	317,873	0	0	0	0
Professional Fees 5060010	0	0	0	0	177,000	0	0	0	0
Total	0	0	0	0	583,543	0	0	0	0
Funding Sources									
State Central Services 4000035	0	0		0	583,543	0	0	0	0
Total Funding	0	0		0	583,543	0	0	0	0
Excess Appropriation/(Funding)	0	0		0	0	0	0	0	0
Grand Total	0	0		0	583,543	0	0	0	0

Change Level by Appropriation

Appropriation: 85G - Reapportionment

Funding Sources: HSC - State Central Services

Agency Request

Change Level		2011-2012	Pos	Cumulative	% of BL	2012-2013	Pos	Cumulative	% of BL
C01	Existing Program	583,543	1	583,543	100.0	0	0	0	0.0

Appropriation Summary

Appropriation: B97 - Parking/Cash

Funding Sources: 135 - Parking Lot - Cash

Historical Data

Agency Request and Executive Recommendation

Commitment Item	2009-2010 Actual	2010-2011 Budget	2010-2011 Authorized	2011-2012			2012-2013		
				Base Level	Agency	Executive	Base Level	Agency	Executive
Various expenses 5900046	30,474	280,000	280,000	280,000	300,000	0	280,000	300,000	0
Total	30,474	280,000	280,000	280,000	300,000	0	280,000	300,000	0
Funding Sources									
Fund Balance 4000005	310,153	317,120		73,120	73,120	0	0	0	0
Cash Fund 4000045	37,441	36,000		206,880	226,880	0	280,000	300,000	0
Total Funding	347,594	353,120		280,000	300,000	0	280,000	300,000	0
Excess Appropriation/(Funding)	(317,120)	(73,120)		0	0	0	0	0	0
Grand Total	30,474	280,000		280,000	300,000	0	280,000	300,000	0

No Executive Recommendation made on this appropriation.

Change Level by Appropriation

Appropriation: B97 - Parking/Cash

Funding Sources: 135 - Parking Lot - Cash

Agency Request

Change Level		2011-2012	Pos	Cumulative	% of BL	2012-2013	Pos	Cumulative	% of BL
BL	Base Level	280,000	0	280,000	100.0	280,000	0	280,000	100.0
C01	Existing Program	20,000	0	300,000	107.1	20,000	0	300,000	107.1