

SECRETARY OF STATE

Enabling Laws

Constitution of Arkansas, Article 6
Act 95 of 2012
Act 211 of 2012
Act 286 of 2012

History and Organization

The primary mission of the Office of the Secretary of State is to maintain official records of the State of Arkansas as authorized and required by State law. The Secretary of State's Office was established upon the adoption of the Constitution of the State of Arkansas on September 7, 1874. The Office is a branch of the Executive Department as set forth in Article Six of the Constitution. The duties of the Secretary of State include:

- Custody and maintenance of the State Capitol Building, the Capitol grounds, and all fixtures therein and thereon belonging to the State (Section 22-3-202 of the Arkansas Code of 1987 Annotated, Act 150 of 1917).
- Maintaining a centralized filing system for secured transactions (Revised Article 9 of the Uniform Commercial Code). Act 1439 of 2001 made the Secretary of State the sole filing office for security interests in collateral of transmitting utilities. Act 942 of 2009 centralized all filings, including agricultural liens and farm related security interests (previously exempted).
- Registration of trademarks in Arkansas (Act 1553 of 2001).
- Recording of various filings of candidates in connection with primary, general, and presidential elections as provided by Section 7-1-101 et seq. of the Arkansas Code of 1987 Annotated (Act 465 of 1969, as amended); certification of candidates for presidential, state, and district positions and constitutional amendments and issues to County Boards of Elections; issuance of commissions to all elected officials.
- Distribution of individual copies of the official acts of the General Assembly (Act 835 of 1973, as amended).
- Collection of Corporate Franchise Tax, including the maintenance of the yearly tax reports (Act 94 of 2003, 2nd Extraordinary Session).

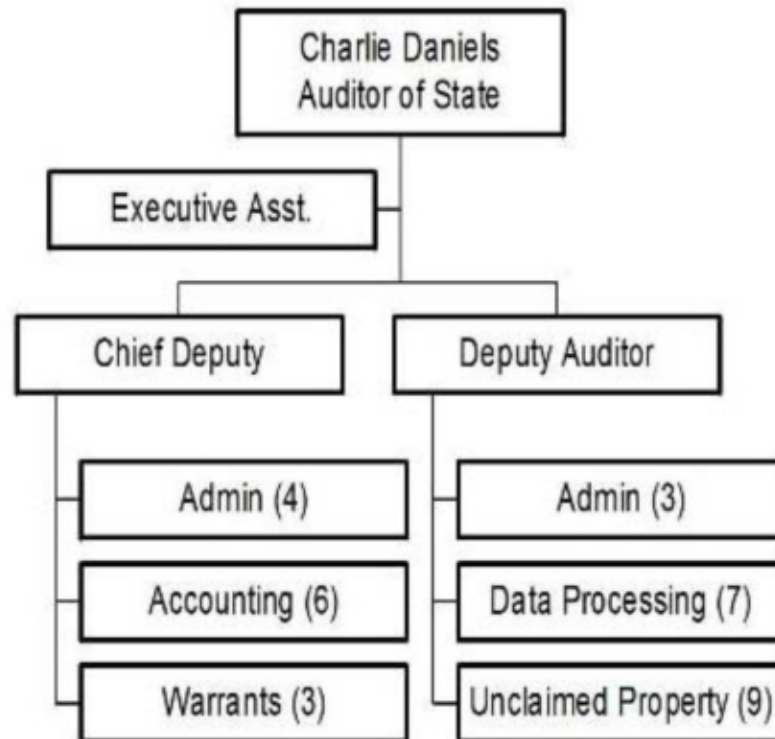
- Acceptance of annual non-profit corporation reports (Act 569 of 2007).
- Maintenance of the registry of International Student Exchange Visitor Placement Organizations which intend to place students in Arkansas schools (Act 966 of 2009).
- Acceptance of the filing of financial disclosure statements by committees or individuals who work to either promote or defeat any statewide initiative, referendum, or measure (Act 1114 of 1993).
- Registration of persons operating health spas (Act 264 of 1989).
- Registration of athlete agents and administration of remedies for violations committed by athlete agents (Act 1622 of 2001).
- Registration of and reporting of expenditures by lobbyists (Act 719 of 1989, as amended).
- Filing of federal tax liens and notices on corporations, trusts, and estates (Act 835 of 1989).
- Maintenance of a registry of all qualified community development corporations in the State (Act 989 of 1993).
- Establishment of a signature imaging system in the Secretary of State's Office (Act 1285 of 1993, as amended).
- Administration of the planning, development, implementation, training, and maintenance of a computerized voter registration system (Act 1480 of 2009, as amended).
- Administration of a grant program to help counties purchase, program, and maintain voting system equipment (Act 1189 of 2011).

The Secretary of State is designated the Chief Election Official of the State of Arkansas, and is responsible for the coordination of state responsibilities under the National Voter Registration Act of 1993, as required by federal law (Identical Acts 947 and 964 of 1995).

- Implement Help America Vote Act (Act 2233 of 2005).
- Commission Notaries Public Act (Act 2274 of 2005) (amendment to earlier law).

Principal functions of the Office of the Secretary of State are to:

- Maintain records of the official acts and proclamations of the Governor.
- Affix the Official Seal and attest commissions and other official acts made by the Governor.
- Record acts of the General Assembly and certify copies thereof; serve as custodian of official records of the General Assembly, including journals, bills, resolutions and proposed Constitutional amendments.
- Maintain initial filings, amendments, dissolution documents, and annual reports of all domestic and foreign for-profit and non-profit corporations, limited liability companies, limited partnerships, and other entities.
- Accept service of process on unregistered foreign entities and non-residents.
- Issue and record commissions of state, district, and county officials, members of boards and commissions, notaries public, and justices of the peace.



Agency Commentary

Appropriation 003

Maintenance & Operations (5020002)

Agency is requesting Base Level of \$3,002,396 for each year of the FY2014-2015 biennium.

Conference and Travel Expenses (5050009)

Agency is requesting Base Level of \$65,651 for each year of the FY2014-2015 biennium.

Professional Fees (5060010)

Agency is requesting an additional \$59,056 for FY2014 and Base Level of \$205,956 for FY2015. This request includes fees necessary for the development of the touchscreen visitor system in the State Capitol.

Ongoing professional fees include language translation costs, engineering and architectural fees, design fees for publications, design of historical displays, and special event and professional costs.

Capitol Grounds Improvement (5090005)

Agency is requesting Base Level of \$161,184 in FY2014 and an increase of \$240,080 in FY2015. In FY2014, the Agency would like to maintain current facilities at the Capitol. In FY2015, the Agency would like to complete the re-roofing of the Capitol, and to renovate the restrooms of the State Capitol.

Capital Outlay (5120011)

Agency is requesting \$194,425 for FY2014 and \$150,000 for FY2015.

For FY2014, Agency is requesting \$121,490 for purchasing IT equipment, security packages, and software licenses to improve offsite backup for the agency; \$62,935 for replacing obsolete visitor touchscreen technology throughout the Capitol; and \$10,000 to trade in one vehicle.

For FY2015, Agency is requesting \$100,000 for increased IT drive storage capacity as existing capacity for archived maps and historical documents is depleted; \$30,000 for the replacement of two mowers on their scheduled replacement time; and \$20,000 to trade in of two older vehicles for replacement models.

Special Maintenance (5120032)

Agency is requesting an increase in funding of \$212,000 for each year of the FY2014-2015 biennium. This increase is necessary to fund two major repair projects: 1) to initiate a \$500,000 reroofing project of the State Capitol in FY2014, and 2) to refurbish an elevator for \$500,000 in FY2015. Ongoing costs consist of unexpected event repairs and maintenance, elevator and HVAC maintenance contracts, small painting projects, plumbing repairs and maintenance, electrical repairs and maintenance, and ground sprinkler system maintenance.

Mandatory Publications (5900034)

Agency is requesting Base Level of \$214,038 for each year of the FY2014-2015 biennium. Due to annual legislative sessions, the Acts of Arkansas are being printed each year.

Petition Verification (5900035)

Agency is requesting an increase of \$150,000 for each year of the FY2014-2015 biennium. The number of petitions submitted has increased in recent years while the process of verification remains a manual process, leading to increasing costs.

Publish Legal Notices (5900036)

Agency is requesting Base Level of \$650,000 for each year of the FY2014-2015 biennium in order to continue to publish public notifications through media channels as required by regulation.

Records Management (5900037)

Agency is requesting Base Level of \$933,977 for each year of the FY2014-2015 biennium. Funds are needed to continue upgrading the Notary and Trademark areas of the Business/Commercial Services Division. These upgrades will allow these areas to keep abreast of changes within the banking community regarding check imaging, lock box enhancements, and electronic file maintenance. Standard costs consist of ongoing software development and maintenance within the Commercial Services Division, monthly banking fees associated with the lock box operation, and fees associated with the Agency filing program.

Statewide Voter Registration System (5900046)

Agency is requesting Base Level of \$1,438,029 for each year of the FY2014-2015 biennium. These funds are necessary to continue the voter registration statewide network, to distribute election results, and to maintain a secondary site for disaster recovery.

Flags (5900048)

Agency is requesting a \$50,000 reduction for each year of the FY2014-2015 biennium. This appropriation will aid us in purchasing and

distributing United States and State of Arkansas flags to members of the General Assembly, Constitutional Offices, and the Governor during the biennium according to a distribution schedule authorized by ACA 1-4-104.

Building Insurance (5900046)

Agency is requesting Base Level of \$223,278 for each year of the FY2014-2015 biennium. This fund aids in the cost of insuring furniture and fixtures within the State Capitol Building, as well as the building itself, and other structures situated on State Capitol grounds. Premiums fluctuate with economic changes.

Other Appropriations

Appropriation 1NK - Help America Vote Act (HAVA) - Federal

Agency is requesting Base Level of \$4,683,300 for each year of the FY2014-2015 biennium. This is a continuation of HAVA Title II procurements (Act 1466 of 2003).

Appropriation 2EK - Election Assistance for the Disabled - Federal

Agency is requesting Base Level of \$161,321 for each year of the FY2014-2015 biennium. This fund is in support of a federal grant to the Office of the Secretary of State for Election Assistance for Individuals with Disabilities (EAID). The purpose of this grant is to improve accessibility for individuals with disabilities at polling places. Improving accessibility also includes training poll workers on how best to promote access and informing the general public about accessibility of polling places. This grant is 100% federally funded and the Agency anticipates funding to continue at this percentage.

Appropriation 2MJ - Capitol Grounds Monument and Memorial Preservation

Agency is requesting Base Level of \$50,000 for each year of the FY2014-2015 biennium. This fund was created by Act 55 of 2003. This fund was established as a vehicle by which the office of Secretary of State can be assured of funding associated with the preservation and maintenance of memorials and monuments placed on the Capitol grounds.

Appropriation 378 - Fee and Tax Refund (Corporate Filing & Refunds)

Agency is requesting an increase of \$100,000 for each year of the FY2014-2015 biennium. The purpose of this appropriation is to provide a mechanism that allows the Agency to refund over-payments of various receipts processed by the Business/Commercial Services Division. This increase will aid the Business/Commercial Services Division in processing of refunds in additional customer market segments.

Appropriation 4JC - Firefighters' Memorial Monument

Agency is not requesting this appropriation for the FY2014-2015 biennium.

Appropriation 81W - Help America Vote Act (HAVA) Matching Funds

Agency is requesting Base Level of \$619,193 for each year of the FY2014-2015 biennium. The purpose of this appropriation is to satisfy state matching requirements of the HAVA federal program in order to continue receiving annual allotments. Adequate balances exist to fund this appropriation.

Appropriation 833 - Arkansas State Capitol Building & Grounds Restoration-Cash

Agency is requesting Base Level of \$25,000 for each year of the FY2014-2015 biennium. This fund aids in maintaining the structural integrity and beauty of the State Capitol buildings and grounds. This appropriation is dependent upon donations and, as such, the level of actual funding is unknown at this time. Expenditure of appropriation is contingent upon available funding.

Appropriation 85G - Reapportionment

Agency is not requesting this appropriation for the FY2014-2015 biennium.

Appropriation 96X - Treas Cash-Misc Oper

Agency is not requesting this appropriation for the FY2014-2015 biennium.

Appropriation B97 - Administrative and Maintenance Fund

Agency is requesting Base Level of \$375,000 for each year of the FY2014-2015 biennium. Adequate cash and investment balances currently exist to fund this request.

Appropriation F02 - CVS Grant

Agency is requesting Base Level of \$1,500,000 for each year of the FY2014-2015 biennium. This appropriation was created by Act 211 of 2012 to provide grants for updating or purchasing county voting systems.

Appropriation F39 - Federal Voting Assistance Program (FVAP)

Agency is requesting an appropriation of \$94,000 for each year of the FY2014-2015 biennium. This federal program funds research and development on providing ballot access to Arkansas voters who are stationed overseas. This program is 100% federally funded.

Audit Findings

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF :
SECRETARY OF STATE
FOR THE YEAR ENDED JUNE 30, 2011

Findings

The Business and Commercial Services Division did not properly accrue accounts receivable and related revenues. Our review of accounts receivable revealed a time lag of four months between the receipt of payment and posting to the individual account for five of seven payments received through the Agency's lockbox. Reports obtained from the Agency's system also indicated that data had not been consistently processed.

In order to minimize the loss of data and interruption of services to citizens, the Agency's data backup policy requires the storage of data at an off-site, secure location. As a result of our review, we noted the Agency was not properly backing up all of the operations of the Business and Commercial Services Division to an off-site, secure location on a frequent basis (e.g., daily, weekly).

To prevent unauthorized access to Agency files and programs, Agency management has established user accounts for each employee. To further protect the integrity of the Agency's database, changes to user account access (e.g., employee hires or terminations) should be updated and documented timely. As an audit procedure, we selected 10 employees hired or terminated during the fiscal year and noted the Agency did not maintain documentation of changes to user account access for 7 of the 10 employees selected.

Recommendations

Develop procedures to ensure that customer information is consistently and accurately processed in a timely manner.

Include all operations in its backup procedures and store all backups to a secure, off-site location on a frequent and routine basis.

Develop procedures to ensure changes to user accounts are completed timely and documentation is maintained reflecting the changes to the accounts.

State Contracts Over \$25,000 Awarded To Minority Owned Businesses Fiscal Year 2012

None

Employment Summary

| | Male | Female | Total | % |
|-------------------------|------|--------|-------|-------|
| White Employees | 59 | 46 | 105 | 74 % |
| Black Employees | 11 | 20 | 31 | 22 % |
| Other Racial Minorities | 3 | 3 | 6 | 4 % |
| Total Minorities | | | 37 | 26 % |
| Total Employees | | | 142 | 100 % |

Cash Fund Balance Description as of June 30, 2012

| Fund Account | Balance | Type | Location |
|--------------|-----------|------------------|----------------|
| 1350300 | \$214,706 | Investments TMMP | State Treasury |

Statutory/Other Restrictions on use:

A.C.A. 22-3-401 et seq. authorizes the Secretary of State to collect parking fees and fines.

Statutory Provisions for Fees, Fines, Penalties:

A.C.A. 22-3-401 et seq. authorizes the Secretary of State to collect parking fees and fines.

Revenue Receipts Cycle:

Fees are collected throughout the year. Interest on the balance is earned.

Fund Balance Utilization:

Funds are used for various operating and associated expenses for parking activity and security of the premises.

| Fund Account | Balance | Type | Location |
|--------------|-----------|----------|--------------|
| 1350300 | \$125,054 | Checking | Regions Bank |

Statutory/Other Restrictions on use:

A.C.A. 22-3-401 et seq. authorizes the Secretary of State to collect parking fees and fines.

Statutory Provisions for Fees, Fines, Penalties:

A.C.A. 22-3-401 et seq. authorizes the Secretary of State to collect parking fees and fines.

Revenue Receipts Cycle:

Fees are collected throughout the year. Interest on the balance is earned.

Fund Balance Utilization:

Funds are used for various operating and associated expenses for parking activity and security of the premises.

Publications

A.C.A. 25-1-204

| Name | Statutory Authorization | Required for | | # of Copies | Reason(s) for Continued Publication and Distribution |
|--|--------------------------------------|--------------|------------------|-------------|--|
| | | Governor | General Assembly | | |
| Acts of Arkansas | A.C.A. §25-18-206, A.C.A. §25-18-225 | N | N | 500 | Required by law. Provides needed references for State Officials. |
| Arkansas Register | A.C.A. §25-15-205 (Act 1478 of 2003) | N | N | 2,600 | Required by law. |
| Election Laws of Arkansas & State Constitution | A.C.A. §25-18-225 | N | N | 1,400 | Required by law. |
| Historical Report | ACA 25-18-223 | N | Y | 8,000 | Required by law every 10 years |
| Voices of Arkansas | Amendment 51 Section 8 | N | N | 2,500 | Required by law to report on the NVRA statistics. |

Department Appropriation Summary

Historical Data

Agency Request and Executive Recommendation

| Appropriation | 2011-2012 | | 2012-2013 | | 2012-2013 | | 2013-2014 | | | | | 2014-2015 | | | | | | |
|--------------------------------------|-------------------|------------|-------------------|------------|-------------------|------------|-------------------|------------|-------------------|------------|-----------|-----------|-------------------|------------|-------------------|------------|-----------|----------|
| | Actual | Pos | Budget | Pos | Authorized | Pos | Base Level | Pos | Agency | Pos | Executive | Pos | Base Level | Pos | Agency | Pos | Executive | Pos |
| 003 Secretary of State Operations | 14,804,328 | 149 | 18,617,295 | 162 | 18,198,346 | 162 | 18,356,451 | 162 | 18,921,932 | 162 | 0 | 0 | 18,356,451 | 162 | 19,058,531 | 162 | 0 | 0 |
| 1NK HAVA Title 2 | 520,808 | 0 | 4,683,300 | 0 | 5,490,000 | 0 | 4,683,300 | 0 | 4,683,300 | 0 | 0 | 0 | 4,683,300 | 0 | 4,683,300 | 0 | 0 | 0 |
| 2EK Election Assist for the Disabled | 17,481 | 0 | 161,321 | 0 | 196,398 | 0 | 161,321 | 0 | 161,321 | 0 | 0 | 0 | 161,321 | 0 | 161,321 | 0 | 0 | 0 |
| 2MJ Cap Grnds Monument Perserv | 578 | 0 | 50,000 | 0 | 50,000 | 0 | 50,000 | 0 | 50,000 | 0 | 0 | 0 | 50,000 | 0 | 50,000 | 0 | 0 | 0 |
| 378 Corporate Filing & Refund | 323,208 | 0 | 450,000 | 0 | 450,000 | 0 | 450,000 | 0 | 550,000 | 0 | 0 | 0 | 450,000 | 0 | 550,000 | 0 | 0 | 0 |
| 4JC Firefighters' Memorial Monument | 11,191 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 81W HAVA St Match-Cash | 27,411 | 0 | 619,193 | 0 | 700,000 | 0 | 619,193 | 0 | 619,193 | 0 | 0 | 0 | 619,193 | 0 | 619,193 | 0 | 0 | 0 |
| 833 Treasury Cash | 0 | 0 | 25,000 | 0 | 25,000 | 0 | 25,000 | 0 | 25,000 | 0 | 0 | 0 | 25,000 | 0 | 25,000 | 0 | 0 | 0 |
| 85G Reapportionment | 121,374 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 96X Treas Cash-Misc Oper | 73,390 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| B97 Parking/Cash | 57,839 | 0 | 375,000 | 0 | 375,000 | 0 | 375,000 | 0 | 375,000 | 0 | 0 | 0 | 375,000 | 0 | 375,000 | 0 | 0 | 0 |
| F02 CVS Grant | 0 | 0 | 1,500,000 | 0 | 1,500,000 | 0 | 1,500,000 | 0 | 1,500,000 | 0 | 0 | 0 | 1,500,000 | 0 | 1,500,000 | 0 | 0 | 0 |
| F39 FVAP | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 94,000 | 0 | 0 | 0 | 0 | 0 | 94,000 | 0 | 0 | 0 |
| Total | 15,957,608 | 149 | 26,481,109 | 162 | 26,984,744 | 162 | 26,220,265 | 162 | 26,979,746 | 162 | 0 | 0 | 26,220,265 | 162 | 27,116,345 | 162 | 0 | 0 |

| Funding Sources | | % | | % | | % | | % | | % | | % | | % | | % | | % |
|--------------------------------|---------|-------------------|--------------|-------------------|--------------|---|-------------------|--------------|-------------------|--------------|----------|------------|-------------------|--------------|-------------------|--------------|----------|------------|
| Fund Balance | 4000005 | 1,015,751 | 6.0 | 976,653 | 3.7 | | 16,929 | 0.1 | 16,929 | 0.1 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| Federal Revenue | 4000020 | 538,289 | 3.2 | 4,844,621 | 18.3 | | 4,844,621 | 18.5 | 4,938,621 | 18.3 | 0 | 0.0 | 4,844,621 | 18.5 | 4,938,621 | 18.2 | 0 | 0.0 |
| State Central Services | 4000035 | 14,925,702 | 88.1 | 18,617,295 | 70.3 | | 18,356,451 | 70.0 | 18,921,932 | 70.1 | 0 | 0.0 | 18,356,451 | 70.0 | 19,058,531 | 70.3 | 0 | 0.0 |
| Non-Revenue Receipts | 4000040 | 323,208 | 1.9 | 450,000 | 1.7 | | 450,000 | 1.7 | 550,000 | 2.0 | 0 | 0.0 | 450,000 | 1.7 | 550,000 | 2.0 | 0 | 0.0 |
| Cash Fund | 4000045 | 120,957 | 0.7 | 52,469 | 0.2 | | 1,002,264 | 3.8 | 1,002,264 | 3.7 | 0 | 0.0 | 1,019,193 | 3.9 | 1,019,193 | 3.8 | 0 | 0.0 |
| Trust Fund | 4000050 | 578 | 0.0 | 50,000 | 0.2 | | 50,000 | 0.2 | 50,000 | 0.2 | 0 | 0.0 | 50,000 | 0.2 | 50,000 | 0.2 | 0 | 0.0 |
| Interest | 4000300 | 9,776 | 0.1 | 7,000 | 0.0 | | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 | 0 | 0.0 |
| Other | 4000370 | 0 | 0.0 | 1,500,000 | 5.7 | | 1,500,000 | 5.7 | 1,500,000 | 5.6 | 0 | 0.0 | 1,500,000 | 5.7 | 1,500,000 | 5.5 | 0 | 0.0 |
| Total Funds | | 16,934,261 | 100.0 | 26,498,038 | 100.0 | | 26,220,265 | 100.0 | 26,979,746 | 100.0 | 0 | 0.0 | 26,220,265 | 100.0 | 27,116,345 | 100.0 | 0 | 0.0 |
| Excess Appropriation/(Funding) | | (976,653) | | (16,929) | | | 0 | | 0 | | 0 | | 0 | | 0 | | 0 | |
| Grand Total | | 15,957,608 | | 26,481,109 | | | 26,220,265 | | 26,979,746 | | 0 | | 26,220,265 | | 27,116,345 | | 0 | |

No Executive Recommendation is made on these appropriations.

Agency Position Usage Report

| FY2010 - 2011 | | | | | | FY2011 - 2012 | | | | | | FY2012 - 2013 | | | | | |
|-------------------|----------|----------|-------|------------|------------------------|-------------------|----------|----------|-------|------------|------------------------|-------------------|----------|----------|-------|------------|------------------------|
| Authorized in Act | Budgeted | | | Unbudgeted | % of Authorized Unused | Authorized in Act | Budgeted | | | Unbudgeted | % of Authorized Unused | Authorized in Act | Budgeted | | | Unbudgeted | % of Authorized Unused |
| | Filled | Unfilled | Total | Total | | | Filled | Unfilled | Total | Total | | | Filled | Unfilled | Total | Total | |
| 162 | 147 | 15 | 162 | 0 | 9.26 % | 163 | 149 | 14 | 163 | 0 | 8.59 % | 162 | 146 | 16 | 162 | 0 | 9.88 % |

One additional position authorized for FY12 for use with Reapportionment appropriation (FC 85G).

Appropriation Summary

Appropriation: 003 - Secretary of State Operations

Funding Sources: HSC - State Central Services

Historical Data

Agency Request and Executive Recommendation

| Commitment Item | | 2011-2012 | 2012-2013 | 2012-2013 | 2013-2014 | | | 2014-2015 | | |
|--------------------------------|---------|-------------------|-------------------|-------------------|-------------------|-------------------|-----------|-------------------|-------------------|-----------|
| | | Actual | Budget | Authorized | Base Level | Agency | Executive | Base Level | Agency | Executive |
| Regular Salaries | 5010000 | 6,791,860 | 7,720,611 | 7,680,111 | 7,680,111 | 7,680,111 | 0 | 7,680,111 | 7,680,111 | 0 |
| #Positions | | 149 | 162 | 162 | 162 | 162 | 0 | 162 | 162 | 0 |
| Extra Help | 5010001 | 87,186 | 144,909 | 144,909 | 144,909 | 144,909 | 0 | 144,909 | 144,909 | 0 |
| #Extra Help | | 2 | 26 | 45 | 45 | 45 | 0 | 45 | 45 | 0 |
| Personal Services Matching | 5010003 | 2,192,032 | 2,703,468 | 2,325,019 | 2,734,624 | 2,734,624 | 0 | 2,734,624 | 2,734,624 | 0 |
| Overtime | 5010006 | 1,231 | 33,000 | 33,000 | 33,000 | 33,000 | 0 | 33,000 | 33,000 | 0 |
| Operating Expenses | 5020002 | 2,291,499 | 3,002,396 | 3,002,396 | 3,002,396 | 3,002,396 | 0 | 3,002,396 | 3,002,396 | 0 |
| Conference & Travel Expenses | 5050009 | 31,124 | 65,651 | 65,651 | 65,651 | 65,651 | 0 | 65,651 | 65,651 | 0 |
| Professional Fees | 5060010 | 146,428 | 205,956 | 205,956 | 205,956 | 265,012 | 0 | 205,956 | 205,956 | 0 |
| Capitol Grounds Improvements | 5090005 | 68,717 | 161,184 | 161,184 | 161,184 | 161,184 | 0 | 161,184 | 401,264 | 0 |
| Data Processing | 5090012 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Capital Outlay | 5120011 | 232,002 | 251,500 | 251,500 | 0 | 194,425 | 0 | 0 | 150,000 | 0 |
| Special Maintenance | 5120032 | 388,209 | 488,048 | 488,048 | 488,048 | 700,048 | 0 | 488,048 | 700,048 | 0 |
| Mandatory Publications | 5900034 | 115,617 | 214,038 | 214,038 | 214,038 | 214,038 | 0 | 214,038 | 214,038 | 0 |
| Petition Verification | 5900035 | 54,265 | 200,000 | 200,000 | 200,000 | 350,000 | 0 | 200,000 | 350,000 | 0 |
| Publish Legal Notices | 5900036 | 449,679 | 650,000 | 650,000 | 650,000 | 650,000 | 0 | 650,000 | 650,000 | 0 |
| Records Management | 5900037 | 804,253 | 933,977 | 933,977 | 933,977 | 933,977 | 0 | 933,977 | 933,977 | 0 |
| Statewide Voter Reg System | 5900046 | 935,413 | 1,438,029 | 1,438,029 | 1,438,029 | 1,438,029 | 0 | 1,438,029 | 1,438,029 | 0 |
| Building Insurance | 5900047 | 162,599 | 223,278 | 223,278 | 223,278 | 223,278 | 0 | 223,278 | 223,278 | 0 |
| Flags | 5900048 | 52,214 | 181,250 | 181,250 | 181,250 | 131,250 | 0 | 181,250 | 131,250 | 0 |
| Total | | 14,804,328 | 18,617,295 | 18,198,346 | 18,356,451 | 18,921,932 | 0 | 18,356,451 | 19,058,531 | 0 |
| Funding Sources | | | | | | | | | | |
| State Central Services | 4000035 | 14,804,328 | 18,617,295 | | 18,356,451 | 18,921,932 | 0 | 18,356,451 | 19,058,531 | 0 |
| Total Funding | | 14,804,328 | 18,617,295 | | 18,356,451 | 18,921,932 | 0 | 18,356,451 | 19,058,531 | 0 |
| Excess Appropriation/(Funding) | | 0 | 0 | | 0 | 0 | 0 | 0 | 0 | 0 |
| Grand Total | | 14,804,328 | 18,617,295 | | 18,356,451 | 18,921,932 | 0 | 18,356,451 | 19,058,531 | 0 |

No Executive Recommendation made on this appropriation.

Change Level by Appropriation

Appropriation: 003 - Secretary of State Operations
Funding Sources: HSC - State Central Services

Agency Request

| Change Level | | 2013-2014 | Pos | Cumulative | % of BL | 2014-2015 | Pos | Cumulative | % of BL |
|--------------|---------------------|-------------------|------------|-------------------|--------------|-------------------|------------|-------------------|--------------|
| BL | Base Level | 18,356,451 | 162 | 18,356,451 | 100.0 | 18,356,451 | 162 | 18,356,451 | 100.0 |
| C01 | Existing Program | 746,731 | 0 | 19,103,182 | 104.1 | 883,330 | 0 | 19,239,781 | 104.8 |
| C03 | Discontinue Program | (181,250) | 0 | 18,921,932 | 103.1 | (181,250) | 0 | 19,058,531 | 103.8 |

Appropriation Summary

Appropriation: 1NK - HAVA Title 2

Funding Sources: FSS - HAVA Title II - Federal

Historical Data

Agency Request and Executive Recommendation

| Commitment Item | | Historical Data | | | 2013-2014 | | | 2014-2015 | | |
|--------------------------------|---------|---------------------|---------------------|-------------------------|------------|-----------|-----------|------------|-----------|-----------|
| | | 2011-2012 Actual | 2012-2013 Budget | 2012-2013 Authorized | Base Level | Agency | Executive | Base Level | Agency | Executive |
| Grants and Aid | 5100004 | 520,808 | 4,683,300 | 5,490,000 | 4,683,300 | 4,683,300 | 0 | 4,683,300 | 4,683,300 | 0 |
| Total | | 520,808 | 4,683,300 | 5,490,000 | 4,683,300 | 4,683,300 | 0 | 4,683,300 | 4,683,300 | 0 |
| Funding Sources | | | | | | | | | | |
| Federal Revenue | 4000020 | 520,808 | 4,683,300 | | 4,683,300 | 4,683,300 | 0 | 4,683,300 | 4,683,300 | 0 |
| Total Funding | | 520,808 | 4,683,300 | | 4,683,300 | 4,683,300 | 0 | 4,683,300 | 4,683,300 | 0 |
| Excess Appropriation/(Funding) | | 0 | 0 | | 0 | 0 | 0 | 0 | 0 | 0 |
| Grand Total | | 520,808 | 4,683,300 | | 4,683,300 | 4,683,300 | 0 | 4,683,300 | 4,683,300 | 0 |

No Executive Recommendation made on this appropriation.

Appropriation Summary

Appropriation: 2EK - Election Assist for the Disabled

Funding Sources: FSS - Election Assistance for Disabled - Federal

Historical Data

Agency Request and Executive Recommendation

| Commitment Item | 2011-2012 Actual | 2012-2013 Budget | 2012-2013 Authorized | 2013-2014 | | | 2014-2015 | | |
|--------------------------------|---------------------|---------------------|-------------------------|------------|---------|-----------|------------|---------|-----------|
| | | | | Base Level | Agency | Executive | Base Level | Agency | Executive |
| Grants and Aid 5100004 | 17,481 | 161,321 | 196,398 | 161,321 | 161,321 | 0 | 161,321 | 161,321 | 0 |
| Total | 17,481 | 161,321 | 196,398 | 161,321 | 161,321 | 0 | 161,321 | 161,321 | 0 |
| Funding Sources | | | | | | | | | |
| Federal Revenue 4000020 | 17,481 | 161,321 | | 161,321 | 161,321 | 0 | 161,321 | 161,321 | 0 |
| Total Funding | 17,481 | 161,321 | | 161,321 | 161,321 | 0 | 161,321 | 161,321 | 0 |
| Excess Appropriation/(Funding) | 0 | 0 | | 0 | 0 | 0 | 0 | 0 | 0 |
| Grand Total | 17,481 | 161,321 | | 161,321 | 161,321 | 0 | 161,321 | 161,321 | 0 |

No Executive Recommendation made on this appropriation.

Appropriation Summary

Appropriation: 2MJ - Cap Grnds Monument Perserv

Funding Sources: TCG - Capitol Grounds Monument/Memorial Fund - Trust

Historical Data

Agency Request and Executive Recommendation

| Commitment Item | Historical Data | | | 2013-2014 | | | 2014-2015 | | |
|--------------------------------|---------------------|---------------------|-------------------------|------------|--------|-----------|------------|--------|-----------|
| | 2011-2012 Actual | 2012-2013 Budget | 2012-2013 Authorized | Base Level | Agency | Executive | Base Level | Agency | Executive |
| Operating Expenses 5020002 | 578 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Construction 5090005 | 0 | 50,000 | 50,000 | 50,000 | 50,000 | 0 | 50,000 | 50,000 | 0 |
| Total | 578 | 50,000 | 50,000 | 50,000 | 50,000 | 0 | 50,000 | 50,000 | 0 |
| Funding Sources | | | | | | | | | |
| Trust Fund 4000050 | 578 | 50,000 | | 50,000 | 50,000 | 0 | 50,000 | 50,000 | 0 |
| Total Funding | 578 | 50,000 | | 50,000 | 50,000 | 0 | 50,000 | 50,000 | 0 |
| Excess Appropriation/(Funding) | 0 | 0 | | 0 | 0 | 0 | 0 | 0 | 0 |
| Grand Total | 578 | 50,000 | | 50,000 | 50,000 | 0 | 50,000 | 50,000 | 0 |

No Executive Recommendation made on this appropriation.

Appropriation Summary

Appropriation: 378 - Corporate Filing & Refund

Funding Sources: MTA - Miscellaneous Revolving Fund

Historical Data

Agency Request and Executive Recommendation

| Commitment Item | Historical Data | | | 2013-2014 | | | 2014-2015 | | |
|--------------------------------|---------------------|---------------------|-------------------------|----------------|----------------|-----------|----------------|----------------|-----------|
| | 2011-2012 Actual | 2012-2013 Budget | 2012-2013 Authorized | Base Level | Agency | Executive | Base Level | Agency | Executive |
| Refunds/Reimbursements 5110014 | 323,208 | 450,000 | 450,000 | 450,000 | 550,000 | 0 | 450,000 | 550,000 | 0 |
| Total | 323,208 | 450,000 | 450,000 | 450,000 | 550,000 | 0 | 450,000 | 550,000 | 0 |
| Funding Sources | | | | | | | | | |
| Non-Revenue Receipts 4000040 | 323,208 | 450,000 | | 450,000 | 550,000 | 0 | 450,000 | 550,000 | 0 |
| Total Funding | 323,208 | 450,000 | | 450,000 | 550,000 | 0 | 450,000 | 550,000 | 0 |
| Excess Appropriation/(Funding) | 0 | 0 | | 0 | 0 | 0 | 0 | 0 | 0 |
| Grand Total | 323,208 | 450,000 | | 450,000 | 550,000 | 0 | 450,000 | 550,000 | 0 |

No Executive Recommendation made on this appropriation.

Change Level by Appropriation

Appropriation: 378 - Corporate Filing & Refund
Funding Sources: MTA - Miscellaneous Revolving Fund

Agency Request

| Change Level | | 2013-2014 | Pos | Cumulative | % of BL | 2014-2015 | Pos | Cumulative | % of BL |
|--------------|-------------------|----------------|----------|----------------|--------------|----------------|----------|----------------|--------------|
| BL | Base Level | 450,000 | 0 | 450,000 | 100.0 | 450,000 | 0 | 450,000 | 100.0 |
| C01 | Existing Program | 100,000 | 0 | 550,000 | 122.2 | 100,000 | 0 | 550,000 | 122.2 |

Appropriation Summary

Appropriation: 4JC - Firefighters' Memorial Monument

Funding Sources:

Historical Data

Agency Request and Executive Recommendation

| Commitment Item | 2011-2012 Actual | 2012-2013 Budget | 2012-2013 Authorized | 2013-2014 | | | 2014-2015 | | |
|--------------------------------|---------------------|---------------------|-------------------------|------------|--------|-----------|------------|--------|-----------|
| | | | | Base Level | Agency | Executive | Base Level | Agency | Executive |
| Construction 5090005 | 11,191 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total | 11,191 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Funding Sources | | | | | | | | | |
| Fund Balance 4000005 | 11,191 | 0 | | 0 | 0 | 0 | 0 | 0 | 0 |
| Total Funding | 11,191 | 0 | | 0 | 0 | 0 | 0 | 0 | 0 |
| Excess Appropriation/(Funding) | 0 | 0 | | 0 | 0 | 0 | 0 | 0 | 0 |
| Grand Total | 11,191 | 0 | | 0 | 0 | 0 | 0 | 0 | 0 |

APPROPRIATION NOT REQUESTED FOR FY2014-2015 BIENNIUM.

Appropriation Summary

Appropriation: 81W - HAVA St Match-Cash

Funding Sources: NSS - Cash in Treasury

Historical Data

Agency Request and Executive Recommendation

| Commitment Item | | Historical Data | | | 2013-2014 | | | 2014-2015 | | |
|--------------------------------|---------|---------------------|---------------------|-------------------------|------------|---------|-----------|------------|---------|-----------|
| | | 2011-2012 Actual | 2012-2013 Budget | 2012-2013 Authorized | Base Level | Agency | Executive | Base Level | Agency | Executive |
| HAVA State Match | 5900046 | 27,411 | 619,193 | 700,000 | 619,193 | 619,193 | 0 | 619,193 | 619,193 | 0 |
| Total | | 27,411 | 619,193 | 700,000 | 619,193 | 619,193 | 0 | 619,193 | 619,193 | 0 |
| Funding Sources | | | | | | | | | | |
| Fund Balance | 4000005 | 632,427 | 614,255 | | 2,062 | 2,062 | 0 | 0 | 0 | 0 |
| Cash Fund | 4000045 | 0 | 0 | | 617,131 | 617,131 | 0 | 619,193 | 619,193 | 0 |
| Interest | 4000300 | 9,239 | 7,000 | | 0 | 0 | 0 | 0 | 0 | 0 |
| Total Funding | | 641,666 | 621,255 | | 619,193 | 619,193 | 0 | 619,193 | 619,193 | 0 |
| Excess Appropriation/(Funding) | | (614,255) | (2,062) | | 0 | 0 | 0 | 0 | 0 | 0 |
| Grand Total | | 27,411 | 619,193 | | 619,193 | 619,193 | 0 | 619,193 | 619,193 | 0 |

No Executive Recommendation made on this appropriation.

Appropriation Summary

Appropriation: 833 - Treasury Cash

Funding Sources: NSS - Cash in Treasury

Historical Data

Agency Request and Executive Recommendation

| Commitment Item | Historical Data | | | 2013-2014 | | | 2014-2015 | | |
|--------------------------------------|---------------------|---------------------|-------------------------|---------------|---------------|-----------|---------------|---------------|-----------|
| | 2011-2012 Actual | 2012-2013 Budget | 2012-2013 Authorized | Base Level | Agency | Executive | Base Level | Agency | Executive |
| Operating Expenses 5020002 | 0 | 25,000 | 25,000 | 25,000 | 25,000 | 0 | 25,000 | 25,000 | 0 |
| Conference & Travel Expenses 5050009 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Professional Fees 5060010 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Data Processing 5090012 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Capital Outlay 5120011 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total | 0 | 25,000 | 25,000 | 25,000 | 25,000 | 0 | 25,000 | 25,000 | 0 |
| Funding Sources | | | | | | | | | |
| Fund Balance 4000005 | 21,994 | 22,531 | | 0 | 0 | 0 | 0 | 0 | 0 |
| Cash Fund 4000045 | 0 | 2,469 | | 25,000 | 25,000 | 0 | 25,000 | 25,000 | 0 |
| Interest 4000300 | 537 | 0 | | 0 | 0 | 0 | 0 | 0 | 0 |
| Total Funding | 22,531 | 25,000 | | 25,000 | 25,000 | 0 | 25,000 | 25,000 | 0 |
| Excess Appropriation/(Funding) | (22,531) | 0 | | 0 | 0 | 0 | 0 | 0 | 0 |
| Grand Total | 0 | 25,000 | | 25,000 | 25,000 | 0 | 25,000 | 25,000 | 0 |

No Executive Recommendation made on this appropriation.

Appropriation Summary

Appropriation: 85G - Reapportionment

Funding Sources:

Historical Data

Agency Request and Executive Recommendation

| Commitment Item | Historical Data | | | 2013-2014 | | | 2014-2015 | | |
|------------------------------------|---------------------|---------------------|-------------------------|------------|----------|-----------|------------|----------|-----------|
| | 2011-2012 Actual | 2012-2013 Budget | 2012-2013 Authorized | Base Level | Agency | Executive | Base Level | Agency | Executive |
| Regular Salaries 5010000 | 14,383 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| #Positions | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Personal Services Matching 5010003 | 7,395 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Operating Expenses 5020002 | 99,596 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total | 121,374 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Funding Sources | | | | | | | | | |
| State Central Services 4000035 | 121,374 | 0 | | 0 | 0 | 0 | 0 | 0 | 0 |
| Total Funding | 121,374 | 0 | | 0 | 0 | 0 | 0 | 0 | 0 |
| Excess Appropriation/(Funding) | 0 | 0 | | 0 | 0 | 0 | 0 | 0 | 0 |
| Grand Total | 121,374 | 0 | | 0 | 0 | 0 | 0 | 0 | 0 |

APPROPRIATION NOT REQUESTED FOR 2014-2015 BIENNIUM.

Appropriation Summary

Appropriation: 96X - Treas Cash-Misc Oper

Funding Sources:

Historical Data

Agency Request and Executive Recommendation

| Commitment Item | 2011-2012 Actual | 2012-2013 Budget | 2012-2013 Authorized | 2013-2014 | | | 2014-2015 | | |
|--------------------------------|---------------------|---------------------|-------------------------|------------|--------|-----------|------------|--------|-----------|
| | | | | Base Level | Agency | Executive | Base Level | Agency | Executive |
| Operating Expenses 5020002 | 73,390 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total | 73,390 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Funding Sources | | | | | | | | | |
| Cash Fund 4000045 | 73,390 | 0 | | 0 | 0 | 0 | 0 | 0 | 0 |
| Total Funding | 73,390 | 0 | | 0 | 0 | 0 | 0 | 0 | 0 |
| Excess Appropriation/(Funding) | 0 | 0 | | 0 | 0 | 0 | 0 | 0 | 0 |
| Grand Total | 73,390 | 0 | | 0 | 0 | 0 | 0 | 0 | 0 |

APPROPRIATION NOT REQUESTED FOR FY2014-2015 BIENNIUM.

Appropriation Summary

Appropriation: B97 - Parking/Cash

Funding Sources: 135 - Parking Lot - Cash

Historical Data

Agency Request and Executive Recommendation

| Commitment Item | Historical Data | | | 2013-2014 | | | 2014-2015 | | |
|--|---------------------|---------------------|-------------------------|----------------|----------------|-----------|----------------|----------------|-----------|
| | 2011-2012 Actual | 2012-2013 Budget | 2012-2013 Authorized | Base Level | Agency | Executive | Base Level | Agency | Executive |
| Capital Outlay 5120011 | 36,644 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Various Parking & Security Exper 5900046 | 21,195 | 375,000 | 375,000 | 375,000 | 375,000 | 0 | 375,000 | 375,000 | 0 |
| Total | 57,839 | 375,000 | 375,000 | 375,000 | 375,000 | 0 | 375,000 | 375,000 | 0 |
| Funding Sources | | | | | | | | | |
| Fund Balance 4000005 | 350,139 | 339,867 | | 14,867 | 14,867 | 0 | 0 | 0 | 0 |
| Cash Fund 4000045 | 47,567 | 50,000 | | 360,133 | 360,133 | 0 | 375,000 | 375,000 | 0 |
| Total Funding | 397,706 | 389,867 | | 375,000 | 375,000 | 0 | 375,000 | 375,000 | 0 |
| Excess Appropriation/(Funding) | (339,867) | (14,867) | | 0 | 0 | 0 | 0 | 0 | 0 |
| Grand Total | 57,839 | 375,000 | | 375,000 | 375,000 | 0 | 375,000 | 375,000 | 0 |

No Executive Recommendation made on this appropriation.

Appropriation Summary

Appropriation: F02 - CVS Grant

Funding Sources: MCV - County Voting System Grant Fund

Historical Data

Agency Request and Executive Recommendation

| Commitment Item | Historical Data | | | 2013-2014 | | | 2014-2015 | | |
|-------------------------------------|---------------------|---------------------|-------------------------|------------|-----------|-----------|------------|-----------|-----------|
| | 2011-2012 Actual | 2012-2013 Budget | 2012-2013 Authorized | Base Level | Agency | Executive | Base Level | Agency | Executive |
| County Voting System Grants 5900046 | 0 | 1,500,000 | 1,500,000 | 1,500,000 | 1,500,000 | 0 | 1,500,000 | 1,500,000 | 0 |
| Total | 0 | 1,500,000 | 1,500,000 | 1,500,000 | 1,500,000 | 0 | 1,500,000 | 1,500,000 | 0 |
| Funding Sources | | | | | | | | | |
| Other 4000370 | 0 | 1,500,000 | | 1,500,000 | 1,500,000 | 0 | 1,500,000 | 1,500,000 | 0 |
| Total Funding | 0 | 1,500,000 | | 1,500,000 | 1,500,000 | 0 | 1,500,000 | 1,500,000 | 0 |
| Excess Appropriation/(Funding) | 0 | 0 | | 0 | 0 | 0 | 0 | 0 | 0 |
| Grand Total | 0 | 1,500,000 | | 1,500,000 | 1,500,000 | 0 | 1,500,000 | 1,500,000 | 0 |

New appropriation created by Act 211 of 2012, Funding comes from the County Voting System Grant Fund (A.C.A. § 19-5-1247).

No Executive Recommendation made on this appropriation.

Appropriation Summary

Appropriation: F39 - FVAP

Funding Sources:

Historical Data

Agency Request and Executive Recommendation

| Commitment Item | Historical Data | | | 2013-2014 | | | 2014-2015 | | |
|---------------------------------|---------------------|---------------------|-------------------------|------------|--------|-----------|------------|--------|-----------|
| | 2011-2012 Actual | 2012-2013 Budget | 2012-2013 Authorized | Base Level | Agency | Executive | Base Level | Agency | Executive |
| Reasearch & Development 5900031 | 0 | 0 | 0 | 0 | 94,000 | 0 | 0 | 94,000 | 0 |
| Total | 0 | 0 | 0 | 0 | 94,000 | 0 | 0 | 94,000 | 0 |
| Funding Sources | | | | | | | | | |
| Federal Revenue 4000020 | 0 | 0 | | 0 | 94,000 | 0 | 0 | 94,000 | 0 |
| Total Funding | 0 | 0 | | 0 | 94,000 | 0 | 0 | 94,000 | 0 |
| Excess Appropriation/(Funding) | 0 | 0 | | 0 | 0 | 0 | 0 | 0 | 0 |
| Grand Total | 0 | 0 | | 0 | 94,000 | 0 | 0 | 94,000 | 0 |

Appropriation was established in FY2013 through a Miscellaneous Federal Grant.
No Executive Recommendation made on this appropriation.

Change Level by Appropriation

Appropriation: F39 - FVAP
Funding Sources:

Agency Request

| Change Level | | 2013-2014 | Pos | Cumulative | % of BL | 2014-2015 | Pos | Cumulative | % of BL |
|--------------|-------------|-----------|-----|------------|---------|-----------|-----|------------|---------|
| C02 | New Program | 94,000 | 0 | 94,000 | 100.0 | 94,000 | 0 | 94,000 | 100.0 |