## SPEECH-LANGUAGE PATHOLOGY & AUDIOLOGY

## **Enabling Laws**

Act 132 of 2016 A.C.A. §17-100-101 et seq.

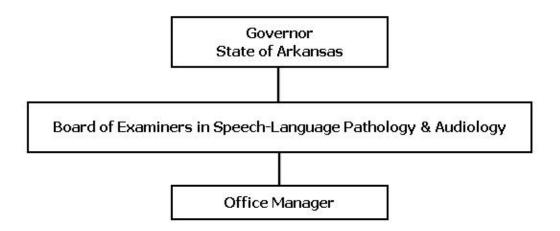
# **History and Organization**

The Arkansas Board of Examiners in Speech-Language Pathology and Audiology (ABESPA) was formed in 1975 through enabling legislation, Act 277. Act 121 revised parts of the original legislation in 1993. In 1995 Act 826 was passed allowing use of speech-language pathology assistants. In 2013, Act 219 made amendments to various sections of the law involving Speech-Language Pathology and Audiology: §17-100-101 et seq; sections §17-100-201 et seq; and sections §17-100-301 et seq; Rules and Regulations were last revised effective September 26, 2015.

The Board is composed of eight (8) members. These include five (5) professionals in Speech Pathology and Audiology (at least 2 from each field), a consumer representative, a public representative, and a senior citizen. The members are appointed by the Governor for three (3) year terms. A member may serve two (2) full consecutive terms.

The mission of this Board is to help assure the availability of the highest possible quality speech-language pathology and audiology services to the communicatively handicapped people of this State by strict licensing requirements and mandatory continuing professional education and to protect the public from being misled by incompetent, unscrupulous, and unauthorized persons and from unprofessional conduct on the part of qualified speech-language pathologists and audiologists.

ABESPA examines the credentials and qualifications of Speech Pathologists, Speech Pathology Assistants, and Audiologists who wish to provide services to the citizens of Arkansas. A license or registration is issued to those individuals who meet the qualifications. After licensure, each professional is required to meet mandatory continuing education requirements, which are monitored by the Board. ABESPA also acts on behalf of consumers by investigating complaints, conducting hearings, and taking disciplinary action, when warranted, to ensure that quality Speech-Language Pathology and Audiology services are delivered.



# **Agency Commentary**

The goal of the Arkansas Board of Examiners Speech-Language Pathology and Audiology (ABESPA) is to create a safeguard for the public health and welfare of the citizens of Arkansas by granting and maintaining licenses for Speech-Language Pathologists, Speech-Language Pathology Assistants and Audiologists. The operation of the Board, which includes one full-time employee and one part time extra help position, are funded from the receipts of application, license renewal and other miscellaneous fees.

The Arkansas Board of Examiners Speech-Language Pathology and Audiology is requesting an increase in the Base Level for the 2017-2019 Biennium in Operating Expenses for a new desk and credenza, and appropriation for a new database and updated website. The current office furniture is over 10 years old and needs to be replaced. The current database is an old Apple product that is phasing out and does not have local support to help maintain it. The website was created over 10 years ago and is not user friendly. The updated website would allow ABESPA some control over making minor changes.

## **Audit Findings**

#### DIVISION OF LEGISLATIVE AUDIT

#### AUDIT OF:

#### ARKANSAS BOARD OF EXAMINERS IN SPEECH-LANGUAGE PATHOLOGY AND AUDIOLOGY

### FOR THE YEAR ENDED JUNE 30, 2013

| Findings | Recommendations |
|----------|-----------------|
| None     | None            |

# **State Contracts Over \$50,000 Awarded To Minority Owned Businesses Fiscal Year 2016**

None

# **Employment Summary**

|                         | Male | Female | Total | %     |
|-------------------------|------|--------|-------|-------|
| White Employees         | 0    | 0      | 0     | 0 %   |
| Black Employees         | 0    | 0      | 0     | 0 %   |
| Other Racial Minorities | 0    | 1      | 1     | 100 % |
| Total Minorities        |      |        | 1     | 100 % |
| Total Employees         |      |        | 1     | 100 % |

# **Publications**

## A.C.A. 25-1-201 et seq.

|                               | Statutory                                 | Required for |                                     | # of | Reason(s) for Continued   | Unbound Black &<br>White Copies       | Cost of Unbound<br>Copies Produced |
|-------------------------------|---|--------------|-------------------------------------|------|---|---------------------------------------|------------------------------------|
| Name                          | Authorization                             | Governor     | General Conies Publication and Dist |      | Publication and Distribution                                      | Produced During<br>the Last Two Years | During the Last                    |
| Consumer Information Brochure | ABESPA Rules & Regulations<br>Section 1.8 | N            | N                                   | 300  | Provided to all licensees for use in offices and clinics.         | 0                                     | 0.00                               |
| Licensure Directory           | ABESPA Rules & Regulations<br>Section 1.8 | N            | N                                   |      | Required by rules and regulations for use by licensees and others | 0                                     | 0.00                               |

# **Agency Position Usage Report**

| FY2014 - 2015 |        |          |       |            | FY2015 - 2016        |            |        |          |            |       | FY2016 - 2017        |           |        |          |          |       |                      |      |
|---------------|--------|----------|-------|------------|----------------------|------------|--------|----------|------------|-------|----------------------|-----------|--------|----------|----------|-------|----------------------|------|
| Authorized    |        | Budgete  | t     | Unbudgeted | % of                 | Authorized | _      |          | Budgeted l |       | Unbudgeted           |           |        |          | Budgeted |       | Unbudgeted           | % of |
| in<br>Act     | Filled | Unfilled | Total | Total      | Authorized<br>Unused | in<br>Act  | Filled | Unfilled | Total      | Total | Authorized<br>Unused | in<br>Act | Filled | Unfilled | Total    | Total | Authorized<br>Unused |      |
| 1             | 1      | 0        | 1     | 0          | 0.00 %               | 1          | 1      | 0        | 1          | 0     | 0.00 %               | 1         | 1      | 0        | 1        | 0     | 0.00 %               |      |

# **Analysis of Budget Request**

**Appropriation:** 97J - Treasury Cash

**Funding Sources:** NSA - Speech-Language Pathology and Audiology - Cash in Treasury

The Arkansas Board of Examiners in Speech-Language Pathology and Audiology was created by A.C.A. §17-100-201, to safeguard the public health, safety, and welfare by providing regulatory authority over persons offering Speech-Language Pathology and Audiology services to the citizens of Arkansas. The Board is a cash agency funded from application, examination and license renewal fees charged pursuant to A.C.A. § 17-100-206. In April 2012, the Board moved all cash funds from various banks to the State Treasury.

Base Level Regular Salaries and Personal Services Matching include the continuation of the previously authorized 2017 Salaries and Career Service Payments for eligible employees. Personal Services Matching includes the monthly contribution for State employee's health insurance for a total State match per budgeted employee of \$420 per month. Base Level salaries and matching do not include appropriation for a Cost of Living Adjustment or Merit Pay Increases.

The Base Level request is for appropriation of \$127,080 each year of the 2017-2019 Biennium.

The Agency's Change Level request is for appropriation of \$58,000 each year and includes the following:

- Operating Expenses increases of \$3,000 each year for new office furniture.
- A new appropriation with \$55,000 in appropriation each year for a new licensing database and updated website.

The Technology related requests are documented in the Agency's Information Technology (IT) Plan (page 11).

The Executive Recommendation provides for the Agency Request. Expenditure of appropriation is contingent upon available funding.

# **Appropriation Summary**

**Appropriation:** 97J - Treasury Cash

Funding Sources: NSA - Speech-Language Pathology and Audiology - Cash in Treasury

#### **Historical Data**

### **Agency Request and Executive Recommendation**

|                                |         | 2015-2016   | 2016-2017   | 2016-2017  | 2017-2018   |             |             |                   | 2018-2019   |             |  |  |
|--------------------------------|---------|-------------|-------------|------------|-------------|-------------|-------------|-------------------|-------------|-------------|--|--|
| Commitment Item                | n       | Actual      | Budget      | Authorized | Base Level  | Agency      | Executive   | <b>Base Level</b> | Agency      | Executive   |  |  |
| Regular Salaries               | 5010000 | 85,235      | 42,385      | 53,096     | 42,385      | 42,385      | 42,385      | 42,385            | 42,385      | 42,385      |  |  |
| #Positions                     |         | 1           | 1           | 1          | 1           | 1           | 1           | 1                 | 1           | 1           |  |  |
| Extra Help                     | 5010001 | 1,050       | 6,000       | 6,000      | 6,000       | 6,000       | 6,000       | 6,000             | 6,000       | 6,000       |  |  |
| #Extra Help                    |         | 1           | 1           | 1          | 1           | 1           | 1           | 1                 | 1           | 1           |  |  |
| Personal Services Matching     | 5010003 | 20,972      | 14,887      | 17,434     | 15,129      | 15,129      | 15,129      | 15,129            | 15,129      | 15,129      |  |  |
| Operating Expenses             | 5020002 | 41,965      | 47,516      | 47,516     | 47,516      | 50,516      | 50,516      | 47,516            | 50,516      | 50,516      |  |  |
| Conference & Travel Expenses   | 5050009 | 0           | 3,250       | 3,250      | 3,250       | 3,250       | 3,250       | 3,250             | 3,250       | 3,250       |  |  |
| Professional Fees              | 5060010 | 175         | 12,800      | 12,800     | 12,800      | 12,800      | 12,800      | 12,800            | 12,800      | 12,800      |  |  |
| Data Processing                | 5090012 | 0           | 0           | 0          | 0           | 0           | 0           | 0                 | 0           | 0           |  |  |
| Capital Outlay                 | 5120011 | 0           | 0           | 0          | 0           | 0           | 0           | 0                 | 0           | 0           |  |  |
| Licensing Database             | 5900046 | 0           | 0           | 0          | 0           | 55,000      | 55,000      | 0                 | 55,000      | 55,000      |  |  |
| Total                          |         | 149,397     | 126,838     | 140,096    | 127,080     | 185,080     | 185,080     | 127,080           | 185,080     | 185,080     |  |  |
| Funding Sources                |         |             |             |            |             |             |             |                   |             |             |  |  |
| Fund Balance                   | 4000005 | 1,208,523   | 1,362,601   |            | 1,510,763   | 1,510,763   | 1,510,763   | 1,658,683         | 1,600,683   | 1,600,683   |  |  |
| Special Revenue                | 4000030 | 303,475     | 275,000     |            | 275,000     | 275,000     | 275,000     | 275,000           | 275,000     | 275,000     |  |  |
| Total Funding                  |         | 1,511,998   | 1,637,601   |            | 1,785,763   | 1,785,763   | 1,785,763   | 1,933,683         | 1,875,683   | 1,875,683   |  |  |
| Excess Appropriation/(Funding) |         | (1,362,601) | (1,510,763) |            | (1,658,683) | (1,600,683) | (1,600,683) | (1,806,603)       | (1,690,603) | (1,690,603) |  |  |
| Grand Total                    |         | 149,397     | 126,838     |            | 127,080     | 185,080     | 185,080     | 127,080           | 185,080     | 185,080     |  |  |

FY16 Actual amounts in Regular Salaries and Personal Services Matching exceed the FY17 Budget amount due to a leave payout for a retiring employee.

# **Change Level by Appropriation**

**Appropriation:** 97J - Treasury Cash

**Funding Sources:** NSA - Speech-Language Pathology and Audiology - Cash in Treasury

### **Agency Request**

|     | Change Level     | 2017-2018 | Pos | Cumulative | % of BL | 2018-2019 | Pos | Cumulative | % of BL |
|-----|------------------|-----------|-----|------------|---------|-----------|-----|------------|---------|
| BL  | Base Level       | 127,080   | 1   | 127,080    | 100.0   | 127,080   | 1   | 127,080    | 100.0   |
| C01 | Existing Program | 3,000     | 0   | 130,080    | 102.4   | 3,000     | 0   | 130,080    | 102.4   |
| C08 | Technology       | 55,000    | 0   | 185,080    | 145.6   | 55,000    | 0   | 185,080    | 145.6   |

### **Executive Recommendation**

|     | Change Level     | 2017-2018 | Pos | Cumulative | % of BL | 2018-2019 | Pos | Cumulative | % of BL |
|-----|------------------|-----------|-----|------------|---------|-----------|-----|------------|---------|
| BL  | Base Level       | 127,080   | 1   | 127,080    | 100.0   | 127,080   | 1   | 127,080    | 100.0   |
| C01 | Existing Program | 3,000     | 0   | 130,080    | 102.4   | 3,000     | 0   | 130,080    | 102.4   |
| C08 | Technology       | 55,000    | 0   | 185,080    | 145.6   | 55,000    | 0   | 185,080    | 145.6   |

|     | Justification   |
|-----|---|
| C01 | The Agency is requesting an increase in Operating Expenses for new office furniture. The current office furniture is over 10 years old and needs to be replaced.  |
|     | The Agency is requesting appropriation for a new licensing database and updated website. The current database is an old Apple product that is phasing out and does not have local support to help maintain it. The website was created over 10 years ago and is not user friendly. The updated website would allow ABESPA some control over making minor changes. |