ARKANSAS BUDGET SYSTEM
AGENCY PROGRAM COMMENTARY
1999 - 2001

The Arkansas Department of Correction (ADC) is responsible for overall management of the State Penitentiary, executing the orders of the criminal courts of the State of Arkansas, and providing for treatment, rehabilitation and restoration of adult offenders as useful, law-abiding citizens within the community. The Mission Statement for the ADC is to:

- *provide for the protection of free society by carrying out the mandates of the courts;*
- *provide a safe, humane environment for staff and inmates;*
- *strengthen the work ethic through teaching good habits; and*
- *provide opportunities for inmates to improve spiritually, mentally and physically.*

Operating appropriations provide for administrative and executive staff, security staffing for all correctional programs, comprehensive health care and correctional programs, general and preventative maintenance of over $291 million of buildings, grounds, and equipment, extensive farming, industry, and work release programs and ancillary activities such as operation of pen stores. Because the ADC is an extensive operation, a portion of the ADC biennial request is to provide for incremental and inflationary costs for existing facilities and programs. Growth of the prison system is correlated to growth in the inmate population. Growth in the inmate population is contingent on numerous factors beyond the auspices of the ADC. These factors include growth in the crime rate, changes in legislation governing sentencing standards and release-eligibility requirements, sentencing practices of the criminal courts, increases in arrest and disposition rates of felony cases, and parole revocations by the Post Prison Transfer Board. To provide for projected population growth, the ADC biennial budget request also includes the provision for new beds currently under construction as well as new beds that will correspond to a capital projects request.

Change Level requests for the Department are summarized as follows:

- Incremental Increases for ongoing programs:
  - ◊ Medical Contract inflation factor for existing inmate population. The contract with the existing medical provider includes a 2.89% increase in FY00 and a 2.75% increase in FY01.
  - ◊ Private Prison Contract per diem for two units (1,200 beds). The contract with the existing private prison operator provides for a maximum of 3% increase per fiscal year over the current biennium cost per day of $27.25. The maximum cost per day for FY00 is $28.07 and $28.91 in FY01.

- ◊ County Jail Reimbursements for projected population growth/backup - ADC projects an average increase of 46 inmates per month during FY99 through FY00. By the end of FY01, current and planned bed/program capacity will total 11,488. Our request is for projected additional amounts that will be necessary if no additional beds are authorized or brought on line before the end of the FY 99-01 biennium.

- ◊ Warehouse stock (food and supplies) - Includes projected costs for inflation and unit expansions.

- ◊ Incentive Pay - Hazardous Duty and Maximum Security - Includes projected costs for unit expansions.

♦ Existing Program Expansions

- ◊ Varner Maximum Security Addition - 312 beds are under construction and scheduled for completion during the FY99-01 biennium. Funding for construction was provided by a federal Violent Offender Incarceration Grant and by a required state match (Act 701 of 1997). The beds are being constructed utilizing inmate labor. The first 156 beds are anticipated to be on line July 1, 1999 and the second 156 by July 1, 2000. A final 156 will not be available until the following biennium.

- ◊ North Central Isolation - Twenty two (22) two-man cells are currently under construction. Only eight isolation cells were included in the original construction and are insufficient to manage the population. Staffing for the isolation expansion will require an additional security post.

- ◊ Mississippi County Work Release - A 50 bed expansion at the current site is proposed. The County is relocating to new jail facilities and the ADC will be negotiating to utilize the existing space to maintain and expand work release and regional maintenance programs. Renovation of the space will provide additional beds that are less expensive to operate as work release inmates pay a portion of operational costs.

- ◊ Women's Private Prison - A 200 bed expansion of the existing women's facility operated by a private contractor is proposed to provide space for projected inmate growth. Capacity for women at July 1 was 662, including boot camp and work release operated by ADC, and the population totaled 656. Contingent on receiving funding for construction of the expansion, the beds would be available by the beginning of the second year of the biennium.

- ◊ Critical Security Posts - The ADC secured a grant from the National Institute of Justice, U.S. Justice Department, to contract with a consultant to evaluate security staff requirements of various correctional facilities. The consultant's recommendations included identifying the critical posts necessary to maintain adequate security of each facility and determining the appropriate relief factor for each shift in order to determine the number of critical security staff required. We are planning to enhance security through available technology which allowed us to reduce the total number of positions needed to staff critical posts. Current staffing levels are not sufficient to allow personnel adequate time off for vacation, holidays, or compensatory time and results in abuse of sick leave and increases in overtime costs. This situation has been identified as a contributor to low morale and high turnover within the ADC.

- ♦ Additional Staffing - A total of 21 additional positions were identified as necessary to provide services or to meet supervision needs that cannot otherwise be provide by existing staff. These include appropriate security staff needed to meet commitments to provide regional maintenance crews to perform county/community services projects, security staff needed to allow additional inmates to be assigned to work details, staffing to provide for implementation of components of the technology plan and other essential program staff.

- ♦ Position Reallocations/Flex - Four positions that provide critical supervision at four units were identified that need to be replaced with a higher level position to be consistent with staffing standards at other units. We request these positions be appropriated as flex to allow a "swap" during the biennium. In addition, ADC is requesting an additional 90 flex positions for the new biennium to enable us to respond to unforeseen situations and to correct inequities within the system that may be required. No additional funding is requested for any of the flex positions.

- ♦ Reclassification/Upgrades - In order to provide a career path for the senior leadership within the institutions, the ADC is requesting reclassification of all wardens and center supervisors to grade 99 classifications. This will require three levels for institutions and two levels for work release centers which were determined based on various levels of responsibilities associated with the operation of facilities to include number of beds, type and number of programs, personnel, etc. Currently, there is no incentive for a warden at a smaller unit to apply for an opening at a larger unit with obviously greater responsibilities. In addition, reclassification/upgrades of positions which ADC feels are essential to accomplishing the agency mission are requested.

- ♦ Capital Outlay - The agency request combines both new and replacement institutional furnishings and equipment, medical equipment, and construction and maintenance equipment needs for the entire system.

- New Initiatives

  - Special Needs Facility - In addition to new beds currently under construction, ADC projects that at least an additional 919 beds will be required by the end of the biennium. The projection is based on a population growth average over the past ten years of 46 inmates per month. The most recent population projection by the National Council on Crime Delinquency (NCCD) estimated an average increase of 11 inmates per month or a projected need of at least 405 additional beds by the end of the biennium. The actual FY98 increase was 810 inmates or an average of 67.5 per month. ADC is requesting a new 1,200 bed Special Needs Facility which if appropriated for construction would only produce approximately 200 beds during the remaining six months of the second year of the biennium. A Special Needs Facility would allow us to expand our diagnostic and intake capabilities, to house special needs inmates (medical/HIV, mental health, & geriatric) in one location, and provide approximately 400 additional medium/maximum security beds. We propose siting the new facility in a Central Arkansas location in order to improve access for sheriffs, to utilize major medical facilities, to reduce our transportation/security costs, and to enhance recruitment of staff. Additional benefits of a centralized Special Needs Facility include:

    - ⇒ an improved classification system;
    - ⇒ cost efficiencies that should result from sharing resources which will reduce the duplication of services for similar populations;
    - ⇒ reducing potential liability and improving treatment for special needs inmates as a result of properly designed housing and separation of special populations from the general inmate population.

  - Correctional Facilities Fund - The ADC has grown to the point that it's difficult to accurately project the physical plant needs of the institutions, and because all state agencies have extensive needs, there is never sufficient funding for general improvement projects. In order to maximize resources provided to the ADC, we are requesting a new appropriation of up to $2.5 million per fiscal year, creation of a new Correctional Facilities Fund to be used for constructing, renovating and equipping various correctional facilities and authority to transfer an equivalent amount of savings prior to the end of each fiscal year from the ADC General Revenue Fund Account to the new fund.

- Special Language - Continuation of current special language is requested with appropriate revisions. Additional special language is requested that would allow ADC to address issues of holiday pay, payment of debt service from Farm Replacement Receipts, and carry forward authority for balances of non-tax revenue receipts.

- Agricultural Program - The agricultural operation of the ADC provides the majority of vegetables, meat, milk and eggs consumed by the inmate population and generates revenue by selling soybeans, rice, cotton and wheat. Approximately 18,000 acres are devoted to cash crops, vegetables, hay production and livestock. The agricultural program is supported by special revenues derived from farm receipts replacements.
  Biennial requests for the agricultural operation provides for reauthorization of three non-budgeted positions, facility upgrades and various projects, new and replacement equipment, livestock replacement, and M&O authority for potential catastrophic losses.

- Industries Program - Correctional Industries exists as a self-supporting operation within the ADC and is funded by special revenues generated by the sale of products to public agencies and nonprofit organizations. The primary objective of the Industries program is to provide skills and experience to inmates to assist in gaining employment upon release. Over 500 inmates participate in the various work programs. Principal operations include printing and graphic arts, janitorial products, garment, mattress, school bus/fire truck repair, furniture manufacturing refinishing, vinyl products and athletic equipment. The biennial request for the Industries program is for base level and capital outlay appropriation for new and replacement equipment.

- Work Release Program - Work Release allows inmates to be employed in the community while residing in correctional facilities. The ADC coordinates employment opportunities for 465 inmates in work release programs located in Luxora, Springdale, Benton, Texarkana and Wrightsville. Fees received from work release participants reduce the operational costs of the program. The biennial request for this program includes a portion of the expense for a 50-bed expansion for the Mississippi County Work Release Program previously mentioned, and additional appropriation for contingencies, new and replacement equipment, and debt service/lease payments that may be required.

- Ancillary Programs - Other programs of the ADC funded from cash and other sources include Inmate Welfare, Non-Tax Revenue Receipts, and Federal Grants. Biennial requests for the programs includes additional appropriation for operations, contingencies, new and replacement equipment, and new and reauthorization of existing non-budgeted positions.

ARKANSAS DEPARTMENT OF CORRECTION
SUMMARY OF AUDIT FINDINGS AND RECOMMENDATIONS
FOR THE YEAR ENDED JUNE 30, 1997

Assets				Liabilities			
Cash and Investments	Fixed	Other	Total	Current	Long-Term	Total	Total Equity
$ 28,157,796	$ 176,938,203	$ 68,816,292	$ 273,912,291	$ 9,152,675	$ 52,987,345	$ 62,140,020	$ 211,772,271

Revenues					Expenditures					
Inter-governmental	Federal	Licenses and Fees	Other	Total	Salaries and Matching	Grants and Aid	Capital	Other Operating	Total	Other Sources (Uses)
$ 152,420,376	$ 1,644,780	$ 5,900,155	$ 27,220,653	$ 187,185,964	$ 83,054,735	$ 412,714	$ 12,268,289	$ 89,279,466	$ 185,015,204	$ (412,56

Findings

1. CASH IN BANK - RECORD RETENTION - Review of bank records revealed that one bank used by the Agency no longer returns cancelled checks with the monthly bank statements to the Agency. Instead, the bank sent an image statement which included reduced copies of the face of the checks. We reviewed the bank's image statements and determined they were illegible. Also, the back side of the check was not supplied by the bank. Because of these deficiencies in the documents, the Legislative Auditor denied the Agency's request for approval to use these image statements instead of the original checks in accordance with Act 541 of 1997.

2. INVESTMENTS - Review of the Agency's investment transactions revealed that a certificate of deposit in the amount of $5,840,000 was redeemed fourteen (14) days prematurely. As a result, the Agency forfeited $30,381.19 in interest income. The Agency redeemed the investment prematurely to pay the balance of an interest free loan in the amount of $1,360,000.00 to the Arkansas Finance Development Authority, of which only $170,000.00 was currently due.

3. FARM INVENTORY - CROPS IN PROGRESS - (The costs associated with growing crops were not accumulated for inventory purposes as of June 30, 1997. The Agency grows cotton, rice, soybeans, wheat, milo and sorghum for resale. Generally accepted accounting principles require that the cost of growing crops be accumulated until the time of harvest. The Agency had incurred substantial costs at June 30, 1997 relating to crops that were not harvested until the following fiscal year.) Estimates and analytical procedures were used to determine the cost of crops in progress at June 30, 1996 and at June 30, 1995. However, reliable data was not available for the year ended June 30, 1997. Consequently, we were unable to determine the value of crops in progress at June 30, 1997. The value of crops in progress was reported to have been $1,824,204.43 at June 30, 1996.

Recommendations

1. Coordinate efforts with the bank to obtain adequate documentation fo disbursements.

2. Strengthen internal controls over investments to preclude the loss of income.

3. Strengthen controls to assure that the cost of growing crops until harvest i recorded by crop and included in the farm fund financial statements i accordance with generally accepted accounting principles.

Findings (Continued)

4. EQUIPMENT - (The equipment observation sample of eighty-one (81) items with a total value of $399,376.87 was selected from nine (9) of the Agency's location centers and resulted in eight (8) unlocated items with a total value of $2,869.52 and six (6) items with a value of $6,813.03 that had been disposed of without proper authorization.) Details of these situations are as follows:

	Unlocated Items		Unauthorized Disposals	
Location	Quantity	Value	Quantity	Value
Central Office	1	$ 30.00		
Cummins Farm	2	883.95		
Tucker Farm	2	588.00	4	$6,414.75
Wrightsville Farm	1	618.45	2	398.28
Benton Work Release Center	2	749.12		
Totals	8	$2,869.52	6	$6,813.03

5. ACCUMULATED DEPRECIATION FOR EQUIPMENT - The Arkansas Property Management System's (APMS) depreciation report, which is generated by the Department of Finance and Administration, is relied upon by the Agency to record depreciation expense and accumulated depreciation for equipment of the proprietary funds. Review of the depreciation report indicated that there were errors within the program. The depreciation report included a full year's depreciation expense for purchase made at anytime during the year ended June 30, 1997, two (2) years of depreciation expense for each item in inventory for the year, and no depreciation expense for those items disposed of during the year. Subsequent discussion with personnel of the Department of Finance and Administration indicated that they are reviewing the computer program and making changes to correct the problems for the year ended June 30, 1998. Audit adjustments were made to the report to more accurately determine the amount of depreciation expense for the year ended June 30, 1997.

   Generally accepted accounting principles require the accurate calculation of accumulated depreciation and depreciation expense of proprietary fund fixed assets for financial reporting purposes.

Recommendations (Continued)

4. Strengthen internal controls relating to equipment to assure proper accountability and safeguarding of assets.

5. Pursue the accurate calculation of accumulated depreciation and depreciation expense.

ARKANSAS DEPARTMENT OF CORRECTION
SUMMARY OF AUDIT FINDINGS AND RECOMMENDATIONS
FOR THE YEAR ENDED JUNE 30, 1997

Findings (Continued)	Recommendations (Continued)
6. INTERNAL CONTROL - GRAIN CROPS - (Review of internal controls related to the harvest of soybeans, rice, wheat and milo indicated that procedures were not in place to adequately monitor units produced and delivered to the granaries during the year ended December 31, 1996. The Agency uses prenumbered scale tickets to account for production at the Cummins and Tucker farms. However, management did not review or maintain an accounting of the prenumbered scale tickets for the 1996 crop year to verify that all scale tickets had been accounted for. Scales are not available at the East Arkansas unit farm, and the Agency relies on the granary scale tickets to document units produced. The Agency has no documentable internal controls over units produced at and shipped from the East Arkansas unit farm. Sales for the Agency's grain crops totaled $1,965,740.53 for the year ended June 30, 1997.) For the 1997 crop year, the Cummins farm developed a method of accounting for scale tickets.	6. Strengthen internal controls over the harvesting of crops.

Audited by Division of Legislative Audit
SA1348097

( ) Noted in previous year's audit report.

ARKANSAS DEPARTMENT OF CORRECTION
REPORT ON CERTIFICATION OF CONSUMPTION OF FARM PRODUCE
SUMMARY OF AUDIT FINDINGS AND RECOMMENDATIONS
FOR THE YEAR ENDED JUNE 30, 1997

Value of consumption of farm produce $3,291,065.15

Findings	Recommendations
INTERNAL CONTROLS - Our review of supporting documentation relating to inmate consumption and employee emoluments revealed the following weaknesses: A. Several vegetable items that are unique to the Agency's farm were valued by the Agency but supporting documentation was not retained for audit purposes. B. Instances were noted in which employees reflected as receiving emoluments did not sign the appropriate requisition form to verify receipt of the items. Agency policy requires each employee to sign a requisition upon receipt of any emolument. C. Consignment tickets for vegetables shipped to the Tucker Unit kitchens directly from the farm were not signed by the issuer or the receiver of those goods.	Agency strengthen internal controls relating to inmate and employee emolument consumption.

Audited by Division of Legislative Audit
SA1348097

ARKANSAS BUDGET SYSTEM
EMPLOYMENT SUMMARY
AS REQUIRED BY ACT 358 OF 1993
(A.C.A 19-4-307)

AGENCY TITLE 480 - DEPT OF CORRECTION

	MALE	FEMALE	TOTAL	PERCENTAGE OF TOTAL
WHITE EMPLOYEES	919	390	1,309	46%
BLACK EMPLOYEES	777	724	1,501	53%
EMPLOYEES OF OTHER RACIAL MINORITIES	4	4	8	0%
TOTAL EMPLOYED AS OF 08/08/98 (DATE)			1,509 TOTAL MINORITIES	54%
			2,818 TOTAL EMPLOYEES	100%

AGENCY DIRECTOR

* PERCENTAGE TOTALS MAY NOT ADD DUE TO ROUNDING

CASH FUND BALANCE DESCRIPTION
AS OF JUNE 30, 1998

AGENCY: ***ARKANSAS DEPARTMENT OF CORRECTION***

ACCOUNT INFORMATION

FUND ACCT.	BALANCE	TYPE	LOCATION
141	702,958	Checking	Simmons, Pine Bluff
	63,000	CD	First National, Hope
Total	765,958		

Inmate Welfare

STATUTORY/OTHER RESTRICTIONS ON USE:
ACA 12-29-107 authorizes establishment of an inmate welfare fund consisting of profits from sale of merchandise to inmates and other related sources. Fund is to be expended for the general benefit of inmates.

STATUTORY PROVISIONS FOR FEES, FINES, PENALTIES:
ACA 12-29-107 authorizes establishment and maintenance of an inmate welfare fund.

REVENUE RECEIPTS CYCLE:
Receipts are collected daily from revenue producing activities.

FUND BALANCE UTILIZATION:
Funds are used to pay related operations expenses, procure merchandise for resale, and provide benefits to inmates not ordinarily provided by tax revenues.

ACCOUNT INFORMATION

FUND ACCT.	BALANCE	TYPE	LOCATION
141	369,941	Checking	Simmons, Pine Bluff
	2,031,000	CD	First National, Hope
Totals	2,400,941		

Work Release

STATUTORY/OTHER RESTRICTIONS ON USE:
ACA 12-30-401 authorizes establishment of programs for employment of inmates outside the department. A portion of earnings are retained to offset the cost of food, housing, and custody of inmates.

STATUTORY PROVISIONS FOR FEES, FINES, PENALTIES:
ACA 12-30-406 provides that inmates' earnings be allocated to dependent support, victim restitution and reimbursement to the state to offset the cost of care and custody.

REVENUE RECEIPTS CYCLE:
Rents are assessed daily and collected weekly from inmates' earnings.

FUND BALANCE UTILIZATION:
Funds are used to pay related operating expenses of Work Release units and residual equity of the fund is used to supplement Inmate Care and Custody operations and capital budgets.

CASH FUND BALANCE DESCRIPTION

AS OF JUNE 30, 1998

AGENCY: ***ARKANSAS DEPARTMENT OF CORRECTION***

ACCOUNT INFORMATION			
FUND ACCT.	**BALANCE**	**TYPE**	**LOCATION**
141	515,859	Checking	Simmons, Pine Bluff
	699,000	CD	First National, Hope
Total	1,214,859		
Non-Revenue Receipts			

STATUTORY/OTHER RESTRICTIONS ON USE:
ACA 12-27-128 authorizes establishment of a program for receipt of funds from collect calls placed by inmates to destinations outside the department. Funds are used to provide benefits to inmates and supplements to Inmate Care and Custody Budgets.

STATUTORY PROVISIONS FOR FEES, FINES, PENALTIES:
ACA 12-27-128 authorizes establishment of the fund and specifies usage of receipts.

REVENUE RECEIPTS CYCLE:
Receipts are collected monthly from the contractor that provides services.

FUND BALANCE UTILIZATION:
Funds are used to provide benefits to inmates not provided by tax revenues as well as a supplement to Inmate Care and Custody operations and capital budgets.

ACCOUNT INFORMATION			
FUND ACCT.	**BALANCE**	**TYPE**	**LOCATION**

STATUTORY/OTHER RESTRICTIONS ON USE:

STATUTORY PROVISIONS FOR FEES, FINES, PENALTIES:

REVENUE RECEIPTS CYCLE:

FUND BALANCE UTILIZATION:

BUDGET REVIEW OF INFORMATION TECHNOLOGY ITEMS
BY THE
DEPARTMENT OF INFORMATION SYSTEMS

STATE AGENCY: Department of Correction

AGENCY HEARING DATE: November 10, 1998

AMOUNTS REQUESTED FOR INFORMATION TECHNOLOGY

	1999-2000 FISCAL YEAR			2000-2001 FISCAL YEAR		
APPR	BASE	CHANGE LEVEL	TOTAL REQUEST	BASE	CHANGE LEVEL	TOTAL REQUEST
509	$984,279	$85,342	$1,069,621	$984,279	$85,691	$1,069,970
A83	$383,168	$442,500	$825,668	$383,168	$442,500	$825,668
D02		$250,000	$250,000		$250,000	$250,000
*CFF-New		$700,082	$700,082		$135,633	$135,633
TOTAL	$1,367,447	$1,477,924	$2,845,371	$1,367,447	$913,824	$2,281,271

DIS COMMENTS: OK-has approximately 10% contingency over plan.

* The agency will request this appropriation in the Capital Projects Request Process.

ARKANSAS BUDGET SYSTEM
DEPARTMENT APPROPRIATION SUMMARY

AGENCY TITLE Department of Correction (480)	1997-99 Expenditures				1999-01 Biennium Request				1999-01 Executive Recommendation			
Appropriations Code Name	Actual 1997-98	No. of Pos.	Budgeted 1998-99	No. of Pos.	Year 1 1999-00	No. of Pos.	Year 2 2000-01	No. of Pos.	Year 1 1999-00	No. of Pos.	Year 2 2000-01	No. of Pos.
A83 Non-Tax Revenue Receiipts	$3,098,255	2	$3,516,808	2	$4,619,779	2	$4,622,385	2	$4,619,779	2	$4,622,385	2
B01 Regional Facilities Oper. Account - Cash	0	0	0	0	800,000	0	800,000	0	800,000	0	800,000	0
B02 Inmate Welfare - Cash	4,479,428	0	5,279,909	28	6,657,031	41	6,683,054	41	6,661,002	41	6,687,137	41
B06 Prisoner Housing Contract Account - Cash	0	0	0	0	200,000	0	200,000	0	200,000	0	200,000	0
C02 Construction Fund Deficiency Acct - Cash	0	0	0	0	500,000	0	500,000	0	500,000	0	500,000	0
D02 Work Release Center - Cash	1,296,962	0	1,839,532	0	5,985,714	0	5,757,814	0	5,985,714	0	5,757,814	0
SNF New Special Needs Facility - 1200 Beds	0	0	0	0	0	0	2,705,384	98	0	0	0	0
509 Inmate Care and Custody	146,206,206	2,947	152,870,533	2,981	172,426,302	3,267	183,970,900	3,303	172,273,400	3,259	183,854,084	3,295
511 Prison Industry	5,337,764	51	6,186,192	54	6,208,583	54	6,187,022	54	6,211,841	54	6,190,367	54
512 Farm Operations	8,563,589	49	11,191,713	50	12,327,744	53	11,683,224	53	12,325,906	53	11,681,338	53
847 Substance Abuse Treatment Program	384,749	13	858,102	19	916,059	20	933,983	20	914,142	20	932,012	20
Not Requested												
1GX RSATSP -State Match	93,946	0	0	0	0	0	0	0	0	0	0	0
TOTALS	$169,460,899	3,062	$181,742,789	3,134	$210,641,212	3,437	$224,043,766	3,571	$210,491,784	3,429	$221,225,137	3,465
Funding Sources		% of Total		% of Total		% of Total		% of Total		% of Total		% of Total
Fund Balances	$5,488,281	3.1%	$7,195,153	3.9%	$5,072,052	2.5%	$1,274,512	0.6%	$5,072,052	2.5%	$1,274,512	0.6%
General Revenues	145,822,266	82.5%	149,905,233	80.2%	167,320,881	81.5%	181,499,028	84.1%	161,763,933	81.0%	171,345,064	83.3%
Special Revenues	13,518,087	7.7%	15,867,200	8.5%	18,144,980	8.8%	18,144,980	8.4%	18,144,980	9.1%	18,144,980	8.8%
Federal Funds	384,749	0.2%	858,102	0.5%	916,059	0.4%	933,983	0.4%	914,142	0.5%	932,012	0.5%
Constitutional Officers Fund												
Transfer to Farm and PPTB	(1,073,536)	-0.6%										
Merit Adj. & Trnsfr from Farm, ICC & DCP	1,950,000	1.1%	751,731	0.4%								
Cash Funds	10,291,467	5.8%	11,487,422	6.1%	13,081,027	6.4%	13,131,027	6.1%	13,081,027	6.5%	13,131,027	6.4%
Transfer to ICC, COI Debt Svc, Misc Rcpts	274,738	0.2%	750,000	0.4%	750,000	0.4%	750,000	0.3%	750,000	0.4%	750,000	0.4%
Total Funding	176,656,052	100.0%	186,814,841	100.0%	205,284,999	100.0%	215,733,530	100.0%	199,726,134	100.0%	205,577,595	100.0%
Excess Appro./ (Funding)	(7,195,153)		(5,072,052)		5,356,213		8,310,236		10,765,650		15,647,542	
TOTAL	$169,460,899		$181,742,789		$210,641,212		$224,043,766		$210,491,784		$221,225,137	
DEPARTMENT **Department of Correction (480)**			DIRECTOR LARRY NORRIS						DEPARTMENT APPROPRIATION SUMMARY BR 40			

Notes: The variance in the actual number of postions between the Appropriation Summary (BR40) and the Program Summary (BR22) is due to positions that have been paid in more than one cost center.

Excess appropriaition amounts in the 1999-2001 biennium are due to requests/recommendations for unfunded appropriation for contingency purposes.

ARKANSAS BUDGET SYSTEM
DEPARTMENT PROGRAM SUMMARY

AGENCY TITLE	1997-99 Expenditures				1999-01 Biennium Request				1999-01 Executive Recommendation			
Department of Correction (480)	Actual 1997-98	No. of Pos.	Budgeted 1998-99	No. of Pos.	Year 1 1999-00	No. of Pos.	Year 2 2000-01	No. of Pos.	Year 1 1999-00	No. of Pos.	Year 2 2000-01	No. of Pos.
Administration	$12,461,470	112	$13,032,905	105	$14,082,272	197	$13,728,611	197	$13,329,541	197	$13,510,879	197
County Jail Reimbursements	11,060,265	0	4,395,000	0	5,008,975	0	8,622,475	0	5,008,975	0	8,622,475	0
Health Management	23,612,010	178	24,703,577	148	25,655,181	148	26,337,495	148	25,653,750	148	26,327,453	148
Institutional Services	85,061,873	2,545	90,349,393	2,501	105,198,519	2,671	112,796,567	2,805	105,881,319	2,663	110,286,061	2,699
Maintenance and Construction	3,820,794	90	3,784,495	80	4,133,418	80	4,214,813	80	4,014,346	80	4,092,387	80
Private Prisons	5,151,504	3	12,106,345	4	12,473,293	4	14,955,961	4	12,473,293	4	14,955,961	4
Industry Programs	5,337,764	51	6,186,192	54	6,208,583	54	6,187,022	54	6,211,841	54	6,190,367	54
Farm Operations	8,563,589	49	11,191,713	50	12,327,744	53	11,683,224	53	12,325,906	53	11,681,338	53
Work Release	5,672,818	137	6,338,350	143	11,860,358	167	11,778,176	167	11,897,890	167	11,816,682	167
Inmate Welfare	5,136,821	27	5,279,909	28	6,657,031	41	6,683,054	41	6,661,002	41	6,687,137	41
Debt Service Leases					1,500,000	0	1,500,000	0	1,500,000	0	1,500,000	0
Federal Programs	483,736	13	858,102	19	916,059	20	933,983	20	914,142	20	932,012	20
Non-Tax Revenue Receipts	3,098,255	2	3,516,808	2	4,619,779	2	4,622,385	2	4,619,779	2	4,622,385	2
TOTALS	$169,460,899	3,207	$181,742,789	3,134	$210,641,212	3,437	$224,043,766	3,571	$210,491,784	3,429	$221,225,137	3,465
Funding Sources		% of Total		% of Total		% of Total		% of Total		% of Total		% of Total
Fund Balances	$5,488,281	3.1%	$7,195,153	3.9%	$5,072,052	2.5%	$1,274,512	0.6%	$5,072,052	2.5%	$1,274,512	0.6%
General Revenues	145,822,266	82.5%	149,905,233	80.2%	167,320,881	81.5%	181,499,028	84.1%	161,763,933	81.0%	171,345,064	83.3%
Special Revenues	13,518,087	7.7%	15,867,200	8.5%	18,144,980	8.8%	18,144,980	8.4%	18,144,980	9.1%	18,144,980	8.8%
Federal Funds	384,749	0.2%	858,102	0.5%	916,059	0.4%	933,983	0.4%	914,142	0.5%	932,012	0.5%
Constitutional Officers Fund												
Transfer to Farm and PPTB	(1,073,536)	-0.6%										
Merit Adj. & Trnsfr from Farm, ICC & DCP	1,950,000	1.1%	751,731	0.4%								
Cash Funds	10,291,467	5.8%	11,487,422	6.1%	13,081,027	6.4%	13,131,027	6.1%	13,081,027	6.5%	13,131,027	6.4%
Transfer to ICC, COI Debt Svc, Misc Rcpts	274,738	0.2%	750,000	0.4%	750,000	0.4%	750,000	0.4%	750,000	0.4%	750,000	0.4%
Total Funding	176,656,052	100.0%	186,814,841	100.0%	205,284,999	100.0%	215,733,530	100.0%	199,726,134	100.0%	205,577,595	100.0%
Excess Appro./ (Funding)	(7,195,153)		(5,072,052)		5,356,213		8,310,236		10,765,650		15,647,542	
TOTAL	$169,460,899		$181,742,789		$210,641,212		$224,043,766		$210,491,784		$221,225,137	

DEPARTMENT	DIRECTOR	DEPARTMENT PROGRAM SUMMARY
Department of Correction (480)	LARRY NORRIS	BR 22

Notes: The variance in the actual number of postions between the Appropriation Summary (BR40) and the Program Summary (BR22) is due to positions that have been paid in more than one cost center.

Excess appropriation amounts in the 1999-2001 biennium are due to requests/recommendations for unfunded appropriation for contingency purposes.

This appropriation from cash funds provides for the coinless phone program which began in the 1995-97 biennium. The program allows inmates to call family or friends collect with a portion of the receipts from such calls being paid to the Department of Correction by the phone company. The appropriation is used for inmate assistance projects, security equipment, long term needs, and general operations.

The Change Level request is for Maintenance and General Operations appropriation of $2,095,000 each year for flexibility in the allocation of available funding for inmate assistance projects, security equipment, long term needs, general operations, and implementation of technology plan projects.

The Executive Recommendation provides for the Agency Request.

01	02	03	04	05	06	07	08	09	10	11	12	13	14
CHARACTER TITLE	EXPENDITURES 97-98 ACTUAL	EXPENDITURES 98-99 BUDGETED	98-99 AUTHORIZED APPRO	99-00 FISCAL YEAR BASE	99-00 FISCAL YEAR CHANGE LEVEL	99-00 FISCAL YEAR TOTAL REQUEST	00-01 FISCAL YEAR BASE	00-01 FISCAL YEAR CHANGE LEVEL	00-01 FISCAL YEAR TOTAL REQUEST	RECOMMENDATIONS EXECUTIVE 99-00	RECOMMENDATIONS EXECUTIVE 00-01	RECOMMENDATIONS LEGISLATIVE 99-00	RECOMMENDATIONS LEGISLATIVE 00-01
JLAR SALARIES	66,766	74,282	50,108	77,888	0	77,888	80,069	0	80,069	77,888	80,069		
1BER OF POSITIONS	2	2	2	2	0	2	2	0	2	2	2		
SONAL SERV MATCHING	15,471	17,280	15,896	21,645	0	21,645	22,070	0	22,070	21,645	22,070		
RATING EXPENSES	1,574,372	2,350,246	2,533,996	2,350,246	250,000	2,600,246	2,350,246	250,000	2,600,246	2,600,246	2,600,246		
F FEES & TRAVEL	2,600	0	0	0	20,000	20,000	0	20,000	20,000	20,000	20,000		
F FEES & SERVICES	0	0	0	0	50,000	50,000	0	50,000	50,000	50,000	50,000		
ITAL OUTLAY	1,434,246	1,000,000	1,000,000	0	1,750,000	1,750,000	0	1,750,000	1,750,000	1,750,000	1,750,000		
A PROCESSING	4,800	75,000	75,000	75,000	25,000	100,000	75,000	25,000	100,000	100,000	100,000		
AL	3,098,255	3,516,808	3,675,000	2,524,779	2,095,000	4,619,779	2,527,385	2,095,000	4,622,385	4,619,779	4,622,385		
PROPOSED FUNDING SOURCES			**********										
D BALANCES	1,566,421	1,219,353	**********	848,898		848,898				848,898			
ERAL REVENUES			**********										
CIAL REVENUES			**********										
ERAL FUNDS			**********										
TE CENTRAL SERVICES FUND			**********										
-REVENUE RECEIPTS			**********										
H FUNDS	2,751,107	3,146,353	**********	1,675,881	1,501,126	3,177,007	2,527,385	699,622	3,227,007	3,177,007	3,227,007		
ER			**********										
AL FUNDING	4,317,608	4,365,706	**********	2,524,779	1,501,126	4,025,905	2,527,385	699,622	3,227,007	4,025,905	3,227,007		
ESS APPRO/ (FUNDING)	(1,219,353)	(848,898)	**********		593,874	593,874		1,395,378	1,395,378	593,874	1,395,378		
AL	3,098,255	3,516,808	**********	2,524,779	2,095,000	4,619,779	2,527,385	2,095,000	4,622,385	4,619,779	4,622,385		

T 013 DEPARTMENT OF CORRECTION
460 DEPARTMENT OF CORRECTION
RO A03 NON-TAX REVENUE RECEIPTS -- CASH

D 460 CORRECTIONS NON TAX REVENUE-(460)

The FY99 Budgeted amounts in Regular Salaries and/or Personal Services Matching may exceed the Authorized amounts due to the implementation of the pay plan during the 1997-99 biennium.

APPROPRIATION SUMMARY

BR 215

ARKANSAS BUDGET SYSTEM
PROGRAM/SERVICE INFORMATION LIST
RANK BY APPROPRIATION

01	02	03	04	05	06	07	08	09	10	11	12	13	14	15	16	17	18	19
					EXPENDITURES		1999 - 01 BIENNIUM REQUESTS								RECOMMENDATIONS			
							FY 1999 - 00					FY 2000 - 01						
					ACTUAL	BUDGETED	REQUEST					REQUEST			EXECUTIVE		LEGISLATIVE	
RANK	PROGRAM DESCRIPTION	FUND	ACCOUNTING INFORMATION	DES	97-98	98-99									1999-00	2000-01	1999-00	2000-01
00		480	480 A83	B	3,098,255 2	3,516,808 2	2,524,779 2					2,527,385 2			2,524,779 2	2,527,385 2		
01		480	480 A83 01A 1A SHARED ACCOUNTABLE COSTS	C02			1,652,500 0					1,652,500 0			1,652,500	1,652,500		
	Additional appropriation to provide flexibility in allocation of available funding for priority inmate assistance projects, security equipment, long term needs, and general operations.																	
02		480	480 A83 01A 1A SHARED ACCOUNTABLE COSTS	C08			442,500 0					442,500 0			442,500	442,500		
	Additional operating appropriation to provide flexibility in allocation of available funding for priority projects as identified in the technology plan.																	

EPT 013 DEPARTMENT OF CORRECTION
GY 480 DEPARTMENT OF CORRECTION
PPRO A83 NON-TAX REVENUE RECEIPTS -- CASH

JND 480 CORRECTIONS NON TAX REVENUE-(480)

RANK BY APPROPRIATION

BR 264

ARKANSAS BUDGET SYSTEM
PROGRAM/SERVICE INFORMATION LIST
RANK BY APPROPRIATION

02	03	04	05	06	07	08	09	10	11	12	13	14	15	16	17	18	19
						1999 - 01 BIENNIUM REQUESTS								RECOMMENDATIONS			
				EXPENDITURES		FY 1999 - 00					FY 2000 - 01			EXECUTIVE		LEGISLATIVE	
PROGRAM DESCRIPTION	FUND	ACCOUNTING INFORMATION	DES	ACTUAL 97-98	BUDGETED 98-99	REQUEST					REQUEST			1999-00	2000-01	1999-00	2000-01
	480	480 A83 011 55 INFORMATION SYSTEMS	C09			0					0						
						0					0						

Career Ladder Incentive Program.

013 DEPARTMENT OF CORRECTION
480 DEPARTMENT OF CORRECTION
O A83 NON-TAX REVENUE RECEIPTS -- CASH

480 CORRECTIONS NON TAX REVENUE-(480)

RANK BY APPROPRIATION

BR 264

This appropriation request is for Debt Service/Lease payments through the Arkansas Development Finance Authority for the bonded indebtedness or leases of regional correction facilities. Receipts into this account are transfers from work release cash funds, payments to the Department of Correction for the housing of county and city prisoners in regional facilities, or such other sources as required. The agency is requesting the Debt Service line item be reestablished at $800,000 each year of the 1999-2001 biennium.

The Executive Recommendation provides for the Agency Request.

01	02	03	04	05	06	07	08	09	10	11	12	13	14
CHARACTER TITLE	------EXPENDITURES------- 97-98 ACTUAL	98-99 BUDGETED	98-99 AUTHORIZED APPRO	----------99-00 FISCAL YEAR---------- BASE	CHANGE LEVEL	TOTAL REQUEST	----------00-01 FISCAL YEAR---------- BASE	CHANGE LEVEL	TOTAL REQUEST	----------RECOMMENDATIONS---------- EXECUTIVE 99-00	00-01	LEGISLATIVE 99-00	00-01
SERVICE	0	0	800,000	0	800,000	800,000	0	800,000	800,000	800,000	800,000		
L	0	0	800,000	0	800,000	800,000	0	800,000	800,000	800,000	800,000		
PROPOSED FUNDING SOURCES			**********										
BALANCES			**********										
RAL REVENUES			**********										
IAL REVENUES			**********										
RAL FUNDS			**********										
E CENTRAL SERVICES FUND			**********										
REVENUE RECEIPTS			**********										
FUNDS			**********		800,000	800,000		800,000	800,000	800,000	800,000		
R			**********										
L FUNDING			**********		800,000	800,000		800,000	800,000	800,000	800,000		
SS APPRO/ (FUNDING)			**********										
L			**********		800,000	800,000		800,000	800,000	800,000	800,000		

013 DEPARTMENT OF CORRECTION
480 DEPARTMENT OF CORRECTION
D B01 REGIONAL FACILITIES OPERATIONS ACCOUNT -- CASH

143 REGIONAL FACILITIES CONSTRUCTION-(480)

APPROPRIATION SUMMARY

BR 215

PROGRAM/SERVICE INFORMATION LIST

RANK BY APPROPRIATION

01	02	03	04	05	06	07	08	09	10	11	12	13	14	15	16	17	18	19
							1999 - 01 BIENNIUM REQUESTS								RECOMMENDATIONS			
					EXPENDITURES		FY 1999 - 00					FY 2000 - 01			EXECUTIVE		LEGISLATIVE	
RANK	PROGRAM DESCRIPTION	FUND	ACCOUNTING INFORMATION	DES	ACTUAL 97-98	BUDGETED 98-99	REQUEST					REQUEST			1999-00	2000-01	1999-00	2000-01
01		143	480 B01 01A 1A SHARED ACCOUNTABLE COSTS	C06			800,000 0					800,000 0			800,000	800,000		

As required by financier, this request will provide appropriation in the event debt service provided by ADFA is not sufficient.

The Inmate Welfare appropriation provides for the operation of Pen Stores, which are self supporting operations in which inmates can purchase various commissary supplies, primarily snack foods, in the Department's various units. The sales from these stores are deposited as cash funds and used for operational costs. In addition, the funds are used to purchase such items as chairs, televisions, visitation tables, and recreational equipment for inmate use.

Included in Base Level are cost of living increases of 2.8% each year with associated Personal Services Matching and operating costs. The Change Level requests are for the restoration of 13 positions and additional operating appropriation for future expansion of pen store operations and increases in resale merchandise ($1,391,972/$1,398,961) as well as Career Ladder Incentive Program requests ($16,910/$17,367).

The Executive Recommendation provides for the Agency Request.

The Career Ladder Incentive Program (CLIP) requests reflected in agency budgets are intended to provide appropriate job classifications which may be utilized to promote classified employees who complete competency-based criteria during the biennium. The Executive Recommendation may reflect an adjustment in the requested CLIP levels for certain positions. These changes are to indicate the maximum job classification to which an employee would be expected to progress within the new biennium, based on the experience and other competency based criteria required under the program.

Subsequent to the request process the agency worked with the DFA Office of Personnel Management to develop a CLIP for Correction Officer I and Correctional Officer II positions in order to address the critical turnover problem of the Department. These CLIP classifications are not reflected in the agency request but are included in the Executive Recommendation.

01	02	03	04	05	06	07	08	09	10	11	12	13	14
CHARACTER TITLE	------EXPENDITURES------- 97-98 ACTUAL	98-99 BUDGETED	98-99 AUTHORIZED APPRO	----------99-00 FISCAL YEAR---------- BASE	CHANGE LEVEL	TOTAL REQUEST	----------00-01 FISCAL YEAR---------- BASE	CHANGE LEVEL	TOTAL REQUEST	----------RECOMMENDATIONS---------- EXECUTIVE 99-00	00-01	LEGISLATIVE 99-00	00-01
EGULAR SALARIES	0	528,226	679,157	554,959	223,356	778,315	570,502	229,605	800,107	781,639	803,524		
UMBER OF POSITIONS	0	28	37	28	13	41	28	13	41	41	41		
ERSONAL SERV MATCHING	0	187,092	245,242	198,599	85,526	284,125	201,633	86,723	288,356	284,772	289,022		
PERATING EXPENSES	4,477,053	4,494,591	4,494,591	4,494,591	1,000,000	5,494,591	4,494,591	1,000,000	5,494,591	5,494,591	5,494,591		
APITAL OUTLAY	2,375	70,000	205,410	0	100,000	100,000	0	100,000	100,000	100,000	100,000		
OTAL	4,479,428	5,279,909	5,624,400	5,248,149	1,408,882	6,657,031	5,266,726	1,416,328	6,683,054	6,661,002	6,687,137		
PROPOSED FUNDING SOURCES			**********										
UND BALANCES	491,320	765,958	**********	823,585		823,585				823,585			
ENERAL REVENUES			**********										
PECIAL REVENUES			**********										
EDERAL FUNDS			**********										
TATE CENTRAL SERVICES FUND			**********										
ON-REVENUE RECEIPTS			**********										
ASH FUNDS	5,117,408	5,337,536	**********	4,424,564	975,923	5,400,487	5,266,726	133,761	5,400,487	5,400,487	5,400,487		
RANSFER TO ICC	(363,342)		**********										
OTAL FUNDING	5,245,386	6,103,494	**********	5,248,149	975,923	6,224,072	5,266,726	133,761	5,400,487	6,224,072	5,400,487		
CCESS APPRO/ (FUNDING)	(765,958)	(823,585)	**********		432,959	432,959		1,282,567	1,282,567	436,930	1,286,650		
OTAL	4,479,428	5,279,909	**********	5,248,149	1,408,882	6,657,031	5,266,726	1,416,328	6,683,054	6,661,002	6,687,137		

EPT 013 DEPARTMENT OF CORRECTION

GY 480 DEPARTMENT OF CORRECTION

PPRO B02 INMATE WELFARE -- CASH

UND 141 DEPT OF CORRECTION CASH(480)

APPROPRIATION SUMMARY

BR 215

ARKANSAS BUDGET SYSTEM
PROGRAM/SERVICE INFORMATION LIST
RANK BY APPROPRIATION

02	03	04	05	06	07	08	09	10	11	12	13	14	15	16	17	18	19
PROGRAM DESCRIPTION	FUND	ACCOUNTING INFORMATION	DES	EXPENDITURES ACTUAL 97-98	EXPENDITURES BUDGETED 98-99	1999-01 BIENNIUM REQUESTS FY 1999-00 REQUEST			1999-01 BIENNIUM REQUESTS FY 2000-01 REQUEST					RECOMMENDATIONS EXECUTIVE 1999-00	RECOMMENDATIONS EXECUTIVE 2000-01	RECOMMENDATIONS LEGISLATIVE 1999-00	RECOMMENDATIONS LEGISLATIVE 2000-01
	141	480 B02	B	4,479,428	5,279,909 28	5,248,149 28			5,266,726 28					5,269,030 28	5,288,176 28		
	141	480 B02 01A 1A SHARED ACCOUNTABLE COSTS	C02			750,000 0			750,000 0					750,000	750,000		
Additional operating expense for increases in resale merchandise for existing and expanded operations.																	
	141	480 B02 100 65 EAST ARKANSAS REGIONAL UNIT	C06			21,864 1			22,385 1					21,864 1	22,385 1		
Reauthorization of existing non-budgeted position needed for program expansion.																	

013 DEPARTMENT OF CORRECTION
480 DEPARTMENT OF CORRECTION
O B02 INMATE WELFARE -- CASH

141 DEPT OF CORRECTION CASH(480)

RANK BY APPROPRIATION

BR 264

ARKANSAS BUDGET SYSTEM
PROGRAM/SERVICE INFORMATION LIST
RANK BY APPROPRIATION

01 RANK	02 PROGRAM DESCRIPTION	03 FUND	04 ACCOUNTING INFORMATION	05 DES	06 EXPENDITURES ACTUAL 97-98	07 EXPENDITURES BUDGETED 98-99	08 1999-01 BIENNIUM REQUESTS FY 1999-00 REQUEST	09	10	11	12 FY 2000-01 REQUEST	13	14	15	16 RECOMMENDATIONS EXECUTIVE 1999-00	17 EXECUTIVE 2000-01	18 LEGISLATIVE 1999-00	19 LEGISLATIVE 2000-01
02		141	480 B02 100 90 NEW UNIT RESERVE	C06			620,108 12				626,576 12				620,108 12	626,576 12		
	Reauthorization of existing non-budgeted positions needed for flexibility in expanding programs.																	
03		141	480 B02 100 20 CUMMINS	C09			0 0				0 0							
	Career Ladder Incentive Program																	
03		141	480 B02 100 21 TUCKER	C09			1,005 0				1,031 0							
	Career Ladder Incentive Program																	

DEPT 013 DEPARTMENT OF CORRECTION
AGY 480 DEPARTMENT OF CORRECTION
PRO B02 INMATE WELFARE -- CASH

FUND 141 DEPT OF CORRECTION CASH(480)

RANK BY APPROPRIATION

BR 264

ARKANSAS BUDGET SYSTEM
PROGRAM/SERVICE INFORMATION LIST
RANK BY APPROPRIATION

02	03	04	05	06	07	08	09	10	11	12	13	14	15	16	17	18	19
PROGRAM DESCRIPTION	FUND	ACCOUNTING INFORMATION	D E S	EXPENDITURES ACTUAL 97-98	EXPENDITURES BUDGETED 98-99	1999 - 01 BIENNIUM REQUESTS FY 1999 - 00 REQUEST			1999 - 01 BIENNIUM REQUESTS FY 2000 - 01 REQUEST					RECOMMENDATIONS EXECUTIVE 1999-00	RECOMMENDATIONS EXECUTIVE 2000-01	RECOMMENDATIONS LEGISLATIVE 1999-00	RECOMMENDATIONS LEGISLATIVE 2000-01
	141	480 B02 100 22 PINE BLUFF WORK COMPLEX	C09			0			0								
						0			0								
Career Ladder Incentive Program																	
	141	480 B02 100 23 DIAGNOSTIC	C09			2,810			2,886								
						0			0								
Career Ladder Incentive Program																	
	141	480 B02 100 24 WRIGHTSVILLE	C09			0			0								
						0			0								
Career Ladder Incentive Program																	

013 DEPARTMENT OF CORRECTION
480 DEPARTMENT OF CORRECTION
O B02 INMATE WELFARE -- CASH

141 DEPT OF CORRECTION CASH(480)

RANK BY APPROPRIATION

BR 264

01 RANK	02 PROGRAM DESCRIPTION	03 FUND	04 ACCOUNTING INFORMATION	05 DES	06 EXPENDITURES ACTUAL 97-98	07 EXPENDITURES BUDGETED 98-99	08 1999-01 BIENNIUM REQUESTS FY 1999-00 REQUEST	09 10 11 12 13 14 15 FY 2000-01 REQUEST	16 17 RECOMMENDATIONS EXECUTIVE 1999-00	17 RECOMMENDATIONS EXECUTIVE 2000-01	18 RECOMMENDATIONS LEGISLATIVE 1999-00	19 RECOMMENDATIONS LEGISLATIVE 2000-01
13		141	480 B02 100 25 VARNER	C09			2,810 0	2,886 0				
	Career Ladder Incentive Program											
13		141	480 B02 100 27 MAXIMUM SECURITY	C09			2,810 0	2,886 0				
	Career Ladder Incentive Program											
13		141	480 B02 100 62 DELTA REGIONAL UNIT	C09			2,810 0	2,886 0				
	Career Ladder Incentive Program											

02	03	04	05	06	07	08	09	10	11	12	13	14	15	16	17	18	19
PROGRAM DESCRIPTION	FUND	ACCOUNTING INFORMATION	D E S	------EXPENDITURES------- ---ACTUAL--- 97-98	--BUDGETED-- 98-99	----------1999 - 01 BIENNIUM REQUESTS---------- ----------FY 1999 - 00---------- ----------REQUEST------			----------FY 2000 - 01---------- ----------REQUEST------					----------R E C O M M E N D A T I O N S---------- --------EXECUTIVE-------- 1999-00	2000-01	-------LEGISLATIVE------- 1999-00	2000-01
	141	480 B02 100 63 JEFFERSON REG. FACILITY	C09			0			0								
						0			0								
Career Ladder Incentive Program																	
	141	480 B02 100 65 EAST ARKANSAS REGIONAL UNIT	C09			4,665			4,792								
						0			0								
Career Ladder Incentive Program																	

013 DEPARTMENT OF CORRECTION
480 DEPARTMENT OF CORRECTION
B02 INMATE WELFARE -- CASH

141 DEPT OF CORRECTION CASH(480)

ARKANSAS BUDGET SYSTEM
ANALYSIS OF BUDGET REQUEST
1999 - 2001

This appropriation request is for Debt Service/Lease payments through the Arkansas Development Finance Authority for the bonded indebtedness or leases of regional correction facilities. Receipts into this account are transfers from work release cash funds, payments to the Department of Correction for the housing of county and city prisoners in regional facilities, or such other sources as required. The agency is requesting the Debt Service line item be reestablished at $200,000 each year of the 1999-2001 biennium.

The Executive Recommendation provides for the Agency Request.

AGENCY	APPROPRIATION	CASH FUND	ANALYSIS OF	PAGE
Name: Department of Correction	Name: Prisoner Housing Contract Account - Cash	Name: Regional Facilities Construction	BUDGET REQUEST	63
Code: 480	Code: B06	Code: 143	BR20	

01	02	03	04	05	06	07	08	09	10	11	12	13	14
CHARACTER TITLE	EXPENDITURES 97-98 ACTUAL	EXPENDITURES 98-99 BUDGETED	98-99 AUTHORIZED APPRO	99-00 FISCAL YEAR BASE	99-00 FISCAL YEAR CHANGE LEVEL	99-00 FISCAL YEAR TOTAL REQUEST	00-01 FISCAL YEAR BASE	00-01 FISCAL YEAR CHANGE LEVEL	00-01 FISCAL YEAR TOTAL REQUEST	RECOMMENDATIONS EXECUTIVE 99-00	RECOMMENDATIONS EXECUTIVE 00-01	RECOMMENDATIONS LEGISLATIVE 99-00	RECOMMENDATIONS LEGISLATIVE 00-01
SERVICE	0	0	200,000	0	200,000	200,000	0	200,000	200,000	200,000	200,000		
L	0	0	200,000	0	200,000	200,000	0	200,000	200,000	200,000	200,000		
PROPOSED FUNDING SOURCES			**********										
BALANCES			**********										
RAL REVENUES			**********										
IAL REVENUES			**********										
RAL FUNDS			**********										
E CENTRAL SERVICES FUND			**********										
REVENUE RECEIPTS			**********										
FUNDS			**********		200,000	200,000		200,000	200,000	200,000	200,000		
R			**********										
L FUNDING			**********		200,000	200,000		200,000	200,000	200,000	200,000		
SS APPRO/ (FUNDING)			**********										
L			**********		200,000	200,000		200,000	200,000	200,000	200,000		

013 DEPARTMENT OF CORRECTION

480 DEPARTMENT OF CORRECTION

0 B06 PRISONER HOUSING CONTRACT ACCOUNT -- CASH

143 REGIONAL FACILITIES CONSTRUCTION-(480)

APPROPRIATION SUMMARY

BR 215

PROGRAM/SERVICE INFORMATION LIST

RANK BY APPROPRIATION

01 RANK	02 PROGRAM DESCRIPTION	03 FUND	04 ACCOUNTING INFORMATION	05 DES	06 EXPENDITURES ACTUAL 97-98	07 EXPENDITURES BUDGETED 98-99	08 1999-01 BIENNIUM REQUESTS FY 1999-00 REQUEST	09	10	11	12 FY 2000-01 REQUEST	13	14	15	16 RECOMMENDATIONS EXECUTIVE 1999-00	17 EXECUTIVE 2000-01	18 LEGISLATIVE 1999-00	19 LEGISLATIVE 2000-01
01		143	480 B06 01A 1A SHARED ACCOUNTABLE COSTS	C06			200,000				200,000				200,000	200,000		
							0				0							

As required by financier, this request will provide appropriation in the event debt service provided by ADFA is not sufficient.

EPT 013 DEPARTMENT OF CORRECTION

GY 480 DEPARTMENT OF CORRECTION

PPRO B06 PRISONER HOUSING CONTRACT ACCOUNT -- CASH

UND 143 REGIONAL FACILITIES CONSTRUCTION-(480)

ARKANSAS BUDGET SYSTEM
ANALYSIS OF BUDGET REQUEST
1999 - 2001

This appropriation is for Debt Service/Lease payments through the Arkansas Development Finance Authority for the bonded indebtedness or leases of regional correction facilities. Receipts into this account are transfers from work release cash funds, payments to the Department of Correction for the housing of county and city prisoners in regional facilities, or such other sources as required. The agency is requesting the Debt Service line item be re-established at $500,000 each year of the 1999-2001 biennium.

The Executive Recommendation provides for the Agency Request.

01	02	03	04	05	06	07	08	09	10	11	12	13	14
CHARACTER TITLE	------EXPENDITURES------- 97-98 ACTUAL	98-99 BUDGETED	98-99 AUTHORIZED APPRO	----------99-00 FISCAL YEAR---------- BASE	CHANGE LEVEL	TOTAL REQUEST	----------00-01 FISCAL YEAR---------- BASE	CHANGE LEVEL	TOTAL REQUEST	----------R E C O M M E N D A T I O N S---------- EXECUTIVE 99-00	00-01	LEGISLATIVE 99-00	00-01
BT SERVICE	0	0	500,000	0	500,000	500,000	0	500,000	500,000	500,000	500,000		
TAL	0	0	500,000	0	500,000	500,000	0	500,000	500,000	500,000	500,000		
PROPOSED FUNDING SOURCES			**********										
ND BALANCES			**********										
NERAL REVENUES			**********										
CIAL REVENUES			**********										
DERAL FUNDS			**********										
TATE CENTRAL SERVICES FUND			**********										
N-REVENUE RECEIPTS			**********										
SH FUNDS			**********		500,000	500,000		500,000	500,000	500,000	500,000		
HER			**********										
TAL FUNDING			**********		500,000	500,000		500,000	500,000	500,000	500,000		
CESS APPRO/ (FUNDING)			**********										
TAL			**********		500,000	500,000		500,000	500,000	500,000	500,000		

PT 013 DEPARTMENT OF CORRECTION

GY 480 DEPARTMENT OF CORRECTION

PRO C02 CONSTRUCTION FUND DEFICIENCY ACCOUNT -- CASH

ND 143 REGIONAL FACILITIES CONSTRUCTION-(480)

APPROPRIATION SUMMARY

BR 215

ARKANSAS BUDGET SYSTEM
PROGRAM/SERVICE INFORMATION LIST
RANK BY APPROPRIATION

02 PROGRAM DESCRIPTION	03 FUND	04 ACCOUNTING INFORMATION	05 DES	06 EXPENDITURES ACTUAL 97-98	07 EXPENDITURES BUDGETED 98-99	08 1999-01 BIENNIUM REQUESTS FY 1999-00 REQUEST	09	10	11 FY 2000-01 REQUEST	12	13	14	15	16 RECOMMENDATIONS EXECUTIVE 1999-00	17 EXECUTIVE 2000-01	18 LEGISLATIVE 1999-00	19 LEGISLATIVE 2000-01
	143	480 C02 01A 1A SHARED ACCOUNTABLE COSTS	C06			500,000			500,000					500,000	500,000		
						0			0								

As required by financier, this request will provide appropriation in the event debt service provided by ADFA is not sufficient.

013 DEPARTMENT OF CORRECTION
480 DEPARTMENT OF CORRECTION
C02 CONSTRUCTION FUND DEFICIENCY ACCOUNT -- CASH

143 REGIONAL FACILITIES CONSTRUCTION-(480)

RANK BY APPROPRIATION

BR 264

The Work Release Center appropriation provides most of the Maintenance and General Operation costs of each of the Work Release Centers. Personnel costs of each center are paid from general revenue in the Inmate Care and Custody appropriation (509). This cash funded appropriation is supported with the payments made by each inmate who participates in the Work Release Program.

Change Level requests include: 1) Operating costs associated with the 50 bed expansion of the Mississippi County Work Release Center ($392,671/$164,771); 2) The Debt Service line item is requested to be reauthorized at $500,000 each year; 3) New and replacement equipment requests, primarily for vehicles; and 4) Contingency appropriation is requested in various line items in the event of a general revenue shortfall, $3,070,000.

The Executive Recommendation provides for the Agency Request.

01	02	03	04	05	06	07	08	09	10	11	12	13	14
CHARACTER TITLE	------EXPENDITURES------- 97-98 ACTUAL	98-99 BUDGETED	98-99 AUTHORIZED APPRO	----------99-00 FISCAL YEAR---------- BASE	CHANGE LEVEL	TOTAL REQUEST	----------00-01 FISCAL YEAR---------- BASE	CHANGE LEVEL	TOTAL REQUEST	----------RECOMMENDATIONS---------- EXECUTIVE 99-00	EXECUTIVE 00-01	LEGISLATIVE 99-00	LEGISLATIVE 00-01
SONAL SERV MATCHING	0	0	300,000	0	800,000	800,000	0	800,000	800,000	800,000	800,000		
RATING EXPENSES	933,505	1,267,559	1,694,149	1,267,559	1,160,571	2,428,130	1,267,559	1,162,671	2,430,230	2,428,130	2,430,230		
F FEES & TRAVEL	3,080	5,484	5,484	5,484	22,100	27,584	5,484	22,100	27,584	27,584	27,584		
F FEES & SERVICES	0	0	500,000	0	1,000,000	1,000,000	0	1,000,000	1,000,000	1,000,000	1,000,000		
ITAL OUTLAY	360,377	566,489	566,489	0	980,000	980,000	0	750,000	750,000	980,000	750,000		
A PROCESSING	0	0	100,000	0	250,000	250,000	0	250,000	250,000	250,000	250,000		
T SERVICE	0	0	500,000	0	500,000	500,000	0	500,000	500,000	500,000	500,000		
AL	1,296,962	1,839,532	3,666,122	1,273,043	4,712,671	5,985,714	1,273,043	4,484,771	5,757,814	5,985,714	5,757,814		
PROPOSED FUNDING SOURCES			**********										
D BALANCES	1,638,373	2,400,941	**********	1,273,043	1,378,330	2,651,373				2,651,373			
ERAL REVENUES			**********										
CIAL REVENUES			**********										
ERAL FUNDS			**********										
TE CENTRAL SERVICES FUND			**********										
-REVENUE RECEIPTS			**********										
H FUNDS	2,059,530	2,089,964	**********		2,089,964	2,089,964	1,273,043	816,921	2,089,964	2,089,964	2,089,964		
ER			**********										
AL FUNDING	3,697,903	4,490,905	**********	1,273,043	3,468,294	4,741,337	1,273,043	816,921	2,089,964	4,741,337	2,089,964		
ESS APPRO/ (FUNDING)	(2,400,941)	(2,651,373)	**********		1,244,377	1,244,377		3,667,850	3,667,850	1,244,377	3,667,850		
AL	1,296,962	1,839,532	**********	1,273,043	4,712,671	5,985,714	1,273,043	4,484,771	5,757,814	5,985,714	5,757,814		

T 013 DEPARTMENT OF CORRECTION
480 DEPARTMENT OF CORRECTION
RO D02 WORK RELEASE CENTER -- CASH

ID 141 DEPT OF CORRECTION CASH(480)

APPROPRIATION SUMMARY

BR 215

01 RANK	02 PROGRAM DESCRIPTION	03 FUND	04 ACCOUNTING INFORMATION	05 DES	06 EXPENDITURES ACTUAL 97-98	07 EXPENDITURES BUDGETED 98-99	08 1999-01 BIENNIUM REQUESTS FY 1999-00 REQUEST	09	10	11	12 FY 2000-01 REQUEST	13	14	15	16 RECOMMENDATIONS EXECUTIVE 1999-00	17 EXECUTIVE 2000-01	18 LEGISLATIVE 1999-00	19 LEGISLATIVE 2000-01
10		141	480 D02	B	1,296,962	1,839,532	1,273,043				1,273,043				1,273,043	1,273,043		
							0				0							
01		141	480 D02 090 30 MISSISSIPPI COUNTY W/R	C02			392,671				164,771				392,671	164,771		
							0				0							
12		141	480 D02 01A 1A SHARED ACCOUNTABLE COSTS	C06			4,070,000				4,070,000				4,070,000	4,070,000		
							0				0							

Request for operating expenses to support positions requested for 50-bed expansion in 509 - Rank 14.

As required by financier, this request will provide appropriation of $500,000 each fiscal year to be used in the event debt service provided by ADFA is not sufficient. In addition, unfunded operating appropriation is requested to be used in the event of a general revenue shortfall or other unforeseen needs.

ARKANSAS BUDGET SYSTEM

PROGRAM/SERVICE INFORMATION LIST

RANK BY APPROPRIATION

02	03	04	05	06	07	08	09	10	11	12	13	14	15	16	17	18	19
						1999 - 01 BIENNIUM REQUESTS								RECOMMENDATIONS			
			D	EXPENDITURES		FY 1999 - 00					FY 2000 - 01						
PROGRAM DESCRIPTION	FUND	ACCOUNTING INFORMATION	E S	ACTUAL 97-98	BUDGETED 98-99	REQUEST					REQUEST			EXECUTIVE 1999-00	EXECUTIVE 2000-01	LEGISLATIVE 1999-00	LEGISLATIVE 2000-01
	141	480 D02 01A 1A SHARED ACCOUNTABLE COSTS	C08			250,000					250,000			250,000	250,000		
						0					0						

Unfunded operating appropriation for needs related to the technology plan to be used in the event of a general revenue shortfall or unforeseen needs.

ARKANSAS BUDGET SYSTEM
ANALYSIS OF BUDGET REQUEST
1999 - 2001

This request is for a new 1,200 bed Special Needs Facility. The 98 positions and associated general revenue funded operating support of $2,705,384 provides for the first 200 beds to be operational in the last 6 month of the 2001 fiscal year. This facility would allow the Department to expand its diagnostic and intake capabilities, house special needs inmates in one location, and provide 400 additional medium/maximum security beds. The Department is proposing that the facility be located in the central Arkansas area in order to improve access for sheriffs, to be close to major medical facilities, and to reduce transportation/security costs.

If approved, the agency requests that the appropriation be merged into the Inmate Care and Custody appropriation (509) for the purpose of expenditure during the 1999-2001 biennium.

The Executive Recommendation does not provide for this request.

01	02	03	04	05	06	07	08	09	10	11	12	13	14
CHARACTER TITLE	EXPENDITURES 97-98 ACTUAL	EXPENDITURES 98-99 BUDGETED	98-99 AUTHORIZED APPRO	99-00 FISCAL YEAR BASE	99-00 FISCAL YEAR CHANGE LEVEL	99-00 FISCAL YEAR TOTAL REQUEST	00-01 FISCAL YEAR BASE	00-01 FISCAL YEAR CHANGE LEVEL	00-01 FISCAL YEAR TOTAL REQUEST	RECOMMENDATIONS EXECUTIVE 99-00	RECOMMENDATIONS EXECUTIVE 00-01	RECOMMENDATIONS LEGISLATIVE 99-00	RECOMMENDATIONS LEGISLATIVE 00-01
LAR SALARIES	0	0	0	0	0	0	0	1,287,749	1,287,749	0	0		
BER OF POSITIONS	0	0	0	0	0	0	0	98	98	0	0		
ONAL SERV MATCHING	0	0	0	0	0	0	0	448,254	448,254	0	0		
ATING EXPENSES	0	0	0	0	0	0	0	510,065	510,065	0	0		
FEES & TRAVEL	0	0	0	0	0	0	0	3,600	3,600	0	0		
FEES & SERVICES	0	0	0	0	0	0	0	193,400	193,400	0	0		
TAL OUTLAY	0	0	0	0	0	0	0	256,916	256,916	0	0		
PROCESSING	0	0	0	0	0	0	0	5,400	5,400	0	0		
L	0	0	0	0	0	0	0	2,705,384	2,705,384	0	0		
PROPOSED FUNDING SOURCES			**********										
BALANCES			**********										
RAL REVENUES			**********					2,705,384	2,705,384				
IAL REVENUES			**********										
RAL FUNDS			**********										
E CENTRAL SERVICES FUND			**********										
REVENUE RECEIPTS			**********										
FUNDS			**********										
R			**********										
L FUNDING			**********					2,705,384	2,705,384				
SS APPRO/ (FUNDING)			**********										
L			**********					2,705,384	2,705,384				

013 DEPARTMENT OF CORRECTION

480 DEPARTMENT OF CORRECTION

O SNF NEW SPECIAL NEEDS FACILITY - 1200 BEDS

) HCA DEPT OF CORRECT-CARE & CUSTODY-(480)

APPROPRIATION SUMMARY

BR 215

PROGRAM/SERVICE INFORMATION LIST

RANK BY APPROPRIATION

01	02	03	04	05	06	07	08	09	10	11	12	13	14	15	16	17	18	19
							1999 - 01 BIENNIUM REQUESTS								RECOMMENDATIONS			
					EXPENDITURES		FY 1999 - 00					FY 2000 - 01			EXECUTIVE		LEGISLATIVE	
RANK	PROGRAM DESCRIPTION	FUND	ACCOUNTING INFORMATION	DES	ACTUAL 97-98	BUDGETED 98-99	REQUEST					REQUEST			1999-00	2000-01	1999-00	2000-01
01		HCA	480 SNF SNF 01 NEW SPECIAL NEEDS FACILITY - 1200 BEDS	C01			0 0					2,705,384 98			0 0	0 0		

ADC is requesting authorization for a new 1,200 bed Special Needs Facility which if constructed would provide approximately 200 beds during the remaining six months of the second year of the biennium. The SNF would enable ADC to expand diagnostic and intake capabilities, house special needs inmates (medical/HIV, mental health, and geriatric) in one location and provide approximately 400 additional medium/maximum security beds.

ARKANSAS BUDGET SYSTEM
ANALYSIS OF BUDGET REQUEST
1999 - 2001

The Department of Correction is responsible for administration of an efficient and humane system of correction for individuals committed to the Department by the courts for incarceration. In addition to providing for basic physiological and psychological needs of inmates, the Agency operates extensive farming, industry, work release programs, inmate welfare activities, pen stores, and maintenance and construction activities. Cash and Special Revenues produced by these and other auxiliary activities allow the Department wide discretion in planning and operating new and expanded inmate care and custody programs as well as construction projects.

With the creation of the Department of Community Punishment and the Post Prison Transfer Board, the Agency no longer manages pardons and parole services. Pursuant to legislation in 1993, the Board of Correction now exists as the Board of Correction and Community Punishment which is comprised of seven members: five citizen members, the chairperson of the Post Prison Transfer Board and one member of a criminal justice faculty. Each member serves a seven year term.

The Agency is organized into eleven administrative areas. These areas are listed below with their percentage of the total FY99 budget.

Department of Correction Program Area	% of Total Budget
Administration	7.17%
County Jail Reimbursements	2.42%
Health Management	13.59%
Institutional Services	49.71%
Private Prisons	6.66%
Maintenance & Construction	2.08%
Industry Programs	3.40%
Farm Operations	6.16%
Work Release	3.49%
Inmate Welfare	2.91%
Federal Programs	.47%
Non-Tax Revenue Receipts	1.94%

Administration and Health Management programs provide overall supervision of the Agency and provide comprehensive health care for inmates housed at the facilities. Institutional Services encompass all persons incarcerated at the Department of Correction. The Maintenance and Construction operation provides for maintenance and construction of the various facilities within the Department. The Industry and Farm operations contribute to the rehabilitation of the inmates and provide food for institutional services. Work Release provides for freeworld work programs for inmates to make the transition back into the community. The Inmate Welfare and Federal Programs sponsor chaplaincy services, education, mental health services, and vocational education.

Funding for Inmate Care and Custody (ICC) has historically been from General Revenue and comprises 4.98% of all State General Revenues and 53.17% of the State General Government Fund. This percentage and amount of funding has grown dramatically over the past 10 years. In 1989 the percentage share was 2.88%. Included in the Agency's operating budget for FY99 is $4,395,000 for payment to counties who house inmates through contractual agreements, as well as reimbursements to jails for holding state inmates. Additional Jail Contract/Reimbursement costs are now from General Revenue instead of the General Improvement Fund as in previous bienniums. The amount provided in FY98 was $11,060,265. The line item allows for the expenditure of both contracts and reimbursements. In FY98 the total expenditures for contracts were $2,132,250 and expenditures for Jail Reimbursements was $8,928,015.

The Department operates extensive income generating Farm and Industry operations. The amounts of Special Revenues generated by the Farm and Industry programs above what is obligated for the bonded indebtedness of new facilities, help support and expand Industry and Farm operations and occasionally, programs operated in the Inmate Care and Custody appropriation. The Farm operation currently receives a $3.6 million per year loan from the Budget Stabilization Trust Fund which may be forgiven at the end of the year upon certification of an equivalent amount of farm-produced food consumed by inmates.

Work Release is a significant part of the Department of Correction. Fees collected from Work Release participants generally cover only the M&O and Capital Outlay costs of that program. Cash generated by Pen Store operations help offset costs of this program. Other Miscellaneous funds traditionally certified for ICC are receipts for various programs such as Child Nutrition, JTPA, veterans Administration, refunds to expenditures, utility reimbursements, substance abuse grant (ADAP), tuberculosis grant from the Department of Health, etc.

The opening of the Jefferson and Delta Regional Jail Units in FY92 provided a new fund source for the Department. Legislation specifies that fees collected from contracting with counties for an agreed upon number of beds is to be deposited in the "Regional Facilities Operation Account" and used for payment of debt service on, or operation of, regional facilities. The operation of these facilities is funded within the Inmate Care and Custody appropriation with some of the cost defrayed by a transfer of the cash funds to the Inmate Care and

Custody Fund.

Funding provided to the Inmate Care and Custody Fund from Cash Funds in the 1998 fiscal year amounted to $363,342. The use of cash and special revenue income for ICC operations allows the Agency flexibility in preparing fiscal year budgets to meet the contingencies of explosive population growth. If allowed to drive significant increases in the budget, however, this practice can result in eventual replacement with General Revenue.

Since FY81, General Revenue support for the Department of Correction has grown from $13.3 million to a base of approximately $159.3 million in FY01. This growth has been driven by an equivalent explosion in the inmate population growth increasing from 2,974 in 1981 to 10,710 in FY98. Interestingly, the inflation adjusted percentage in dollar costs of the Department have increased over this period of time by 591%, while the population has increased 260%. Obviously, these costs of incarcerating individuals have grown dramatically as a result of court ordered service and quality of care issues.

Assuming no changes are made in existing incarceration rates or release mechanisms, the Department is projecting a growth rate of 4.9% per year or 46 inmates per month during each year of the next biennium. This rate of growth was based upon the historical average of the last 10 years.

Actual per month growth rates for some preceding fiscal years were as follows: 62 (1998), 12 (1997), 62 (1996), 4 (1995), and 27 (1994). The extremely low growth in FY95 is primarily due to the transfer of inmates from the Department of Correction to the Department of Community Punishment as those beds began opening.

In the 1998 fiscal year the Sentencing Commission, Department of Correction, and Department of Community Punishment jointly contracted with the National Council on Crime and Delinquency (NCCD) to: (1) utilize a simulation model to project adult inmate population, (2) issue a 10 year forecast of adult inmate population, (3) provide training to state personnel in the use of the simulation model, and (4) utilize the simulation model to assess likely impacts of proposed law and policy changes on capacity needs. The Projections of the National Council on Crime and Delinquency (NCCD) are based on data from the Department of Correction for the 1998 fiscal year. The projections assume that severity levels of crimes in future years will be the same as the sample year. The projections also make a number of assumptions as to the impact of the sentencing grid, the rate of transfer at transfer eligibility, parole revocations, etc.

In a comparison of the population projection by the Department of Correction with the projection by the NCCD, the projections are very close, with the Department of Correction projecting an inmate population of 12,407 at the end of the 2001 fiscal year and the NCCD projecting a population 12,139, for a variance of only 268 at the end of the biennium.

There are currently 528 beds that are in the process of being brought on line. In the 1999 fiscal year, 216 beds will be on line at the East Arkansas Regional Unit , and in each of the next two fiscal years 156 beds will be added at the Varner Unit.

The 1999-2001 biennial budget request for the Department of Correction totals $210,641,212 in FY00 and $224,043,766 in FY01. The FY00 request is 15.9% above the FY99 budget and the FY01 request is 6.4% above the FY00 request. The General Revenue funding component of the request is $167,320,881 in FY00 and $181,499,028 in FY01and represent increases of 11.6% in FY00 over FY99 budgeted and 8.5% in FY01 over FY00.

The following is a summary of the major components of the Inmate Care and Custody biennial request with amounts for each fiscal year of the biennium respectively.

- Provide for contractual rate increases for private prisons ($359,160/$727,080) and medical services ($526,930/$1,042,415).
- Provide operational support including 79 positions in FY00 and 115 positions in FY01 for an additional 156 maximum security beds to be opened at Varner each of the next two years, ($3,339,117/$5,551,448).
- Additional County Jail Reimbursement cost based on inmate population projection, ($613,975/$4,227,475).
- An addition to the private prison contract of 200 beds for women in FY01, ($0/$2110,430).
- Equipment replacement and new equipment for various units, ($1,761,748/$1,230,211).
- Unfunded appropriation for a position pool for managerial flexibility and staffing standards needed at various units, ($2,836,380/$2,907,254).
- Positions and associated costs, Reclass and Upgrades, and Career Ladder Incentive Program requests for Administration, Health Management, Institutional Services, Maintenance and Construction, and Work Release, ($3,989,398/$4,633,613).

Also not contained in the Inmate Care and Custody appropriation is an additional request for a new 1,200 bed Special Needs Facility which is being requested in the agency's Capital Projects Request. The operating request for this unit has been made in a separate appropriation within the overall agency request and would provide for 200 of the beds to be operational in the last six months of the

2001 fiscal year. To operate the 200 beds in FY01 would require an additional 98 positions and supporting operating costs of $2,705,384 in the 2001 fiscal year (See appropriation SNF).

Detailed descriptions of the Department's change level requests can be found in the Rank by Appropriation (BR264) documents included in this manual.

The Executive Recommendation provides for Base Level which includes appropriation for a 2.8% cost of living allowance for positions on July 1 of each year of the biennium along with accompanying employee matching requirements. The Executive Recommendation does not provide for reclassification and upgrade requests. The agency worked with the DFA Office of Personnel Management to develop a Career Ladder Incentive Program (CLIP) for Correctional Officer I's and Correctional Officer II's. In an effort to address the critical turnover problem of the agency, the Executive Recommendation provides for a CLIP level for these positions which may be utilized for promoting employees upon completion of experience and competency based criteria required under the program during the biennium. The Executive Recommendation also addressed the CLIP levels that were requested by the agency and may in some instances reflect an adjustment to the requested CLIP level for certain positions.

The Executive Recommendation also provides for requested change levels with amounts for each fiscal year of the biennium as follows:

1) Provide for contractual rate increases for private prisons ($359,160/$727,080) and medical services ($526,930/$1,042,415).
2) Provide operational support including 79 positions in FY00 and 115 positions in FY01 for an additional 156 maximum security beds to be opened at Varner each of the next two years, ($3,339,117/$5,551,448).
3) Additional County Jail Reimbursement cost based on inmate population projection, ($613,975/$4,227,475).
4) An addition to the private prison contract of 200 beds for women in FY01, ($0/$2110,430).
5) Equipment replacement and new equipment department wide, ($1,000,000 each year)
6) Five additional positions and associated operating costs for a 22 bed isolation unit at the North Central Unit, ($129,830/$132,980).
7) An additional 71 positions security positions at various units for critical security post staffing with an appropriate relief factor, ($1,843,586/$1,888,316).
8) An additional 8 positions and associated costs for regional maintenance work crew staffing at Benton and East Arkansas units, ($304,762/$255,776).
9) Two (2) positions for the Texarkana Regional Correction Center for transportation needs, ($61,338/$62,862).

10) One (1) position and associated operating costs to coordinate the Victim Information Notification Everyday (VINE) program for the Department of Correction, ($41,954/$42,988).
11) An additional 16 positions and associated operating costs for a 50 bed expansion of the Mississippi County Work Release Center, ($676,949/$690,870).
12) Unfunded appropriation for a position pool (90) for managerial flexibility and staffing standards needs at various units (4), ($2,836,380/$2,907,254).

To address these programs the Executive Recommendation provides additional General Revenue funding above the Base Level in the amounts of $4,977,908 in FY2000 and $12,017,659 in FY2001. The funding recommendation assumes an adjustment in the amount that will be required for County Jail Reimbursements. A lag factor has been assumed for additional beds coming on line at Varner. Overtime savings and partial funding is taken into account in the recommendation for critical posts staffing. Capital Outlay appropriation is recommended without funding.

In addition to the recommendations for operations, the requests for major capital equipment purchases are recommended to be funded from the General Improvement Fund in the amount of $2,991,959.

These recommendations will provide operating support for a bed capacity of 11,738. Bed capacity includes: current rated capacity, (10,208); temporary beds, (434); bed expansion in progress at the East Arkansas Regional Unit, (216), bed expansion in progress at Varner, (312); Act 309 contracts with county jails, (260); inmates assigned to the State Police and Law Enforcement Training Academy, (58); and operations for new beds in the 1999-2001 biennium, (250). With this bed capacity, based upon the population projections of the Department of Correction, there may be a county jail backup at the end of the 2001 fiscal year of 669. Based upon the population projections of the National Council on Crime and Delinquency (NCCD) there may be a county jail backup at the end of the 2001 fiscal year of 401. The county jail backup on October 9, 1998 was 196.

01	02	03	04	05	06	07	08	09	10	11	12	13	14
CHARACTER TITLE	------EXPENDITURES------- 97-98 ACTUAL	98-99 BUDGETED	98-99 AUTHORIZED APPRO	----------99-00 FISCAL YEAR---------- BASE	CHANGE LEVEL	TOTAL REQUEST	----------00-01 FISCAL YEAR---------- BASE	CHANGE LEVEL	TOTAL REQUEST	----------RECOMMENDATIONS---------- EXECUTIVE 99-00	EXECUTIVE 00-01	LEGISLATIVE 99-00	LEGISLATIVE 00-01
GULAR SALARIES	68,580,012	72,771,953	73,810,310	75,967,907	6,238,718	82,206,625	78,095,053	7,144,785	85,239,838	82,968,059	86,093,527		
UMBER OF POSITIONS	2,947	2,981	3,044	2,981	286	3,267	2,981	322	3,303	3,259	3,295		
TRA HELP	62,145	90,000	90,000	90,000	0	90,000	90,000	0	90,000	90,000	90,000		
UMBER OF POSITIONS	10	120	120	120	0	120	120	0	120	120	120		
RSONAL SERV MATCHING	19,031,788	21,406,214	23,234,163	24,539,321	2,136,314	26,675,635	24,953,555	2,428,848	27,382,403	26,798,796	27,522,097		
ERTIME	2,601,022	550,000	900,000	550,000	0	550,000	550,000	0	550,000	550,000	550,000		
TRA SALARIES	9,000	13,522	13,522	13,522	0	13,522	13,522	0	13,522	13,522	13,522		
ERATING EXPENSES	19,417,621	21,499,188	20,499,188	21,499,188	1,245,856	22,745,044	21,499,188	2,723,874	24,223,062	22,497,330	23,352,574		
NF FEES & TRAVEL	79,178	89,224	89,224	89,224	6,300	95,524	89,224	10,100	99,324	93,024	96,824		
OF FEES & SERVICES	22,789,384	31,022,890	32,899,743	31,022,890	1,273,782	32,296,672	31,022,890	4,580,033	35,602,923	32,296,672	35,602,923		
PITAL OUTLAY	1,332,615	200,000	1,000,000	0	1,900,663	1,900,663	0	1,295,311	1,295,311	1,113,380	1,058,100		
TA PROCESSING	725,228	762,094	762,094	762,094	11,100	773,194	762,094	19,500	781,594	773,194	781,594		
D SCHOOL	517,948	70,448	70,448	70,448	0	70,448	70,448	0	70,448	70,448	70,448		
IL CONTRACTS/REIMBURSEM	11,060,265	4,395,000	7,860,275	4,395,000	613,975	5,008,975	4,395,000	4,227,475	8,622,475	5,008,975	8,622,475		
TAL	146,206,206	152,870,533	161,228,967	158,999,594	13,426,708	172,426,302	161,540,974	22,429,926	183,970,900	172,273,400	183,854,084		
PROPOSED FUNDING SOURCES			**********										
ND BALANCES			**********										
NERAL REVENUES	145,728,320	149,905,233	**********	156,786,025	10,534,856	167,320,881	159,327,405	19,466,239	178,793,644	161,763,933	171,345,064		
ECIAL REVENUES			**********										
DERAL FUNDS			**********										
ATE CENTRAL SERVICES FUND	(1,073,536)		**********										
N-REVENUE RECEIPTS		751,731	**********										
SH FUNDS	363,342	913,569	**********	913,569		913,569	913,569		913,569	913,569	913,569		
SCELLANEOUS RECEIPTS	1,188,080	1,300,000	**********	1,300,000		1,300,000	1,300,000		1,300,000	1,300,000	1,300,000		
TAL FUNDING	146,206,206	152,870,533	**********	158,999,594	10,534,856	169,534,450	161,540,974	19,466,239	181,007,213	163,977,502	173,558,633		
CESS APPRO/ (FUNDING)			**********		2,891,852	2,891,852		2,963,687	2,963,687	8,295,898	10,295,451		
TAL	146,206,206	152,870,533	**********	158,999,594	13,426,708	172,426,302	161,540,974	22,429,926	183,970,900	172,273,400	183,854,084		

PT 013 DEPARTMENT OF CORRECTION
Y 480 DEPARTMENT OF CORRECTION
PRO 509 INMATE CARE AND CUSTODY

ND HCA DEPT OF CORRECT-CARE & CUSTODY-(480)

APPROPRIATION SUMMARY

BR 215

Various Maintenance and General Operation line items may be greater than the authorized appropriation amounts due to reclassification transfers processed in FY99.

01 RANK	02 PROGRAM DESCRIPTION	03 FUND	04 ACCOUNTING INFORMATION	05 DES	06 EXPENDITURES ACTUAL 97-98	07 EXPENDITURES BUDGETED 98-99	08 1999-01 BIENNIUM REQUESTS FY 1999-00 REQUEST	09 10 11 12 13 14	15 FY 2000-01 REQUEST	16 RECOMMENDATIONS EXECUTIVE 1999-00	17 RECOMMENDATIONS EXECUTIVE 2000-01	18 RECOMMENDATIONS LEGISLATIVE 1999-00	19 RECOMMENDATIONS LEGISLATIVE 2000-01
10		HCA	480 509	B	146,206,206 2,947	152,870,533 2,981	158,999,594 2,981		161,540,974 2,981	160,009,024 2,981	162,576,908 2,981		
01		HCA	480 509 020 15 MEDICAL & DENTAL	C01			526,930 0		1,042,415 0	526,930	1,042,415		

An incremental increase each year of the biennium is requested to provide for medical services for the current population according to contract provisions. The contract rate will increase from $152.44 per inmate per month in FY99 to $156.85 per inmate per month in FY00 (2.89%) and to $161.16 per inmate per month in FY01 (2.75%).

01		HCA	480 509 035 10 PRIVATE PRISONS - 1200 BEDS	C01			359,160 0		727,080 0	359,160	727,080		

An incremental increase each year of the biennium is requested to provide for operation of two 600-bed private prisons according to contract provisions. The contract rate will increase an amount equivalent to salary increases provided to ADC employees not to exceed a maximum of 3% each year of the biennium. The current contract rate of $27.25 per inmate per day is expected to increase to $28.07 per inmate per day in FY00 and to $28.91 per inmate per day in FY01.

ARKANSAS BUDGET SYSTEM
PROGRAM/SERVICE INFORMATION LIST
RANK BY APPROPRIATION

02 PROGRAM DESCRIPTION	03 FUND	04 ACCOUNTING INFORMATION	05 D E S	06 EXPENDITURES ACTUAL 97-98	07 EXPENDITURES BUDGETED 98-99	08 1999-01 BIENNIUM REQUESTS FY 1999-00 REQUEST	09 10 11 12 13 14 15 1999-01 BIENNIUM REQUESTS FY 2000-01 REQUEST	16 RECOMMENDATIONS EXECUTIVE 1999-00	17 RECOMMENDATIONS EXECUTIVE 2000-01	18 RECOMMENDATIONS LEGISLATIVE 1999-00	19 RECOMMENDATIONS LEGISLATIVE 2000-01
	HCA	480 509 031 25 VARNER	C02			3,339,117 115	5,551,448 115	3,503,842 79	5,813,078 115		

312 maximum security beds are under construction and scheduled for completion during the FY99-01 biennium. The first 156 beds are anticipated to be on line July 1, 1999 and the second 156 beds by July 1, 2000. A final 156 will not be available until the following biennium. This change level would provide positions (79 in FY00 and 115 in FY01) and operational costs for the bed expansion.

	HCA	480 509 01C 1C COUNTY REIMBURSEMENT	C01			613,975 0	4,227,475 0	613,975	4,227,475		

An incremental increase each year of the biennium is requested for payment of county jail reimbursements was calculated on the basis of 1) current and planned bed capacity which includes 216 beds at East Arkansas to be on line by March of 1999 and 312 beds at Varner, 156 to be on line by July each year of the new biennium, 2) projected population growth of 46 per month based on a ten-year average, and 3) no increase in reimbursement rate which is currently $25 per day. Any new bed initiatives appropriated would reduce the request accordingly.

	HCA	480 509 035 10 PRIVATE PRISONS - 1200 BEDS	C02			0 0	2,110,430 0		2,110,430		

A 200-bed expansion of the existing women's facility operated by a private contractor is proposed to provide space for projected inmate growth. Capacity for women at July 1 was 662, including boot camp and work release operated by ADC, and the population totaled 656. The request would provide for contract cost for operation of the beds in the second year of the biennium and would be contingent on funding for construction of the expansion.

01 RANK	02 PROGRAM DESCRIPTION	03 FUND	04 ACCOUNTING INFORMATION	05 DES	06 EXPENDITURES ACTUAL 97-98	07 EXPENDITURES BUDGETED 98-99	08 1999-01 BIENNIUM REQUESTS FY 1999-00 REQUEST	09	10	11	12	13 FY 2000-01 REQUEST	14	15	16 RECOMMENDATIONS EXECUTIVE 1999-00	17 EXECUTIVE 2000-01	18 LEGISLATIVE 1999-00	19 LEGISLATIVE 2000-01
105		HCA	480 509 032 34 WAREHOUSE STOCK	C01			241,214					863,488						
							0					0						
	An incremental increase each year of the biennium is requested to provide for projected inflationary costs for food and supplies as well as for costs of providing for additional inmates due to unit expansions.																	
106		HCA	480 509 01A 1A SHARED ACCOUNTABLE COSTS	C07			1,761,748					1,230,211			1,000,000	1,000,000		
							0					0						
	Request for new and replacement equipment for various needs department wide - Replacement equipment totaling $967,928 in FY00 and $650,408 in FY01 and new equipment totaling $799,520 in FY00 and $583,803 in FY01). A major component of the request is for physical plant equipment needs of the various institutions ($1,215,148 in FY00/$848,111 in FY01). ADC is responsible for general and preventative maintenance needs of buildings, grounds, and equipment valued at over $291 million. Needs include replacement of kitchen and food preparation equipment, laundry equipment, heat and air systems, fire alarm systems, and bathroom fixtures. Other needs include replacement of office and medical equipment as well as new items needed to meet needs of an expanded population.																	
106		HCA	480 509 031 65 EAST ARKANSAS REGIONAL UNIT	C05			243,424					192,914			243,424	192,914		
							6					6			6	6		
	Positions are requested to provide for staffing of two regional maintenance crews in order to keep a commitment made to the local community during the unit planning stages. Positions authorized to staff unit inmate work crews are currently being utilized to provide one regional maintenance unit, but causes the unit to be unable to staff outside work details on a daily basis.																	

02	03	04	05	06	07	08	09	10	11	12	13	14	15	16	17	18	19
PROGRAM DESCRIPTION	FUND	ACCOUNTING INFORMATION	D E S	EXPENDITURES ACTUAL 97-98	EXPENDITURES BUDGETED 98-99	1999 - 01 BIENNIUM REQUESTS FY 1999 - 00 REQUEST			1999 - 01 BIENNIUM REQUESTS FY 2000 - 01 REQUEST					RECOMMENDATIONS EXECUTIVE 1999-00	RECOMMENDATIONS EXECUTIVE 2000-01	RECOMMENDATIONS LEGISLATIVE 1999-00	RECOMMENDATIONS LEGISLATIVE 2000-01
	HCA	480 509 031 61 NORTH CENTRAL	C02			129,830 5			132,980 5					144,805 5	148,370 5		

An additional twenty two (22) two-man isolation cells are currently under construction. Only eight isolation cells were included in the original construction and are insufficient to manage the population. Staffing for the isolation expansion will require an additional twenty-four hour, seven day a week security post (Five Correctional Officer I's).

	HCA	480 509 031 21 TUCKER	C03			25,966 1			26,596 1					28,961 1	29,674 1		

A staffing analysis was conducted by a consultant retained by a grant from the National Institute of Justice, U.S. Justice Department. The consultant's recommendation resulted in identification by ADC of critical posts necessary to maintain adequate security of each correctional facility and in determining an appropriate relief factor for each shift in order to determine the number of critical security staff required. One (1) additional Correctional Officer is requested for the Tucker Unit in order to allow personnel adequate time off for vacation, holidays, or compensatory time.

	HCA	480 509 031 22 PINE BLUFF WORK COMPLEX	C03			285,626 11			292,556 11					318,571 11	326,414 11		

A staffing analysis was conducted by a consultant retained by a grant from the National Institute of Justice, U.S. Justice Department. The consultant's recommendation resulted in identification by ADC of critical posts necessary to maintain adequate security of each correctional facility and in determining an appropriate relief factor for each shift in order to determine the number of critical security staff required. Eleven (11) additional Correctional Officers are requested for the Pine Bluff Unit in order to allow personnel adequate time off for vacation, holidays, or compensatory time.

01	02	03	04	05	06	07	08	09	10	11	12	13	14	15	16	17	18	19
							1999 - 01 BIENNIUM REQUESTS								RECOMMENDATIONS			
					EXPENDITURES		FY 1999 - 00					FY 2000 - 01						
RANK	PROGRAM DESCRIPTION	FUND	ACCOUNTING INFORMATION	D E S	ACTUAL 97-98	BUDGETED 98-99	REQUEST					REQUEST			EXECUTIVE 1999-00	EXECUTIVE 2000-01	LEGISLATIVE 1999-00	LEGISLATIVE 2000-01
08		HCA	480 509 031 23 DIAGNOSTIC	C03			129,830 5					132,980 5			144,805 5	148,370 5		

A staffing analysis was conducted by a consultant retained by a grant from the National Institute of Justice, U.S. Justice Department. The consultant's recommendation resulted in identification by ADC of critical posts necessary to maintain adequate security of each correctional facility and in determining an appropriate relief factor for each shift in order to determine the number of critical security staff required. Five (5) additional Correctional Officers are requested for the Diagnostic Unit in order to allow personnel adequate time off for vacation, holidays, or compensatory time.

08		HCA	480 509 031 24 WRIGHTSVILLE	C03			467,388 18					478,728 18			521,298 18	534,132 18		

A staffing analysis was conducted by a consultant retained by a grant from the National Institute of Justice, U.S. Justice Department. The consultant's recommendation resulted in identification by ADC of critical posts necessary to maintain adequate security of each correctional facility and in determining an appropriate relief factor for each shift in order to determine the number of critical security staff required. Eighteen (18) additional Correctional Officers are requested for the Wrightsville Unit in order to allow personnel adequate time off for vacation, holidays, or compensatory time.

08		HCA	480 509 031 61 NORTH CENTRAL	C03			285,626 11					292,556 11			318,571 11	326,414 11		

A staffing analysis was conducted by a consultant retained by a grant from the National Institute of Justice, U.S. Justice Department. The consultant's recommendation resulted in identification by ADC of critical posts necessary to maintain adequate security of each correctional facility and in determining an appropriate relief factor for each shift in order to determine the number of critical security staff required. Eleven (11) additional Correctional Officers are requested for the North Central Unit in order to allow personnel adequate time off for vacation, holidays, or compensatory time.

ARKANSAS BUDGET SYSTEM

PROGRAM/SERVICE INFORMATION LIST

RANK BY APPROPRIATION

02 03 04 05 06 07 08 09 10 11 12 13 14

01	02	03	04	05	06	07	08	09	10	11	12	13	14
CHARACTER TITLE	------EXPENDITURES------- 97-98 ACTUAL	98-99 BUDGETED	98-99 AUTHORIZED APPRO	----------99-00 FISCAL YEAR---------- BASE	CHANGE LEVEL	TOTAL REQUEST	----------00-01 FISCAL YEAR---------- BASE	CHANGE LEVEL	TOTAL REQUEST	----------RECOMMENDATIONS---------- EXECUTIVE 99-00	EXECUTIVE 00-01	LEGISLATIVE 99-00	LEGISLATIVE 00-01
EGULAR SALARIES	1,373,840	1,492,696	2,025,926	1,581,761	21,119	1,602,880	1,626,046	21,713	1,647,759	1,605,605	1,650,560		
UMBER OF POSITIONS	51	54	75	54	0	54	54	0	54	54	54		
ERSONAL SERV MATCHING	359,683	408,956	625,022	482,751	4,122	486,873	491,390	4,228	495,618	487,406	496,162		
PERATING EXPENSES	3,205,542	3,887,940	4,522,940	3,887,940	0	3,887,940	3,887,940	0	3,887,940	3,887,940	3,887,940		
RAVEL FEES & TRAVEL	3,588	6,600	6,600	6,600	0	6,600	6,600	0	6,600	6,600	6,600		
APITAL OUTLAY	395,111	390,000	390,000	0	224,290	224,290	0	149,105	149,105	224,290	149,105		
OTAL	5,337,764	6,186,192	7,570,488	5,959,052	249,531	6,208,583	6,011,976	175,046	6,187,022	6,211,841	6,190,367		
PROPOSED FUNDING SOURCES			**********										
UND BALANCES	88,330	284,887	**********	665,895		665,895	1,274,512		1,274,512	665,895	1,274,512		
ENERAL REVENUES			**********										
PECIAL REVENUES	4,984,321	6,817,200	**********	6,567,669	249,531	6,817,200	6,642,154	175,046	6,817,200	6,817,200	6,817,200		
EDERAL FUNDS			**********										
TATE CENTRAL SERVICES FUND			**********										
RANSFER TO/FROM FARM	550,000	(250,000)	**********										
ASH FUNDS			**********										
THER			**********										
OTAL FUNDING	5,622,651	6,852,087	**********	7,233,564	249,531	7,483,095	7,916,666	175,046	8,091,712	7,483,095	8,091,712		
CESS APPRO/ (FUNDING)	(284,887)	(665,895)	**********	(1,274,512)		(1,274,512)	(1,904,690)		(1,904,690)	(1,271,254)	(1,901,345)		
OTAL	5,337,764	6,186,192	**********	5,959,052	249,531	6,208,583	6,011,976	175,046	6,187,022	6,211,841	6,190,367		

EPT 013 DEPARTMENT OF CORRECTION
RY 480 DEPARTMENT OF CORRECTION
PRO 511 PRISON INDUSTRY

APPROPRIATION SUMMARY

BR 215

IND SDD DEPT OF CORRECTIONS-INDUSTRY(480)

02	03	04	05	06	07	08	09	10	11	12	13	14	15	16	17	18	19
				------EXPENDITURES-------		----------1999 - 01 BIENNIUM REQUESTS----------								----------RECOMMENDATIONS----------			
			D			----------FY 1999 - 00----------			----------FY 2000 - 01----------					--------EXECUTIVE--------		-------LEGISLATIVE-------	
PROGRAM DESCRIPTION	FUND	ACCOUNTING INFORMATION	E S	---ACTUAL--- 97-98	--BUDGETED-- 98-99	----------REQUEST------			----------REQUEST------					1999-00	2000-01	1999-00	2000-01
	SDD	480 511	B	5,337,764 51	6,186,192 54	5,959,052 54			6,011,976 54					5,987,551 54	6,041,262 54		
	SDD	480 511 01A 1A SHARED ACCOUNTABLE COSTS	C07			224,290 0			149,105 0					224,290	149,105		

Request for new and replacement equipment for all industries programs.

	SDD	480 511 070 20 CUMMINS UNIT	C09			9,154 0			9,409 0								

Career Ladder Incentive Program

01	02	03	04	05	06	07	08	09	10	11	12	13	14	15	16	17	18	19
							1999 - 01 BIENNIUM REQUESTS								RECOMMENDATIONS			
					EXPENDITURES		FY 1999 - 00					FY 2000 - 01			EXECUTIVE		LEGISLATIVE	
RANK	PROGRAM DESCRIPTION	FUND	ACCOUNTING INFORMATION	DES	ACTUAL 97-98	BUDGETED 98-99	REQUEST					REQUEST			1999-00	2000-01	1999-00	2000-01
02		SDD	480 511 070 30 TUCKER UNIT	C09			4,321 0					4,442 0						
	Career Ladder Incentive Program																	
02		SDD	480 511 070 50 WRIGHTSVILLE UNIT	C09			11,448 0					11,765 0						
	Career Ladder Incentive Program																	
02		SDD	480 511 070 51 WAREHOUSE	C09			318 0					325 0						
	Career Ladder Incentive Program																	

Special Revenue for the Department's Farm Operations is derived from the sale of marketable agricultural and livestock products. The Farm Program provides the department with food products through garden and livestock operations for inmate consumption.

Included in the Base Level request are 2.8 % cost of living increases each year with associated Personal Services Matching. Change Level requests include: 1) Restoration of 3 currently authorized positions for potential new or expanded programs ($102,378/$104,973); 2) Capital Outlay of $2,125,500/$1,369,500 is requested for farm facility and equipment upgrades and improvement; 3) Professional Fees and Services of $200,000 each year is requested for land leveling to improve irrigation management; 4) Operating Expenses of $1,077,024/$1,132,407 for inflationary costs for seed, fertilizer, and chemicals; and contingency appropriation in the event of a crop loss or disease outbreak in livestock; 5) Reclassification and Upgrade requests, $2,412/$2,477; and 6) Career Ladder Incentive Program requests, $4,493/$4,614.

The Executive Recommendation provides for the Agency Request except for reclassification and upgrade requests which are not recommended.

The Career Ladder Incentive Program (CLIP) requests reflected in agency budgets are intended to provide appropriate job classifications which may be utilized to promote classified employees who complete competency-based criteria during the biennium. The Executive Recommendation may reflect an adjustment in the requested CLIP levels for certain positions. These changes are to indicate the maximum job classification to which an employee would be expected to progress within the new biennium, based on the experience and other competency based criteria required under the program.

Subsequent to the request process the agency worked with the DFA Office of Personnel Management to develop a CLIP for Correction Officer I and Correctional Officer II positions in order to address the critical turnover problem of the Department. These CLIP classifications are not reflected in the agency request but they are included in the Executive Recommendation.

01	02	03	04	05	06	07	08	09	10	11	12	13	14
CHARACTER TITLE	------EXPENDITURES------- 97-98 ACTUAL	98-99 BUDGETED	98-99 AUTHORIZED APPRO	----------99-00 FISCAL YEAR---------- BASE	CHANGE LEVEL	TOTAL REQUEST	----------00-01 FISCAL YEAR---------- BASE	CHANGE LEVEL	TOTAL REQUEST	----------RECOMMENDATIONS---------- EXECUTIVE 99-00	EXECUTIVE 00-01	LEGISLATIVE 99-00	LEGISLATIVE 00-01
REGULAR SALARIES	1,428,762	1,518,130	1,623,283	1,593,220	83,346	1,676,566	1,637,831	85,680	1,723,511	1,675,028	1,721,931		
NUMBER OF POSITIONS	49	50	52	50	3	53	50	3	53	53	53		
PERSONAL SERV MATCHING	403,890	472,944	476,416	472,078	25,937	498,015	480,783	26,384	507,167	497,715	506,861		
OPERATING EXPENSES	5,503,327	6,331,376	6,752,547	6,331,376	1,077,024	7,408,400	6,331,376	1,132,407	7,463,783	7,408,400	7,463,783		
CONF FEES & TRAVEL	3,763	1,600	1,600	1,600	0	1,600	1,600	0	1,600	1,600	1,600		
PROF FEES & SERVICES	49,913	267,663	267,663	267,663	200,000	467,663	267,663	200,000	467,663	467,663	467,663		
CAPITAL OUTLAY	1,032,451	2,450,000	1,150,000	0	2,125,500	2,125,500	0	1,369,500	1,369,500	2,125,500	1,369,500		
PURCH FEEDER CATTLE FOR SLAUGHTER	141,483	150,000	150,000	150,000	0	150,000	150,000	0	150,000	150,000	150,000		
TOTAL	8,563,589	11,191,713	10,421,509	8,815,937	3,511,807	12,327,744	8,869,253	2,813,971	11,683,224	12,325,906	11,681,338		
PROPOSED FUNDING SOURCES			**********										
FUND BALANCES	1,703,837	2,524,014	**********	82,301		82,301				82,301			
GENERAL REVENUES			**********										
SPECIAL REVENUES	8,533,766	9,050,000	**********	9,050,000	2,277,780	11,327,780	9,050,000	2,277,780	11,327,780	11,327,780	11,327,780		
FEDERAL FUNDS			**********										
STATE CENTRAL SERVICES FUND			**********										
TRANSFER FROM ICC, DCP, INDUSTRY	1,400,000	250,000	**********										
CASH FUNDS			**********										
COI DEBT SERVICE	(550,000)	(550,000)	**********	(550,000)		(550,000)	(550,000)		(550,000)	(550,000)	(550,000)		
TOTAL FUNDING	11,087,603	11,274,014	**********	8,582,301	2,277,780	10,860,081	8,500,000	2,277,780	10,777,780	10,860,081	10,777,780		
EXCESS APPRO/ (FUNDING)	(2,524,014)	(82,301)	**********	233,636	1,234,027	1,467,663	369,253	536,191	905,444	1,465,825	903,558		
TOTAL	8,563,589	11,191,713	**********	8,815,937	3,511,807	12,327,744	8,869,253	2,813,971	11,683,224	12,325,906	11,681,338		

DEPT 013 DEPARTMENT OF CORRECTION
AGY 480 DEPARTMENT OF CORRECTION
APPRO 512 FARM OPERATIONS

FUND SDC DEPT OF CORRECTIONS-FARM(480)

APPROPRIATION SUMMARY

BR 215

The FY99 budgeted amount for Capital Outlay that exceeds authorized is due to an appropriation transfer that was authorized by reallocation of resources authority of the Board of Correction and Community Punishment, as provided in Section 27 of Act 757 of 1997.

ARKANSAS BUDGET SYSTEM
PROGRAM/SERVICE INFORMATION LIST
RANK BY APPROPRIATION

02 PROGRAM DESCRIPTION	03 FUND	04 ACCOUNTING INFORMATION	05 DES	06 EXPENDITURES ACTUAL 97-98	07 EXPENDITURES BUDGETED 98-99	08 1999-01 BIENNIUM REQUESTS FY 1999-00 REQUEST	09 10 11 12 13 14 15 FY 2000-01 REQUEST	16 RECOMMENDATIONS EXECUTIVE 1999-00	17 EXECUTIVE 2000-01	18 LEGISLATIVE 1999-00	19 LEGISLATIVE 2000-01
	SDC	480 512	B	8,563,589 49	11,191,713 50	8,815,937 50	8,869,253 50	8,821,004 50	8,874,458 50		
	SDC	480 512 080 00 ADMINISTRATION BUILDING	C06			102,378 3	104,973 3	102,378 3	104,973 3		

Reauthorization of three farm positions to meet management needs as farm programs expand or new programs are added. Anticipated needs include a new head livestock farm manager with an animal science or veterinary degree; an assistant head farm manager/garden supervisor to oversee an increase in garden acreage and implementation of a new garden technology program; and an Accounting Supervisor I to oversee the accounting functions of the farm operation.

	SDC	480 512 080 00 ADMINISTRATION BUILDING	C07			2,325,500 0	1,569,500 0	2,325,500	1,569,500		

Facility upgrades and improvements to the farm's facilities and equipment. Current plans include replacement of fifteen (15) farm tractors, road grader, bob trucks and refrigerated trucks, combine, farm implements for cultivation and diesel fuel tanks. A new milk processing area is planned as is expansion in the hog, poultry, and dairy programs, new irrigation wells at Cummins and Tucker, and land leveling to improve irrigation management.

013 DEPARTMENT OF CORRECTION
480 DEPARTMENT OF CORRECTION
512 FARM OPERATIONS

SDC DEPT OF CORRECTIONS-FARM(480)

RANK BY APPROPRIATION

BR 264

01	02	03	04	05	06	07	08	09	10	11	12	13	14	15	16	17	18	19
							1999 - 01 BIENNIUM REQUESTS								RECOMMENDATIONS			
					EXPENDITURES		FY 1999 - 00				FY 2000 - 01				EXECUTIVE		LEGISLATIVE	
RANK	PROGRAM DESCRIPTION	FUND	ACCOUNTING INFORMATION	DES	ACTUAL 97-98	BUDGETED 98-99	REQUEST				REQUEST				1999-00	2000-01	1999-00	2000-01
03		SDC	480 512 080 20 CUMMINS	C01			300,000 0				310,000 0				300,000	310,000		

Additional M&O to meet increased seed, fertlizer and chemical cost estimates. To provide for additional M&O appropriation in the event of a catastrophic event, crop failure or disease out break in livestock.

03		SDC	480 512 080 30 TUCKER UNIT	C01			263,512 0				281,201 0				263,512	281,201		

Additional M&O to meet increased seed, fertlizer and chemical cost estimates. To provide for additional M&O appropriation in the event of a catastrophic event, crop failure or disease out break in livestock.

03		SDC	480 512 080 54 LIVESTOCK	C01			300,000 0				310,005 0				300,000	310,005		

Additional M&O to meet increased seed, fertlizer and chemical cost estimates. To provide for additional M&O appropriation in the event of a catastrophic event, crop failure or disease out break in livestock.

02 PROGRAM DESCRIPTION	03 FUND	04 ACCOUNTING INFORMATION	05 D E S	06 EXPENDITURES ACTUAL 97-98	07 EXPENDITURES BUDGETED 98-99	08 1999-01 BIENNIUM REQUESTS FY 1999-00 REQUEST	09 10	11 12 13 14 15 FY 2000-01 REQUEST	16 RECOMMENDATIONS EXECUTIVE 1999-00	17 EXECUTIVE 2000-01	18 LEGISLATIVE 1999-00	19 LEGISLATIVE 2000-01
	SDC	480 512 080 65 LEE COUNTY FACILITY	C01			213,512 0		231,201 0	213,512	231,201		
Additional M&O to meet increased seed, fertlizer and chemical cost estimates. To provide for additional M&O appropriation in the event of a catastrophic event, crop failure or disease out break in livestock.												
	SDC	480 512 080 20 CUMMINS	C10			2,412 0		2,477 0				
This request is part of a plan to reclassify various construction and maintenance personnel to appropriately reflect the responsibilities at assigned units, to provide a structure to better recruit difficult to fill positions and to provide a career ladder path to retain employees. Currently, maintenance supervisors at larger units are classified the same as positions at smaller units.												
	SDC	480 512 080 00 ADMINISTRATION BUILDING	C09			0 0		0 0				
Career Ladder Incentive Program												

01 RANK	02 PROGRAM DESCRIPTION	03 FUND	04 ACCOUNTING INFORMATION	05 DES	06 EXPENDITURES ACTUAL 97-98	07 EXPENDITURES BUDGETED 98-99	08 1999-01 BIENNIUM REQUESTS FY 1999-00 REQUEST	09	10	11 FY 2000-01 REQUEST	12	13	14	15	16 RECOMMENDATIONS EXECUTIVE 1999-00	17 EXECUTIVE 2000-01	18 LEGISLATIVE 1999-00	19 LEGISLATIVE 2000-01
05		SDC	480 512 080 20 CUMMINS	C09			1,118 0			1,147 0								
	Career Ladder Incentive Program																	
05		SDC	480 512 080 22 CUMMINS FARM	C09			418 0			429 0								
	Career Ladder Incentive Program																	
05		SDC	480 512 080 25 VARNER UNIT	C09			903 0			928 0								
	Career Ladder Incentive Program																	

EPT 013 DEPARTMENT OF CORRECTION
GY 480 DEPARTMENT OF CORRECTION
PPRO 512 FARM OPERATIONS

UND SDC DEPT OF CORRECTIONS-FARM(480)

RANK BY APPROPRIATION

BR 264

02 PROGRAM DESCRIPTION	03 FUND	04 ACCOUNTING INFORMATION	05 D E S	06 EXPENDITURES ACTUAL 97-98	07 EXPENDITURES BUDGETED 98-99	08 1999-01 BIENNIUM REQUESTS FY 1999-00 REQUEST	09 10	11 12 13 14 15 FY 2000-01 REQUEST	16 RECOMMENDATIONS EXECUTIVE 1999-00	17 EXECUTIVE 2000-01	18 LEGISLATIVE 1999-00	19 LEGISLATIVE 2000-01
	SDC	480 512 080 30 TUCKER UNIT	C09			659 0		676 0				
Career Ladder Incentive Program												
	SDC	480 512 080 80 EAST ARKANSAS REGIONAL UNIT	C09			1,395 0		1,434 0				
Career Ladder Incentive Program												

ARKANSAS BUDGET SYSTEM
ANALYSIS OF BUDGET REQUEST
1999 - 2001

This federally funded appropriation provides for the operations of a Department of Justice Residential Substance Abuse Treatment for State Prisoners (RSAT) program. Base Level includes a cost of living increase of 2.8% each year over the FY99 salary levels, related Personal Services Matching, and supporting Maintenance and General Operations.

The Change Level requests include: 1) Two (2) positions and associated operating costs of $76,887/$78,465 for an Employee Advocacy Program and 2) Career Ladder Incentive Program requests, $6,962/$7,143. In addition, the agency requests that the appropriation title be changed to Federal Programs in order to expand the scope of federal programs for which this appropriation can be utilized.

The Executive Recommendation provides for the Agency Request with the exception of the request for a position of Employee Advocacy Program Coordinator (Grade 21) that is recommended as a Management Project Analyst II (Grade 20) with an associated salary and matching cost adjustment.

01	02	03	04	05	06	07	08	09	10	11	12	13	14
CHARACTER TITLE	EXPENDITURES 97-98 ACTUAL	EXPENDITURES 98-99 BUDGETED	98-99 AUTHORIZED APPRO	99-00 FISCAL YEAR BASE	99-00 FISCAL YEAR CHANGE LEVEL	99-00 FISCAL YEAR TOTAL REQUEST	00-01 FISCAL YEAR BASE	00-01 FISCAL YEAR CHANGE LEVEL	00-01 FISCAL YEAR TOTAL REQUEST	RECOMMENDATIONS EXECUTIVE 99-00	RECOMMENDATIONS EXECUTIVE 00-01	RECOMMENDATIONS LEGISLATIVE 99-00	RECOMMENDATIONS LEGISLATIVE 00-01
ULAR SALARIES	222,308	479,822	394,065	482,937	53,045	535,982	496,457	54,532	550,989	534,378	549,340		
MBER OF POSITIONS	13	19	18	18	2	20	18	2	20	20	20		
SONAL SERV MATCHING	62,381	145,280	131,853	152,273	16,804	169,077	154,918	17,076	171,994	168,764	171,672		
RATING EXPENSES	68,686	163,000	163,000	163,000	4,000	167,000	163,000	4,000	167,000	167,000	167,000		
F FEES & TRAVEL	64	13,000	13,000	13,000	0	13,000	13,000	0	13,000	13,000	13,000		
F FEES & SERVICES	27,624	15,000	15,000	15,000	5,000	20,000	15,000	5,000	20,000	20,000	20,000		
ITAL OUTLAY	3,686	36,000	36,000	0	5,000	5,000	0	5,000	5,000	5,000	5,000		
A PROCESSING	0	6,000	6,000	6,000	0	6,000	6,000	0	6,000	6,000	6,000		
AL	384,749	858,102	758,918	832,210	83,849	916,059	848,375	85,608	933,983	914,142	932,012		
PROPOSED FUNDING SOURCES			**********										
BALANCES			**********										
ERAL REVENUES			**********										
CIAL REVENUES			**********										
ERAL FUNDS	384,749	858,102	**********	832,210	83,849	916,059	848,375	85,608	933,983	914,142	932,012		
E CENTRAL SERVICES FUND			**********										
REVENUE RECEIPTS			**********										
L FUNDS			**********										
ER			**********										
AL FUNDING	384,749	858,102	**********	832,210	83,849	916,059	848,375	85,608	933,983	914,142	932,012		
SS APPRO/ (FUNDING)			**********										
AL	384,749	858,102	**********	832,210	83,849	916,059	848,375	85,608	933,983	914,142	932,012		

T 013 DEPARTMENT OF CORRECTION
480 DEPARTMENT OF CORRECTION
O 847 SUBSTANCE ABUSE TREATMENT PROGRAM

) FDC DEPT OF CORRECTIONS FED (480)

The FY99 Budgeted amounts in Regular Salaries and/or Personal Services Matching may exceed the Authorized amounts due to the implementation of the pay plan during the 1997-99 biennium.

Actual and/or Budgeted number of positions is greater than the number of authorized positions, due to positions authorized by a Miscellaneous Federal Grant.

APPROPRIATION SUMMARY

BR 215

01	02	03	04	05	06	07	08	09	10	11	12	13	14	15	16	17	18	19
							1999 - 01 BIENNIUM REQUESTS								RECOMMENDATIONS			
					EXPENDITURES		FY 1999 - 00				FY 2000 - 01				EXECUTIVE		LEGISLATIVE	
RANK	PROGRAM DESCRIPTION	FUND	ACCOUNTING INFORMATION	D E S	ACTUAL 97-98	BUDGETED 98-99	REQUEST				REQUEST				1999-00	2000-01	1999-00	2000-01
00		FDC	480 847	B	384,749 13	858,102 19	832,210 18				848,375 18				839,172 18	855,518 18		
01		FDC	480 847 01A 1A SHARED ACCOUNTABLE COSTS	C02			76,887 2				78,465 2				74,970 2	76,494 2		

Request is for positions and operating appropriation for an Employee Advocacy Program contingent on receiving grant funding from the U. S. Department of Justice, Law Enforcement and Corrections Family Support program. ADC is seeking the grant to develop programs to assist in reducing turnover rate, reduce stress in the work place and family life, and increase morale which will help resolve problems with understaffing, excessive overtime, abuse of sick leave, the potential for critical incidents and employee grievances.

02		FDC	480 847 130 71 RESIDENTAL SUBST. ABUSE	C09			6,962 0				7,143 0							

Career Ladder Incentive Program.

02	03	04	05	06	07	08	09	10	11	12	13	14	15	16	17	18	19
PROGRAM DESCRIPTION	FUND	ACCOUNTING INFORMATION	D E S	------EXPENDITURES------- ---ACTUAL--- 97-98	--BUDGETED-- 98-99	----------1999 - 01 BIENNIUM REQUESTS---------- ----------FY 1999 - 00---------- ----------REQUEST------			----------FY 2000 - 01---------- ----------REQUEST------					----------RECOMMENDATIONS---------- --------EXECUTIVE-------- 1999-00	2000-01	-------LEGISLATIVE------- 1999-00	2000-01
	FDC	480 847 130 72 INT SUPV-PREPAROLE/AFTER	C09				0 0				0 0						

Career Ladder Incentive Program.

A NS BUI T S EM

01	02	03	04	05	06	07	08	09	10	11	12	13	14
CHARACTER TITLE	-----EXPENDITURES------- 97-98 ACTUAL	98-99 BUDGETED	98-99 AUTHORIZED APPRO	----------99-00 FISCAL YEAR---------- BASE	CHANGE LEVEL	TOTAL REQUEST	----------00-01 FISCAL YEAR---------- BASE	CHANGE LEVEL	TOTAL REQUEST	----------R E C O M M E N D A T I O N S---------- EXECUTIVE 99-00	00-01	LEGISLATIVE 99-00	00-01
EGULAR SALARIES	43,839	0	0	0	0	0	0	0	0	0	0		
UMBER OF POSITIONS	4	0	0	0	0	0	0	0	0	0	0		
ERSONAL SERV MATCHING	9,208	0	0	0	0	0	0	0	0	0	0		
PERATING EXPENSES	32,519	0	0	0	0	0	0	0	0	0	0		
INF FEES & TRAVEL	137	0	0	0	0	0	0	0	0	0	0		
OF FEES & SERVICES	6,720	0	0	0	0	0	0	0	0	0	0		
APITAL OUTLAY	1,523	0	0	0	0	0	0	0	0	0	0		
				THIS APPROPRIATION IS NOT REQUESTED FOR THE NEW BIENNIUM									
OTAL	93,946	0	0	0	0	0	0	0	0				
PROPOSED FUNDING SOURCES			**********										
UND BALANCES			**********										
ENERAL REVENUES	93,946		**********										
PECIAL REVENUES			**********										
EDERAL FUNDS			**********										
TATE CENTRAL SERVICES FUND			**********										
ON-REVENUE RECEIPTS			**********										
ASH FUNDS			**********										
THER			**********										
OTAL FUNDING	93,946		**********										
CCESS APPRO/ (FUNDING)			**********										
OTAL	93,946		**********										

EPT 013 DEPARTMENT OF CORRECTION
GY 480 DEPARTMENT OF CORRECTION
PPRO 1GX RSATSP -- STATE MATCH

UND HUA STATE GENERAL SERVICES(000)

APPROPRIATION SUMMARY

BR 215