Department of Finance and Administration

Policy Title: Drug Free Workplace Policy

Policy Number: 3.4

Authority: Ark. Code Ann. §§ 11-14-100 et seq.; Arkansas Medical Marijuana Amendment of 2016, Arkansas Constitution, Amendment 98; Governor’s Executive Order 89-2

Purpose

The Department of Finance and Administration (DFA) is committed to protecting the safety, health and well-being of employees, customers and the public. DFA recognizes that alcohol and drug use in the workplace pose significant safety and health risks not only to the employee, but to others as well. It is the DFA’s intent to comply with the Drug-Free Workplace Acts of 1988 and Arkansas law which prohibits the unlawful manufacture, distribution, dispensation, possession or use of a controlled substance where DFA employees work, including all DFA-owned or leased vehicles, or while conducting business for the State of Arkansas.

Applicability

This policy applies to all employees of DFA as well as all job applicants (hereinafter referred to as Covered Workers). All Covered Workers are responsible for abiding by this policy and must comply with all DFA policies and procedures regarding drug and alcohol use and testing.

Statement of Policy

All Covered Workers must refrain from reporting to work or being subject to duty while their ability to perform job duties is impaired due to the use of alcohol or other drugs. All Covered Workers are prohibited from testing positive for metabolites of substances that are listed in the schedules of the Federal Controlled Substances Act and the Arkansas Uniform Controlled Substances Act. This policy applies during all work hours, whenever conducting business or representing the DFA, and while on-call or paid standby duty.

Definitions:

The following definitions are used in this policy unless the context otherwise requires:

1. **Alcohol**: Intoxicating agent in beverage alcohol, ethyl alcohol or other low molecular weight alcohols, including methyl or isopropyl alcohol.

2. **Current use of alcohol or drugs**: Use of alcohol or drugs that justifies the good faith belief that an applicant or employee is engaging in the use of alcohol or drugs. Current use is presumed when a positive test result for alcohol or drugs occurs.
3. **Drug**: Any controlled substance, including marijuana, cocaine, amphetamines, phencyclidine (PCP), and opiates.

4. **Drug test**: Any chemical, biological, or physical instrumental analysis administered by a certified laboratory for the purpose of determining the presence or absence of a drug or its metabolites or alcohol.

5. **Employee**: Individual employed by DFA for a salary, wage, or other remuneration, but does not include an independent contractor.

6. **Good faith belief**: Reasonable reliance on a fact, or that which is held out to be factual, without intent to deceive or be deceived and without reckless or malicious disregard for the truth and does not include a belief formed with gross negligence.

7. **Job applicant or Applicant**: A person who has applied for a position with DFA, who has been offered employment conditioned upon successfully passing a drug or alcohol test and who may have begun work pending the results of the drug or alcohol test.

8. **Testing positive**: A result that is at or above the cutoff concentration level established by the US Department of Transportation or Arkansas laws regarding being under the influence, whichever is lower.

9. **Reasonable suspicion drug testing**: Drug or alcohol testing based on a good faith belief that an Covered Worker is using or has used drugs or alcohol in violation of the DFA’s policy drawn from specific objective and articulable facts and reasonable inferences drawn from those facts in light of experience. Among other things as more fully described below under Reasonable Suspicion Drug and Alcohol Testing, such facts and inferences may be based upon:
   a. Observable phenomena during working hours such as direct observation of drug or alcohol use or of the physical symptoms or manifestations of being under the influence of a drug or alcohol;
   b. Abnormal conduct or erratic behavior during working hours or a significant deterioration in work performance;
   c. A report of drug or alcohol use provided by a reliable and credible source;
   d. Evidence that an individual has tampered with a drug or alcohol test during employment with the DFA;
   e. Information that a Covered Worker has caused, contributed to, or been involved in an accident while at work; or
f. Evidence that a Covered Worker has used, possessed, sold, solicited, or transferred drugs or used alcohol while working or while on the DFA’s premises or while operating the DFA’s vehicle, machinery, or equipment.

10. **Safety sensitive position:** (1) Any position involving a safety sensitive function pursuant to federal regulations governing drug and alcohol testing adopted by the US Department of Transportation or any other rules, guidelines, or regulations adopted by any other federal or state agency; or (2) any position designated in writing by DFA as a safety sensitive position in which a person performing the position while under the influence of alcohol or drugs may constitute a threat to health or safety, including positions in which a lapse of attention could result in injury, illness, or death (operating, repairing, maintaining, or monitoring heavy equipment, machinery, aircraft, motorized watercraft, or motor vehicles as part of the job duties) or positions that require any of the following activities:
   a. Carrying a firearm;
   b. Performing life-threatening procedures;
   c. Working with confidential information or documents pertaining to criminal investigations; or
   d. Working with hazardous or flammable materials, controlled substances, food, or medicine.

11. **DFA Property:** DFA Property is defined as all DFA-owned or leased offices or buildings under the DFA’s ownership or control. This policy applies to all DFA-owned or leased vehicles.

12. **Qualifying Patient:** means a person who has been diagnosed by a physician as having a qualifying medical condition and who has registered with the Arkansas Department of Health in accordance with the Arkansas Medical Marijuana Amendment of 2016.

13. **Under the influence:** Symptoms of the current use of alcohol or drugs that may negatively impact the performance of the job duties or tasks or constitute a threat to health or safety and includes the following:
   a. Symptoms of the applicant’s or employee’s speech, walking, standing, physical dexterity, agility, coordination, actions, movement, demeanor, appearance, clothing, odor, or other irrational or unusual behavior that are inconsistent with the usual conduct of the Covered Worker;
   b. Negligence or carelessness in operating equipment, machinery, or production or manufacturing processes;
   c. Disregard for safety;
d. Involvement in an accident that results in damage to equipment, machinery, or property; disruption of a production or manufacturing process; or injury; or

e. Other symptoms causing a reasonable suspicion that the current use of alcohol or drugs may negatively impact the performance of the job duties or tasks or constitute a threat to health or safety.

14. Written certification: A document signed by a physician stating that in the physician’s professional opinion, after having completed an assessment of the Qualifying Patient’s medical history and current medical condition made in the course of a physician-patient relationship, the Qualifying Patient has a qualifying medical condition. The certification must specify the Qualifying Patient’s qualifying medical condition. A written certification is NOT a medical prescription.

Prescription and Over-The-Counter Drugs

For purposes of this policy, ‘prescription’ and ‘prescribed medication’ means a written or oral order for a pharmaceutical drug for use by a particular person given by a practitioner in the course of professional practice, including controlled substances prescribed in accordance with the regulations promulgated by the director of the United States Drug Enforcement Administration pursuant to the federal drug abuse control laws. This definition does not include a recommendation for use of medical marijuana, as the use of marijuana by a Covered Worker is prohibited during working hours, including any lunch or other breaks.

Prescription and over-the-counter drugs are not prohibited when taken in standard dosage and/or according to a physician’s prescription. Any employee taking prescribed or over-the-counter medications will be responsible for consulting the prescribing physician and/or pharmacist to ascertain whether the medication may interfere with safe and competent performance of his/her job. If the use of a medication could compromise the safety or competency of the Covered Worker, fellow employees or the public, it is the Covered Worker’s responsibility to use appropriate personnel procedures (e.g., call in sick, use leave, request change of duty, notify supervisor) to avoid unsafe workplace practices.

The illegal or unauthorized use of prescription drugs is prohibited. It is a violation of this policy to intentionally misuse and/or abuse prescription medications. Appropriate disciplinary action will be taken if job performance deterioration and/or other accidents occur.
Prohibited Behavior

With the exception of the possession of prescription medication as outlined above, the illegal or unauthorized use of prescription drugs is prohibited. Further, it is a violation of this policy for a Covered Worker to possess, manufacture, use, sell or transfer, or be impaired by alcohol, marijuana, controlled substances or other intoxicants during working hours. All Covered Workers are prohibited from testing positive for metabolites of substances that are listed in the schedules of the Federal Controlled Substances Act and the State of Arkansas Uniform Controlled Substances Act.

The use of any illegal drug covered under the Federal Controlled Substances Act U.S.C. Title 21 Section 812 is prohibited. The use of marijuana for any purpose, including medical, is also prohibited during working hours or when representing DFA. Any illegal substance, drug, including illegal synthetics, or drug paraphernalia are prohibited on DFA property. Marijuana and marijuana paraphernalia is prohibited on DFA property. Violations of this policy may be cause for intervention that may result in referral to mandatory treatment and/or corrective or disciplinary action up to and including termination.

Notification of Convictions

Consistent with the Federal Drug-Free Workplace Acts of 1988, any Covered Worker who is convicted of a criminal drug violation occurring in the workplace must notify the DFA HR Office in writing within five calendar days of the conviction. Federal contracting agencies will be notified within 10 days when appropriate. Each DFA Division receiving federal grants or contracts should refer to their contractual requirements and grant obligations after being notified by an employee convicted of a criminal drug crime occurring in the workplace, as many of these agreements include mandatory reporting requirements by the agency to a federal component.

Return to Duty Agreements

If an agency determines that it is appropriate, a Return-to-Duty (RTD) agreement may be implemented when a Covered Worker violates this policy but remains employed or is otherwise retained by DFA. RTD work agreements are implemented to ensure the Covered Worker abides by the provisions set forth in the RTD agreement while performing their job duties. A Covered Worker who violates an RTD work agreement may be subject to corrective and/or disciplinary action, up to and including termination.
Notice of Testing Procedures

A notice of the DFA Drug Free Workplace Policy will also be posted in an appropriate and conspicuous location on the DFA premises, and copies of the policy will be made available for inspection by the employees or job applicants of the DFA during regular business hours in the DFA Human Resources Office (HRO) or online at https://www.dfa.arkansas.gov/personnel-management/state-personnel-policy.

DFA Drug and Alcohol Testing

Job Applicant Drug and Alcohol Testing

DFA vacancy announcements for positions for which drug or alcohol testing is required will include notice of testing in the announcement. If required, the DFA job advertisements will include a statement advising applicants that they will be required to submit to drug and alcohol testing after a conditional offer of employment.

All applicants selected for employment (including applicants for temporary, emergency, and extra help positions), will also be notified in writing at the time of application or interview that an offer of employment is conditional upon passing a pre-employment drug test. The written notification will inform applicants of the consequences of: (a) failing to appear for testing or (b) a test indicating positive for drugs or alcohol. Upon conditional selection of an individual for employment, the hiring official must notify the selectee (via telephone and letter) that the selectee is conditionally selected for employment contingent on he/she successfully passing a pre-employment drug test, and provide instructions for taking the test. DFA will select the drug testing site and pay for the initial drug test.

Reasonable Suspicion Drug and Alcohol Testing

DFA may require a blood test, urinalysis or other drug/alcohol screening for employees any time there is good faith belief to suspect the employee has violated this policy. A DFA employee who is not in a safety-sensitive position may only be tested for drugs or alcohol when the test is based upon reasonable suspicion. An employee in a safety sensitive position may be tested for drugs or alcohol use at any occasion without reasonable suspicion. A good faith belief to suspect a violation of the policy may be established by any of the following:
1. Observed conduct, behavior, or appearance including direct observation (by a manager, supervisor, or other DFA employee) of drug or alcohol use or possession during working hours or while on DFA premises.

2. Information reported by a person believed to be reliable, including a report by a person who witnessed a job applicant or employee using or possessing drugs or drug paraphernalia in the workplace;

3. Written, electronic, or verbal statements from the employee or other persons;

4. Lawful video surveillance;

5. A government agency, law enforcement agency, or court record;

6. A warning label, usage standard, or other printed material that accompany instructions for usable marijuana or other drugs;

7. Information from a physician, medical review officer or dispensary;

8. Information from reputable reference sources in print or on the internet;

9. Other information reasonably believed to be reliable or accurate; or


11. Uncharacteristic or erratic behavior.

12. The employee's attendance changes, e.g., habitual absenteeism.

13. A workplace accident or an accident involving a DFA vehicle when the accident results in personal injury.

14. Physical symptoms indicative of drug or alcohol use. For example, slurred speech, tremors, drowsiness, pupils dilated or constricted, irritability, hyperactivity, general motor impairment, disorientation, or the smell of alcohol on the breath.

15. Any other facts or circumstances which would cause a reasonable person to believe that the employee is in possession of, or under the influence of drugs or alcohol.

Upon establishing reasonable suspicion, the employee's supervisor will promptly detail in writing the circumstances that formed the basis of the determination that reasonable suspicion existed to warrant the testing. A written record will be made of the observation leading to a controlled substances reasonable suspicion test within twenty-four hours of the observed behavior. Original documentation will be kept for at least one year. A copy of the documentation will be given to the employee upon request. The employee must be ordered to report for drug and alcohol testing.

**Random Routine Fitness For Duty Drug Testing**

The DFA HR Office will identify those positions that are safety sensitive positions. The positions will be identified by position number.

As a condition of employment in safety sensitive position, employees agree to random drug testing. Employees in these positions will be randomly selected at intervals
determined by DFA. Once an employee is selected for testing, the employee must report for drug testing procedures. If changes in the job duties associated with a position make the position safety sensitive, any employee holding the position must agree to random drug testing as a condition of continued employment.

Follow-Up Drug Testing

If the employee in the course of employment enters an employee assistance program for drug-related or alcohol-related problems or a drug or alcohol rehabilitation program, the DFA must require the employee to submit to a drug or alcohol test, as appropriate, as a follow-up to the program, unless the employee voluntarily entered the program. In those cases, the DFA has the option to not require follow-up testing. If follow-up testing is required, it must be conducted at least one (1) time per year for a two-year period after completion of the program. Advance notice of a follow-up testing date must not be given to the employee to be tested.

Post-Accident Testing

After an accident that results in an injury, the DFA will require the employee to submit to a drug or alcohol test in accordance with the provisions of this chapter.

Additional Testing

DFA is not prohibited from conducting any lawful testing of employees for drugs or alcohol that is in addition to the above testing.

If an employee disagrees with the findings of a drug or alcohol test, the employee may arrange to have a portion of the original sample retested at a laboratory certified by the Substance Abuse Mental Health Service Administration. The employee must arrange and pay for the second test. The second test must be performed within five working days after learning the results of the first test. If the second test is negative for the presence of drugs or alcohol, the results from the second test are considered final.

Refusal to Test

DFA job applicants who refuse to cooperate in a drug test or who test positive will not be hired and will not be allowed to reapply/retest in the future. DFA employees who refuse to cooperate in required tests or who use, possess, buy, sell, manufacture or dispense an illegal drug in violation of this policy will be terminated.
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The first time an employee tests positive for alcohol or illegal drug use under this policy, the result may be discipline up to and including discharge. Employees will be paid for time spent in alcohol or drug testing and then placed on administrative leave pending the results of the drug or alcohol test. After the results of the test are received, a date and time will be scheduled to discuss the results of the test. This meeting will include a member of management/supervision, and the DFA HRO.

Confidentiality

The DFA respects the privacy of all Covered Workers. Therefore, reasonable precautions will be taken to ensure the privacy and confidentiality of a Covered Worker throughout the testing process and to make certain that procedures are administered fairly, consistently, and in accordance with policy. Access to this information is limited to those who have a legitimate “need to know” in compliance with relevant laws and policies. All drug-testing information will be maintained in separate confidential records.

Arkansas State Employee Assistance Program

The DFA, through the Arkansas State Employee Assistance Program (EAP), has established a drug-free awareness program to inform employees about the dangers of drug abuse in the workplace and offers drug counselling, rehabilitation and consultation regarding substance abuse issues in the workplace. DFA employees are encouraged to utilize the resources available through EAP as necessary. For more information about EAP contact at 1-877-247-4621 or the DFA HRO.

Discrimination prohibited

Although the use of marijuana is prohibited while an applicant or employee is on DFA Property or during working hours, DFA may not discriminate against a job applicant or employee in hiring, termination, or any term or condition of employment, or otherwise penalize an Applicant or Employee, based on the applicant’s past or present status as a Qualifying Patient or designated caregiver, the individual who assists the patient with ingesting the marijuana.

Department Contact:
DFA, Human Resources
1515 Building, 1515 W 7th St., Room 101-102
Little Rock, AR 72201
Phone 501-324-9065

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